The HHE Land: Exploring the Landscape of Hybrid Homomorphic Encryption *

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Abstract. Hybrid Homomorphic Encryption (HHE) is considered a promising solution for key challenges that emerge when adopting Homomorphic Encryption (HE). In cases such as communication and computation overhead for clients and storage overhead for servers, it combines symmetric cryptography with HE schemes. However, despite a decade of advancements, enhancing HHE usability, performance, and security for practical applications remains a significant stake. This work contributes to the field by presenting a comprehensive analysis of prominent HHE schemes, focusing on their performance and security. We implemented three superior schemes-PASTA, HERA, and Rubato-using the Go programming language and evaluated their performance in a client-server setting. To promote open science and reproducibility, we have made our implementation publicly available on GitHub. Furthermore, we conducted an extensive study of applicable attacks on HHE schemes, categorizing them under algebraicbased, differential-based, linear-based, and LWE-based attacks. Our security analysis revealed that while most existing schemes meet theoretical security requirements, they remain vulnerable to practical attacks. These findings emphasize the need for improvements in practical security measures, such as defining standardized parameter sets and adopting techniques like noise addition to counter these attacks. This survey provides insights and guidance for researchers and practitioners to design and develop secure and efficient HHE systems, paving the way for broader real-world adoption.

Keywords: Applied Cryptography · HE-friendly Ciphers · Homomorphic Encryption · Hybrid Homomorphic Encryption · Lattigo

1 Introduction

The versatile nature of Homomorphic Encryption (HE)—combined with the wide range of its applications—has rendered it one of the most significant fields of research in recent years. In 1978, one year after the introduction of RSA [RSA78], Rivest et al. suggested HE serves as a solution against the incompatibility between user privacy and the need for data delegation and computational requirements [RAD78]. HE performs computations on encrypted data and produces an encrypted result that can be decrypted to the same result as if the computations had been performed on unencrypted data. Several existing public-key cryptosystems, including RSA [RSA78], ElGamal [ElG85], and Goldwasser-Micali [GM19], present homomorphic properties, though none supports the computation of arbitrary functions on ciphertexts. The goal of obtaining a fully homomorphic encryption (FHE) scheme continued until Gentry's breakthrough in 2009 [Gen09]. This scheme dealt with the difficulty of specific mathematical problems concerning ideal lattices and enabled *unrestricted* computations on encrypted data. Through unrestricted computation feature,

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FHE has been brought as the leading solution to many applications, such as private information retrieval [ACLS18], private set intersection [CLR17, CHLR18, CMdG⁺21], and privacy-preserving machine learning [HHCP18, LLL⁺22].

However, the practical adoption of FHE schemes in real-world applications is hindered by high computational, storage, and communication requirements. FHE ciphertexts impose significant storage and transfer overhead, leading to considerable storage and communication costs. Historically, these inefficiencies were overlooked, as prior applications assumed the availability of a hypothetical, resource-unlimited Cloud Service Provider (CSP). However, FHE is ill-suited for resource-constrained environments, such as the Internet of Things (IoT), fog computing, or federated learning in limited-capacity networks, where numerous entities generate data and require privacy-enhancing technologies. Beyond these scenarios, even in the context of unlimited resources, application owners face the financial burden of storage costs, which increase rapidly when storing FHE ciphertexts for further use.

As stated in [NLV11], HE schemes have yet to provide efficient communication and computation on the client side, thus becoming inapplicable for many real-world scenarios. This is when the idea of Hybrid Homomorphic Encryption (HHE) was put forth. HHE integrates one or more HE schemes with a symmetric cipher to create a robust and efficient encryption system [NLV11]. Employing a Symmetric Key Encryption (SKE) scheme allows users to encrypt data with an encryption ratio that ensures ciphertext sizes are nearly equal to plaintext sizes. This approach significantly reduces storage and communication costs while offering low computational overhead compared to HE schemes. The symmetrically encrypted ciphertexts are transmitted to and stored by a CSP. Upon user request, the CSP employs a symmetric decryption circuit, implemented homomorphically as a *transform* function, to convert the stored symmetric ciphertexts into homomorphic ciphertexts. These homomorphic ciphertexts are then processed as inputs for the requested computation, following the standard HE workflow. By integrating SKE with HE, an HHE system enhances computational capabilities while mitigating the storage and communication overhead commonly associated with HE schemes [DGH⁺23]. HHE has already demonstrated its potential in various domains, including privacy-preserving machine learning [BFM22, CHMS22, FNB⁺24, NBF⁺24] and IoT applications [HD24].

Note 1.1: HHE trade-off

HHE significantly reduces bandwidth requirements and computational costs on the client side, as well as storage costs on the server side. However, this efficiency is accompanied by increased computational overhead in the encrypted domain (i.e., server-side computations) due to the SKE to HE ciphertext *transformation*.

1.1 Contribution

Though a variety of HHE schemes have been proposed over the past decade, to the best of our knowledge, no published work attempts to analyze, compare, and evaluate these schemes, and this is precisely what this work aims to do. Our contribution can be summarized as follows:

- **C1.** We lay the basic theoretical foundation allowing researchers to comprehend current HE and HHE schemes.
- C2. We provide a detailed description of how the most important HHE schemes–PASTA, HERA, Rubato, and Elisabeth– work and make a thorough comparison.
- **C3.** We analyze the security properties of current HHE schemes and demonstrate that, although most existing schemes satisfy the necessary theoretical security properties, they are still susceptible to attacks.
- C4. We analyze various implementation aspects such as processing power, memory al-

location, security, and ease of running a scheme. Furthermore, we elaborate on the limitations preventing the existing HHE solutions from being implemented in real-world settings. A real-world setting follows a client-server architecture, where the client can use the symmetric cipher independently, and the server performs HHE computations.

C5. We support open science and reproducible research by making our code available online on GitHub. Specifically, to provide a fair and solid comparison of the examined works, we built our HHE library with Go programming language, using a modular approach, to run superior HHE schemes, namely PASTA, HERA, and Rubato.

1.2 Organization

The rest of this paper is structured as follows. Section 2 provides some necessary background information to understand the core components of HE and HHE ciphers. Section 3 delivers definitions and foundations for HE and four common HE schemes. Section 4 continues by providing our universal definition of HHE and offers a brief history of HE-friendly ciphers. Additionally, it elaborates on the building blocks of HHE schemes, highlighting their enhancements over the years. It then outlines four cutting-edge HHE schemes and their underlying techniques. Section 5 presents the evaluation results of our HHE implementation, considering both client and server perspectives in a real-world setting. Section 6 discusses the semantic security of an HHE scheme based on the universal definition we provided earlier. Furthermore, we take the security analysis of HHE one step further by studying and describing a wide range of attacks that can be applied to HE-friendly ciphers and are not related to semantic security. Section 7 categorizes various attacks on HE-friendly ciphers. Finally, in Section 8, we present the key insights, highlight identified research gaps, and outline proposed directions for future work.

2 Background

2.1 Notation

Throughout the paper, vectors are represented in lowercase bold letters, and matrices in capital letters. Let [n] be the set of integers from 1 to n. $\lfloor \cdot \rceil$ denotes the nearest integer to a real number, while $\langle \cdot, \cdot \rangle$ represents the inner product of two vectors. $[\cdot]_q$ signifies the mod q reduction, and $\|\mathbf{v}\|_p$ denotes the ℓ_p -norm of the vector \mathbf{v} . We denote λ as the security parameter of a cryptosystem. For a probability distribution χ , we denote $x \stackrel{\chi}{\leftarrow} S$ if x is sampled from a set S according to the distribution χ , with \$ the uniformly random distribution. Finally, $\mathcal{D}_{S,\sigma}$ stands for the Gaussian distribution in a set S for width σ . We use \mathbb{G} to denote an additive group, \mathbb{Z}_p to denote the set of integers modulo p, \mathbb{F}_p the field of integers modulo p, and \mathcal{R}_n the quotient ring $\mathbb{Z}_n[X]/(P)$ of polynomials over $\mathbb{Z}_n[X]$ by the ideal generated by an irreducible polynomial P. We use \mathcal{P} to indicate the plaintext space and \mathcal{C} to indicate the ciphertext space. We use the notation $\mathbf{v_1} \odot \mathbf{v_2}$ to represent the element-wise product between two vectors. Finally, we use the terms $p\mathbf{k}$, \mathbf{sk} , and \mathbf{evk} to denote public, secret, and evaluation keys, respectively.

2.2 Substitution-Permutation Network (SPN)

SPN is a cryptographic construction used to design block ciphers [Fei73]. The SPN consists of non-linear substitutions (S-boxes) and linear bit permutations based on confusion and diffusion [Sha49]. N represents the block size of a basic SPN consisting of r-rounds of $n \times n$ s-boxes [YTH96].

Permutation. We call permutation any bijection of a set in itself. The permutation of a vector $\mathbf{x} = (x_1, \ldots, x_n)$ is a rearrangement of its elements $(x_{\sigma(1)}, \ldots, x_{\sigma(n)})$, for $\sigma(i) \in [1, n]$ and $\sigma(i) \neq \sigma(j), i \neq j$.

S-Box. An essential part of designing a symmetric cipher is choosing an efficient S-Box for the non-linear layer. Authors in [DGH⁺23] analyzed and compared different S-Boxes. Here, we add the missing ones and redefine all with our notation for future use in Section 4.

<u> χ -S-box</u>: This is a non-linear function that takes as input a vector of n elements in \mathbb{Z}_q and outputs a vector of n elements in \mathbb{Z}_q . The χ -S-box is defined as follows:

$$S_{\chi}(\mathbf{x})_{i} = x_{i} + x_{i+2} + (x_{i+1} \cdot x_{i+2}) = x_{i} + x_{i+2} \cdot (1 + x_{i+1}).$$

<u>Cube S-Box</u>: Given a prime p, such that gcd(p-1,3) = 1, the cube S-Box is defined as follows:

$$S_c(\mathbf{x})_i = (x_i)^3.$$

Feistel-Like S-Box via a Quadratic Function: The Feistel-like S-Box is defined as follows:

$$S_{fq}(\mathbf{x})_i = \begin{cases} x_i & \text{if } i = 0, \\ x_i + (x_{i-1})^2 & \text{otherwise,} \end{cases}$$

Feistel-Like S-Box via the χ *-Function:* The Feistel-like S-Box is defined as follows:

$$S_{f\chi}(\mathbf{x})_i = \begin{cases} x_i & \text{if } i \le 1, \\ x_i + (x_{i-1} \cdot x_{i-2}) & \text{otherwise,} \end{cases}$$

<u>LowMC S-Box</u>: For three input bits a, b, and c, the LowMC S-box is defined as follows:

$$S_{mc}(a, b, c) = (a \oplus bc, a \oplus b \oplus ac, a \oplus b \oplus c \oplus ab)$$

2.3 Stream Ciphers

As defined in $[QYS^+23]$, most stream ciphers are a generic construction that can be represented through a finite state machine characterized by the key (K), the initial vector (IV), and the internal state (S). An initialization function expands K and the initial value of IV into an initial internal state. Subsequently, the internal state undergoes updates via an update function, and the output function generates an output based on the final state.



Figure 1: Filter Permutator Construction [MJSC16]

2.4 Filter Permutator

As illustrated in Figure 1, a filter permutator (FP) consists of a constant key register (K), a permutation generator, a pseudo-random number generator (PRNG) initialized with a public IV, a pseudo-random permutation (P), and a non-linear filter function (F). Based on the PRNG output, the permutation generator applies a new bit-permutation to K in each cycle. The filtering function F generates a keystream bit from the permuted key, which is then XORed with the plaintext to create ciphertext.

2.5 Look-Up Table (LUT)

A look-up table L over a set S is an array of N elements in S, indexed by $i \in [N]$. We denote $L[i] \in S$, the value of L stored in position i. In this work, we only focus on Negacyclic LUT (NLUT), defined below. Note that if we can define an LUT on any set, an NLUT can only be defined over a group. In this article, this group is the real torus $\mathbb{T} = \mathbb{R}/\mathbb{Z}$.

Definition 1 (Negacyclic Look-Up Table (NLUT)). A negacyclic look-up table over \mathbb{T} is a look-up table of size 2N such that $\forall i \in [N], L[N+i] = -L[i]$

In practice, an NLUT is represented by a polynomial $P \in \mathbb{Z}_{q,N}[X]$. Denote $P = a_0 + a_1 X + \ldots + a_{N-1} X^{N-1}$, where 2N is the size of the LUT. The value of the *i*-th entry is given by $(X^{-i} \cdot P) \mod X$. This polynomial representation allows the homomorphic evaluation of an NLUT presented in Section 4.6.

2.6 Learning With Errors (LWE)

LWE was introduced and established by Regev in 2005 [Reg05, Reg09], showcasing its comparable worst-case hardness properties through a quantum reduction. With the diversification of cryptographic protocols, new variants of this problem have emerged, such as RLWE [LPR13] or TLWE [CGGI20]. These variants reduce to the same hardness assumption, which we call GLWE. Due to space constraints, we formally define only the General LWE (GLWE) problem.

Definition 2 (GLWE sample). Let \mathcal{R} be a ring and $\mathcal{R}_N[X] := \mathcal{R}[X]/(X^N + 1)$ for N a power of 2. For a positive integer k, a vector $\mathbf{s} \stackrel{\$}{\leftarrow} \mathbb{Z}_N[X]^k$ and a polynomial $\mu \in \mathcal{R}_N[X]$, we define $\mathsf{GLWE}_{\mathbf{s}}(\mu) = (\mathbf{a}, \mu + \langle \mathbf{s}, \mathbf{a} \rangle + e)$, where $\mathbf{a} \stackrel{\$}{\leftarrow} \mathcal{R}_N[X]^k$ and $e \stackrel{\checkmark}{\leftarrow} \mathcal{R}_N[X]$ for an error distribution χ . The trivial sample $\mathsf{GLWE}_{\mathbf{s}}(0_{\mathcal{R}}) = (\mathbf{a}, \langle \mathbf{z}, \mathbf{a} \rangle + e)$ is called the GLWE distribution.

Definition 3 (GLWE problem). Let $\mathbf{s} \stackrel{\$}{\leftarrow} \mathbb{Z}_N[X]^k$ be a secret vector.

- Search GLWE: Given $\text{GLWE}_{\mathbf{s}}(0) = (\mathbf{a}, \langle \mathbf{z}, \mathbf{a} \rangle + e)$ and a distribution χ , find \mathbf{s} ;
- Decisional GLWE: Given a pair $(\mathbf{a}, b) \in \mathcal{R}_N[X]^{k+1}$ and the distribution χ , decide if b is chosen at random, *i.e.* $b \stackrel{\$}{\leftarrow} \mathcal{R}_N[X]$, or if it follows a GLWE distribution that is $(\mathbf{a}, b) = \text{GLWE}_{\mathbf{s}}(0_{\mathcal{R}})$.

The classical LWE problem stated by Regev corresponds to the case N = 1 and $\mathcal{R} = \mathbb{Z}_q$, for q a power of a prime. In short, an LWE sample is given by $\mathsf{LWE}_{\mathbf{s}}(\mu) = ((a_1, \ldots, a_k), \mu + \langle \mathbf{s}, \mathbf{a} \rangle + e)$, for $\mathbf{a} = (a_1, \ldots, a_k) \in \mathbb{Z}_q^k$, $\mathbf{s} \in \mathbb{Z}^k$, $\mu \in \mathbb{Z}_q$ and $e \xleftarrow{\mathcal{X}} \mathbb{Z}_q$. *General Gentry-Sahai-Waters (GGSW).* A GGSW encryption, named after Gentry *et al.* [GSW13] who first introduced it, is an extension of GLWE. In a nutshell, it is given by a vector of GLWE distributions. Consider an integer plaintext $\mu \in \mathbb{Z}_N[X]^k$ and a vector $\mathbf{s} \xleftarrow{\mathbb{S}} \mathbb{Z}_N[X]^k$. For d > 0 its *depth* and a basis $\beta > 0$, a GGSW sample is given by $\operatorname{GGLW}_{\mathbf{s}}(\mu) = \mathbf{Z} + \mu \cdot \mathbf{G}^{(\beta)} \in \mathbb{T}_{N}[X]^{d(k+1) \times (k+1)}$, where \mathbf{Z} is a matrix of d(k+1) GLWE distributions and $\mathbf{G}^{(\beta)}$ is the gadget matrix in $\mathbb{T}_{N}[X]^{d(k+1) \times (k+1)}$ given by $G_{i,j}^{(\beta)} = \mathbf{I}_{k+1} \otimes \mathbf{g}$ for \mathbf{I}_{k+1} the identity matrix, \otimes the tensor product and \mathbf{g} the vector of size d given by $g_{i} = \beta^{-i}, i \in [d]$.

3 Homomorphic Encryption

In this section, we first present the general HE definition and its correctness. Subsequently, we explore the four HE schemes commonly employed across various HHE schemes.

Over the years, researchers have developed three types of HE: Partially Homomorphic Encryption (PHE), Somewhat Homomorphic Encryption (SHE), and Fully Homomorphic Encryption (FHE), each with distinct properties and capabilities. FHE, though computationally intensive and resource-demanding, enables arbitrary computations on ciphertexts, including addition, multiplication, and other complex operations. In contrast, PHE supports only a single operation, either addition or multiplication, making it computationally less demanding but significantly less flexible than FHE. SHE offers an intermediate solution, allowing a limited number of additions and multiplications while being more practical than PHE and less resource-intensive than FHE.

Since the groundbreaking work by Gentry [Gen09], numerous HE schemes have emerged to handle diverse data types. Notably, recommended by Homomorphic Encryption Standard [ACC⁺21], the Brakerski-Gentry-Vaikuntanathan (BGV) scheme [BGV12] supports modular arithmetic over finite fields, enabling computations on vectors of integers in \mathbb{Z}_q with $q \geq 2$. Similarly, the Brakerski/Fan-Vercauteren (B/FV) scheme [Bra12, FV12] operates over finite fields and facilitates computations on integer vectors. The Cheon-Kim-Kim-Song (CKKS) scheme [CKKS17] allows approximate computations on vectors of real and complex numbers. Additionally, the Torus FHE (TFHE) scheme [CGGI16, CGGI17, CGGI20] employs boolean circuits and decision diagrams for low-precision integers [CJP21]. Each HE scheme is meticulously designed to achieve specific optimization goals. BGV, B/FV, and CKKS prioritize minimizing the multiplicative depth in their decryption circuits, while TFHE focuses on reducing the gate count required for decryption operations.

Def. 3.1: General HE Definition

An HE := (KeyGen, Enc, Eval, Dec) scheme is a tuple of four algorithms defined as follows:

- 1. KeyGen (1^{λ}) takes as input a security parameter λ and outputs the public key pk, the secret key sk and the evaluation key evk;
- 2. Enc (pk, m) takes as input the public key pk and a message m and outputs a ciphertext c_m ;
- 3. Eval (evk, $f, (c_{m_1}, \ldots, c_{m_n})$) takes as input the evaluation key evk, a function f and an *n*-tuple of ciphertexts $(c_{m_1}, \ldots, c_{m_n})$ and outputs a ciphertext \mathbf{c}^f ;
- 4. Dec $(\mathbf{sk}, \mathbf{c}^f)$ takes as input the secret key \mathbf{sk} and a ciphertext \mathbf{c}^f and outputs \mathbf{m}^f .

Correctness: An HE scheme is correct if:

$$\begin{split} ⪻\left[\texttt{Dec}\left(\texttt{sk}, \texttt{c}^{f}\right) \neq f(m_{1}, \dots, m_{n}) \mid \left[(\texttt{pk}, \texttt{sk}, \texttt{evk}) \leftarrow \texttt{KeyGen}\left(1^{\lambda}\right)\right] \\ & \wedge \left[\texttt{c}^{f} \leftarrow \texttt{Eval}\left(\texttt{evk}, f, (c_{m_{1}}, \dots, c_{m_{n}})\right)\right]\right] = negl(\lambda). \end{split}$$

3.1 BGV

BGV is an SHE scheme based on RLWE that supports modular arithmetic over finite fields [BGV12]. BGV construction is scale-dependent, relying on a predetermined sequence of moduli $\mathcal{Q} = \{q_0, q_1, \ldots, q_L\}$. Each modulus is the ciphertext scale for a certain level of operation, and each multiplication requires a modulus switch.

Setup. Given a security parameter λ , generate two integers t, n > 0 and $q_0 < \ldots < q_L$ a sequence of powers of prime q_ℓ with $\ell \in [L]$. Then set $\mathcal{P} = \mathcal{R}_t = \mathbb{Z}_t[X]/(X^n + 1)$ the plaintext space and $\mathcal{C} = \mathcal{R}_{q_l} \times \mathcal{R}_{q_l}$ the ciphertext space at level ℓ , for $\mathcal{R}_{q_l} = \mathbb{Z}_{q_l}[X]/(X^n + 1)$. Finally, denote $\mathcal{D}_{q_l,\sigma}$ the discrete Gaussian distribution over \mathcal{R}_{q_l} of width σ . The BGV algorithms are illustrated in Definition 3.2.

Def. 3.2: BGV

- 1. KeyGen (1^{λ}) takes as input a security parameter λ . Sample $\mathbf{sk} \stackrel{\$}{\leftarrow} \mathcal{R}$ with coefficients in $\{-1, 0, 1\}$, $a \stackrel{\$}{\leftarrow} \mathcal{R}_{q_L}$, and $e \leftarrow \mathcal{D}_{q_L,\sigma}$; set $\mathbf{pk} = (b, a) \in \mathcal{R}^2_{q_L}$, where $b \leftarrow [-a \cdot \mathbf{sk} + t \cdot e]_{q_L}$, and $\mathbf{evk} = (b', a) \in \mathcal{R}^2_{q_L}$, where $b' \leftarrow [-(a \cdot \mathbf{sk} + e) + \mathbf{sk}^2]_{q_l}$; outputs $(\mathbf{sk}, \mathbf{pk}, \mathbf{evk})$;
- 2. Enc(pk, m) takes as input the public key pk and a plaintext $m \in \mathcal{P}$. Sample $u \stackrel{\diamond}{\leftarrow} \mathcal{R}$ with coefficients in $\{-1, 0, 1\}$, and $e_1, e_2 \leftarrow \mathcal{D}_{q_\ell, \sigma}$. Set $c_1 \leftarrow [b \cdot u + t \cdot e_1 + m]_{q_\ell}$ and $c_2 \leftarrow [a \cdot u + t \cdot e_2]_{q_\ell}$; outputs $c = (c_1, c_2)$;
- 3. EvalAdd $(c^{(1)}, c^{(2)})$ takes as input two ciphertexts $c^{(1)}, c^{(2)}$ and computes $c_1^{\text{add}} \leftarrow \left[c_1^{(1)} + c_1^{(2)}\right]_{q_\ell}$ and $c_2^{\text{add}} \leftarrow \left[c_2^{(1)} + c_2^{(2)}\right]_{q_\ell}$; outputs $c^{\text{add}} = (c_1^{\text{add}}, c_2^{\text{add}})$;
- 4. EvalMult $(c^{(1)}, c^{(2)})$ takes as input two ciphertexts $c^{(1)}, c^{(2)}$ and computes $\bar{c} = (\bar{c_1}, \bar{c_2}, \bar{c_3}) \leftarrow \left(\left[c_1^{(1)} \cdot c_1^{(2)} \right]_{q_\ell}, \left[c_1^{(1)} \cdot c_2^{(2)} + c_2^{(1)} \cdot c_1^{(2)} \right]_{q_\ell}, \left[c_2^{(1)} \cdot c_2^{(2)} \right]_{q_\ell} \right)$. Set $c^{\text{mult}} \leftarrow \text{Relinearize (evk}, \bar{c});$ outputs $c^{\text{mult}};$
- 5. Relinearize(evk, \overline{c}) takes as input the evaluation key evk = (b', a) and $\overline{c} = (\overline{c_1}, \overline{c_2}, \overline{c_3})$, compute $c_1 \leftarrow [\overline{c_1} + b' \cdot \overline{c_3}]_{q_1}$ and $c_2 \leftarrow [\overline{c_2} + a \cdot \overline{c_3}]_{q_1}$; outputs $c = (c_1, c_2)$;
- 6. ModSwitch(evk, c) takes as input the evaluation key evk, a ciphertext c encrypted modulo q_{ℓ} ; computes $c' \leftarrow \left| \frac{q_{\ell}}{q_{\ell-1}} \cdot c \right|$; outputs c';
- 7. $\operatorname{Dec}(\operatorname{sk}, c)$ takes as input the secret key sk and the ciphertext c. Compute $m' \leftarrow [c_1 + c_2 \cdot \operatorname{sk}]_{q_\ell}$.

For simplicity and due to space constraints, we will not redefine functions for other HE schemes sharing the same principles as BGV.

3.2 B/FV

B/FV is another SHE scheme that supports modular arithmetic over finite fields [Bra12, FV12]. B/FV is scale-independent, meaning the ciphertext modulus remains constant during homomorphic evaluation. The plaintext and the ciphertext spaces are defined over two polynomial rings denoted by $\mathcal{P} = \mathcal{R}_t$, and $\mathcal{C} = \mathcal{R}_q \times \mathcal{R}_q$. Unlike the BGV scheme, in B/FV, the plaintext is placed on the most significant bits of the data structure. This is achieved by utilizing a scale factor, denoted as Δ , and adjusting the message's scale before encryption and after decryption. The B/FV is outlined in Definition 3.3.

Def. 3.3: B/FV

- 1. ScaleUp $(m, \Delta = \lfloor \frac{q}{t} \rfloor)$ takes as input the message m and scale factor Δ and outputs $m' = \Delta \cdot m$;
- 2. ScaleDown $\left(m', \Delta^{-1} = \left\lfloor \frac{t}{q} \right\rfloor\right)$ takes the scaled-up message m' and reverse scale factor Δ^{-1} , and outputs $m = \Delta^{-1} \cdot m'$.
- 3. KeyGen (1^{λ}) outputs (sk, pk, evk);
- 4. Enc (pk, m') outputs c;
- 5. EvalAdd $(c^{(1)}, c^{(2)})$ outputs c^{add} ;
- 6. EvalMult $(c^{(1)}, c^{(2)})$ outputs c^{mult} ;
- 7. Relinearize (evk, \overline{c}) outputs c;
- 8. $\text{Dec}(\mathbf{sk}, c)$ outputs m', all are similar to BGV.

3.3 CKKS

CKKS is an SHE scheme permitting approximate computation on vectors of real and complex numbers [DM15]. CKKS operates as a scale-dependent HE scheme, where the ciphertext modulus adapts throughout homomorphic evaluation, akin to the BGV scheme. The plaintext and ciphertext spaces are defined in the same way as $\mathcal{P} = \mathcal{R}$ and $\mathcal{C} = \mathcal{R}_a^2$.

Encoding. We consider a message as a vector of complex numbers $\mathbf{z} \in \mathbb{C}^{n/2}$ and provide an encoding to convert \mathbf{z} into a suitable plaintext. This method relies on a scaling factor Δ and the canonical embedding $\pi : \mathbb{R}^n \to \mathbb{C}^{n/2}$. This construction is detailed in [CKKS17].

Def. 3.4: CKKS

- 1. Encode (\mathbf{z}, Δ) takes as input a vector $\mathbf{z} \in \mathbb{C}^n$ and the scale factor Δ . Maps \mathbf{z} into element $w \in \mathcal{R}$, where $w \leftarrow [\Delta \cdot \pi^{-1}(\mathbf{z})]$; outputs w;
- 2. Decode (w, Δ) takes a plaintext ring element $w \in \mathcal{R}$, and computes $\mathbf{z} \leftarrow \pi (\Delta^{-1} \cdot w)$; outputs \mathbf{z} ;
- 3. Rescale $(c_{q_{\ell}}, \Delta)$ scale the input ciphertext c down by Δ such that $c'_{q_{\ell-1}} = \Delta^{-1} \cdot [(c_1, c_2)]_{q_{\ell}}$, similar to BGV ModSwitch, outputs $c'_{q_{\ell-1}}$;
- 4. KeyGen (1^{λ}) outputs (sk, pk, evk);
- 5. Enc (pk, m) outputs c;
- 6. EvalAdd $(c^{(1)}, c^{(2)})$ outputs c^{add} ;
- 7. EvalMult $(c^{(1)}, c^{(2)})$ outputs c^{mult} ;
- 8. Relinearize (evk, \overline{c}) outputs c;
- 9. $\text{Dec}(\mathbf{sk}, c)$ outputs m', all similar to BGV.

3.4 TFHE

TFHE is an FHE scheme founded on bootstrapping. Unlike the *leveled* HE schemes, TFHE is *fully* homomorphic, enabling support for any logical circuit and, consequently, any function. TFHE is particular because it uses two types of encryption: the classical LWE ciphertext and the so-called General-GSW ciphertext. The latter permits efficient homomorphic multiplications.

Bootstrapping. To define a proper cryptosystem, one has to guarantee that any ciphertext can be decrypted correctly. As homomorphic operations tend to increase noise, it is necessary to provide a method to keep the noise of a ciphertext under a given bound. This can be achieved with a technique known as bootstrapping, which consists in *refreshing* the encryption of a message into a new ciphertext, hence resetting the noise to an acceptable level. We refer the reader to the article of A. Al Bawadi and Y. Polyakov [ABP23] for more information on bootstrapping.

Let $\mathbb{B} = \{-1, 0, 1\}$, and \mathbb{T} be the real Torus, *i.e.* the set of real numbers modulo one. For q, N > 0 two powers of 2, we denote $\mathbb{T}_N[X] = \mathbb{T}_N[X]/(X^N + 1)$, $\mathbb{B}_N[X] = \mathbb{B}[X]/(X^N + 1)$ and $\mathbb{Z}_{q,N}[X] = \mathbb{Z}_q[X]/(X^N + 1)$. Let $\beta > 0$ and $d \in \mathbb{Z}$ be two integers, called the base and the depth, respectively.

Def. 3.5: TFHE

- 1. KeyGen (1^{λ}) takes as input a security parameter λ . Sample $k = k(\lambda)$ polynomials $\mathbf{sk} := (s_1, \ldots, s_k) \stackrel{\$}{\leftarrow} (\mathbb{B}_{q,N}[X])^k$. Set $\mathbf{pk} := (\mathbf{a}, b) \leftarrow \mathsf{GLWE}_{\mathbf{sk}}(\mathbf{0})$, outputs the keys $(\mathbf{pk}, \mathbf{sk})$.
- 2. Enc(pk, m) takes as input the public key pk and a message $m \in \mathbb{Z}_{q,N}[X]$. Compute $\mathbf{c} \leftarrow (\mathbf{a}, b + m + e) = \mathsf{GLWE}_{\mathsf{sk}}(m) \in (\mathbb{Z}_{q,N}[X])^{k+1}$, for $e \xleftarrow{} \mathbb{Z}_{q,N}[X]$ and outputs \mathbf{c} ;
- 3. EvalMult(**G**, **c**) takes as input a GGSW ciphertext **G** and a GLWE ciphertext **c**. First compute $(\mathbf{c})_{\beta} \leftarrow ((a_1)_{\beta}, \dots, (a_k)_{\beta}, (b)_{\beta}) \in \mathbb{Z}_{q,N}[X]^{d(k+1)}$ where $(\cdot)_{\beta}$ is the decomposition in basis β . Compute the GLWE ciphertext $\mathbf{c}^{\text{mult}} \leftarrow (\mathbf{c})_{\beta} \cdot \mathbf{G}$; outputs \mathbf{c}^{mult} ;
- 4. KeySwitch(sk', c) takes as input a new secret key sk' and a GLWE ciphertext $\mathbf{c} = (\mathbf{a}, b)$. Define the key-switching key $\mathbf{K} \in \mathbb{Z}_{q,N}[X]^{d \cdot k \times (k+1)}$ as the first $d \cdot k$ rows of $\mathsf{GGSW}_{\mathsf{sk'}}(1)$. Compute the GLWE ciphertext $\mathbf{c'} \leftarrow (\mathbf{0}, b) \mathsf{EvalMult}(\mathbf{K}, \mathbf{a})$ encrypted under $\mathsf{sk'}$; outputs $\mathbf{c'}$;
- 5. $CMux(\mathbf{B}, \mathbf{c_0}, \mathbf{c_1})$ takes as input two GLWE ciphertexts $\mathbf{c_0}, \mathbf{c_1}$ of plaintexts m_0, m_1 and a GGSW ciphertext **B** of a bit *b*. Compute the GLWE ciphertext $\mathbf{c_3} \leftarrow$ $EvalMult(\mathbf{B}, \mathbf{c_1} - \mathbf{c_0}) + \mathbf{c_0}$, which is an encryption of m_b with fresh noise; outputs $\mathbf{c_3}$;
- 6. $\text{Dec}(\mathbf{sk}, \mathbf{c})$ takes as input the secret key \mathbf{sk} and a ciphertext \mathbf{c} . Compute $b \sum_{i=1}^{n} a_i \cdot s_i = m + e$. Then, round to the nearest integer to output the message m.

Mark that a GLWE ciphertext mentioned in Definition 3.5 is an LWE ciphertext if N = 1and an RLWE ciphertext if k = 1.

Classical methods to achieve bootstrapping are usually too slow and, thus, impractical. TFHE introduces a novel idea that consists of running the bootstrapping together with the evaluation of a look-up table. Given as input **G**, a GGSW encryption of an NLUT *L*, and **c** the LWE encryption of a message *m*, one can compute directly a fresh ciphertext \mathbf{c}' of L[m]. This method requires a heavy usage of the CMux algorithm that can be optimized using

programmable bootstrapping. We refer the reader to the articles of Chillotti *et al.* [CGGI20] and Cosseron *et al.* [CHMS22]

4 Hybrid Homomorphic Encryption

In this section, we introduce a novel definition for HHE schemes, while in Section 6, we use it to define HHE semantic security. Additionally, we conduct a literature analysis on the diverse techniques and design approaches employed in creating HHE schemes. Our study is divided into two parts: a brief history of HE-friendly symmetric ciphers and an examination of state-of-the-art HE-friendly ciphers and their characteristics. Within the context of HE, high computational costs and significant ciphertext expansion are two major challenges real-world applications face.

To tackle the mentioned issues, researchers in [NLV11] proposed a hybrid approach, where the plaintext m is symmetrically encrypted using a randomly chosen key K by a client. The resulting ciphertext c_m is much smaller than a homomorphic ciphertext, with an encryption ratio of $|c_m|/|m| \approx 1$. The client then sends c_m along with homomorphically encrypted K to a remote location, such as a cloud service provider. Here, c_m is transformed into a homomorphic ciphertext c^{evl} by evaluating the SKE decryption circuit.

With this in mind, we provide a formal definition of HHE that takes up the construction provided by Dobraunig *et al.* [DGH⁺23]. At this point, it is important to highlight that the definition of their proposed encryption algorithm was misleading, rendering impossible a universal definition and proper security analysis. Therefore, we extend the definition of HHE with an *encapsulation* algorithm (Encap). This algorithm specifically manages the generation of the symmetric key and its encryption into a homomorphic ciphertext.

Let HE := (KeyGen, Enc, Dec, Eval) be a homomorphic encryption scheme and SKE := (Gen, Enc, Dec) be a symmetric cipher. A hybrid homomorphic encryption scheme is a tuple of the six algorithms HHE := (KeyGen, Encap, Enc, Decomp, Eval, Dec) as follows:

Def. 4.1: Universal HHE Definition

- 1. KeyGen (1^{λ}) takes as input a security parameter λ and generates the homomorphic keys $(pk, sk, evk) \leftarrow \text{HE.KeyGen} (1^{\lambda})$, outputs (pk, sk, evk);
- 2. Encap (pk, 1^{μ}) takes as input the public key pk, a security parameter μ and computes the symmetric key K \leftarrow SKE.Gen (1^{μ}) and its homomorphic encryption $c_{\rm K} \leftarrow$ HE.Enc(pk, K), outputs (K, $c_{\rm K}$);
- 3. Enc(K, m) takes as input, the symmetric key K and a message m and computes the ciphertext $c_m \leftarrow SKE.Enc(K, m)$, outputs c_m ;
- 4. Decomp(evk, c_{κ} , c_{m}) takes as input the evaluation key evk, the homomorphically encrypted symmetric key c_{κ} and a symmetric ciphertext c_{m} , computes $c_{m}^{evl} \leftarrow$ HE.Eval(evk, SKE.Dec, (c_{κ}, c_{m})), outputs c_{m}^{evl} ;
- 5. Eval $(\text{evk}, f, (c_{m_1}^{evl}, \dots, c_{m_n}^{evl}))$ takes as input the evaluation key evk, a function f defined in the ciphertext space of HE and a *n*-tuple of homomorphic ciphertexts $c_{m_i}^{evl}$ and computes $\mathbf{c}^f \leftarrow \text{HE.Eval}(\text{evk}, f, (c_{m_1}^{evl}, \dots, c_{m_n}^{evl}))$, outputs \mathbf{c}^f ;
- 6. Dec $(\mathbf{sk}, \mathbf{c}^f)$ takes as input the homomorphic secret key \mathbf{sk} and a ciphertext \mathbf{c}^f and computes $\mathbf{m}^f \leftarrow \text{HE.Dec}(\mathbf{sk}, \mathbf{c}^f)$, if the message \mathbf{m}^f is valid, outputs \mathbf{m}^f ; otherwise outputs \perp .

Correctness: Additionally, an HHE scheme is correct if:

$$\begin{split} ⪻\left[\texttt{Dec}\left(\texttt{sk}, \mathbf{c}^{f}\right) \neq f\left(\mathbf{m}\right) \mid \left[(\texttt{pk}, \texttt{sk}, \texttt{evk}) \leftarrow \texttt{KeyGen}\left(1^{\lambda}\right)\right] \wedge \left[\mathbf{c}^{f} \leftarrow \texttt{Eval}\left(\texttt{evk}, f, \mathbf{c}_{\mathbf{m}}^{\mathbf{evl}}\right)\right] \\ & \wedge \left[\mathbf{c}_{\mathbf{m}}^{\mathbf{evl}} \leftarrow \texttt{Decomp}\left(\texttt{evk}, c_{\mathtt{K}}, \mathbf{c}_{\mathbf{m}}\right)\right] \wedge \left[(\mathtt{K}, c_{\mathtt{K}}) \leftarrow \texttt{Encap}\left(\texttt{pk}, 1^{\mu}\right)\right]\right] = negl\left(\lambda, \mu\right). \end{split}$$

The correctness of HHE is achieved if both HE and SKE are correct.

4.1 Standard Symmetric Ciphers

Initially, homomorphic evaluation of standard symmetric ciphers such as **AES** [DR05, JV02] has received considerable attention [GHS12, BHKR13, CCK⁺13, CLT14]. Yet, the early implementations of homomorphic AES faced significant performance drawbacks. For example, the work in [GHS12] by Gentry et al., utilizing the BGV scheme, achieved a running time of 5 minutes in Byte mode and 40 minutes in SIMD mode per block. Additionally, researchers experimented with other ciphers, such as **PRINCE** [BCG⁺12], which yielded an evaluation time of 3.3 seconds per block [DSES14]. The performance drawbacks of these schemes are due to the extensive multiplicative depth in their circuit. Pointing it out, authors in $[ARS^+15]$ indicated the need for new symmetric *HE-friendly Ciphers* with a lower multiplication complexity and smaller multiplicative depth while maintaining the same level of security. Early implementation of their proposed HE-friendly cipher LowMC had an evaluation time of 0.36 seconds per block, outperforming its counterparts by orders of magnitude. Eventually, it divided research in the HHE field into two branches, both with a focus on real-world applications: (a) Improving the performance of traditional ciphers such as AES to be more practical, and (b) Designing new HE-friendly ciphers and employing them in combination with different HE schemes for various applications. In this paper, we primarily focus on the HE-friendly ciphers. However, we refer readers interested in the first branch to $[ADE^+23]$, an efficient HHE scheme to perform AES over CKKS, where the authors provided a comprehensive comparison with the previous AES-based HHE schemes. Likewise, works in [TCBS23, WWL^+23 attempted to enhance the computation costs of running AES using the TFHE scheme.

4.2 HE-friendly Symmetric Ciphers

As depicted in Figure 2, many HHE schemes have been proposed in recent years, most of which are based on one of two main design approaches for HE-friendly ciphers: (1) SPNbased ciphers (mainly utilizing s-boxes and matrix multiplication) and (2) Register-based stream ciphers (employing filter permutation functions). We briefly introduce these two design approaches and their historical improvements by studying the first generation of HHE schemes. We then provide more detail for their successors.



Figure 2: Timeline of HHE Evolutions 1: SPN-based ciphers, 2: Register-based ciphers, 3: Homomorphic AES

SPN-based approach. In 2015, **LowMC** [ARS⁺15], the first HE-friendly cipher, was introduced. LowMC is designed to minimize the number of nonlinear operations by using efficient s-boxes while depending on a robust linear layer to ensure its security. Subsequently, the authors in [DEG⁺18] expanded on the concept of incorporating a robust linear layer, further developing the idea by proposing **Rasta** and **Agrasta**. Rasta uses a publicly chosen and fixed substitution layer. The affine layers are formed using a public *nonce* and a *counter*, ensuring that no affine layer is likely ever to be reused under a single key, which makes a large part of the computation nonce-dependent but key-independent. Agrasta is the aggressive variant whose key and block sizes are equal to the security level proposed to explore Rasta's limits. Let N, i, r, S_{χ} , and x be the nonce, block counter, round counter, s-box, and input vector, respectively. Let $M_{j,N,i}$, $c_{j,N,i}$ be the $n \times n$ binary matrix and round constant generated by an extendable output function (XOF) (see detail in [Dwo15]). Rasta's affine layer $A_{r,N,i}$ is as follows:

$$A_{r,N,i} = M_{j,N,i} \cdot x \oplus c_{j,N,i}$$

Given K and (N, i), Rasta's keystream is $\mathbf{ks}_{N,i} \leftarrow \mathbf{K} \oplus P_{N,i}(\mathbf{K})$, where

$$P_{N,i} = A_{r,N,i} \circ S_{\chi} \circ A_{r-1,N,i} \circ \ldots \circ S_{\chi} \circ A_{1,N,i} \circ S_{\chi} \circ A_{0,N,i}.$$

Authors in [HL20] found that using XOF to generate random matrices makes the precomputation phase slower because of the costly restriction of checking matrix invertibility. Therefore, they designed Dasta - a variant of Rasta that avoids the use of XOF. Instead of randomly generated linear layers, which are random invertible binary matrices, the authors consider linear layers to be split into two parts: (1) a variable bit permutation and (2) a fixed linear transformation. Another variant of Rasta called Masta [HKC⁺20] employed modular arithmetic to support HE schemes over a non-binary plaintext space. Its advantage over Rasta lies in the reduced computational cost on the client side, which is achieved by defining affine layers with finite field multiplication, resulting in improved performance. **FASTA** [CIR22] as a variant of Rasta designed for efficient homomorphic packed evaluation over BGV schemes. Its linear layer, a rotation-based transformation, is combined with five parallel calls of a specific Rasta instance. Each of these instances operates with the 329-bit key and contributes to generating a portion of the keystream. Chaghri [AMT22], a recently proposed SPN-based cipher, follows the Marvellous design strategy $[AABS^+20]$. It operates in rounds, with each round comprising three layers: S-box, linear, and subkey injection. The subkeys for injection are derived from the master key using a key schedule algorithm. In the S-box layer, a power map x^{a} is applied to each state element, followed by an invertible affine transformation. Regrettably, Chaghri's author only compared their work with **AES**, achieving a running time of 54.32 seconds per block compared to 97.84 seconds per block for AES. Chaghri fails to surpass other HHE schemes in performance. Consequently, we have left it out of the state-of-the-art schemes.

Register-based approach. In 2015, the authors of **Kreyvium** $[CCF^+15]$ proposed a completely different approach, relying on tailor-made stream ciphers. Kreyvium is a variant of the Trivium [DCP08] stream cipher, designed to deliver 128-bit security while preserving its performance attributes. Trivium is a stream cipher that has garnered recommendation within the eSTREAM portfolio of stream ciphers [ECR12]. The authors introduced a new HHE structure (Figure 3) consisting of two phases: the **offline** and **online** phases. The offline phase is independent of the plaintext and can be completed in advance. In contrast, the online phase is executed upon receiving the symmetric ciphertext, which depends on the plaintext input.



Figure 3: Kreyvium HHE framework [CCF⁺15]

Kreyvium's plaintext space has been considered as $\{0,1\}$. The stream cipher is a combination of an expansion function G, which maps ℓ_{IV} -bit strings to strings of arbitrary size, and a fixed-size parametrized function F with input size ℓ_x , parameter size $\ell_{\rm K}$ and output size N. The expansion function G is a CTR mode counter defined as $G(IV, t \cdot \ell_x) = (IV, IV \boxplus 1, \ldots, IV \boxplus (t-1))$ where $a \boxplus b = (a+b) \mod 2^{\ell_x}$. Additionally, F is designed to generate the keystream using a synchronization function that takes the IV and K and outputs an n-bit initial state, a transition function that computes the next state, and a filtering function that takes the internal state and computes the keystream based on that.

The authors of **FLIP** [MJSC16] proposed an alternative method with a similar objective. FLIP stream cipher incorporates a filter permutator using a forward PRNG [BY03] based on AES-128, the Knuth shuffle $[D^+97]$ bit permutation generator, and a filter function. This design achieves consistent noise reduction when integrated with HE schemes. FLIP's authors propose a boolean filtering function optimized for FHE schemes like GSW [GSW13] and FHEW [ASP14]. The authors introduced a novel framework, named Homomorphic Encryption-Symmetric Encryption (HE-SE), comprising five steps, as illustrated in Figure 4. FLIP has shown certain security weaknesses [DLR16]. In response to these vulnerabilities, the authors introduced FiLIP [MCJS19], an enhanced iteration of FLIP, adopting the Improved Filter Permutator (IFP) paradigm. The main advantage of IFPs is that they can apply to any filtering function and register size, providing a general framework for determining the security of IFP instances. FiLIP's authors coined the term Transciphering adopted widely among other HHE schemes. The homomorphic evaluation of the SKE cipher's decryption circuit is known as *transciphering*, and it is the most resource-intensive part of HHE frameworks. It is noteworthy that *transciphering* is often denoted by terms such as *decompression* or *decomp* in the context of HHE schemes.



Figure 4: General HHE framework [MJSC16]

Ultimately, recent HHE design advancements have suggested schemes such as **PASTA**, **HERA**, and **Rubato**, leveraging robust linear layers and S-boxes. In addition, **Elisabeth** follows the FP design paradigm. The subsequent sections delve into the specifics of these diverse HHE schemes.

4.3 Pasta

Pasta [DGH⁺23] is a new stream cipher optimized for integer use cases over \mathbb{F}_p . Pasta's authors provide an extensive comparison of different existing symmetric ciphers in the context of HHE spanning several libraries. Their results show that Pasta achieves a better balance between ciphertext expansion and computational efficiency compared to existing symmetric ciphers. This better balance is accomplished by combining efficient techniques for the S-boxes and linear layers specifically tailored for integer arithmetic over \mathbb{F}_p . The design of PASTA is based on the Rasta design strategy, namely splitting the cipher into two parallel branches to optimize the linear layer. Taking K as an input, Pasta's permutation is as follows:

$$P_{N,i} = A_{r,N,i} \circ S_c \circ A_{r-1,N,i} \circ S_{fq} \circ A_{r-2,N,i} \circ \ldots \circ A_{1,N,i} \circ S_{fq} \circ A_{0,N,i}$$

It utilizes two types of S-boxes, as previously defined in Section 2. Further, similar to Rasta, Pasta's affine layer was defined as follows:

$$A_{j,N,i} = \begin{bmatrix} 2 \cdot I & I \\ I & 2 \cdot I \end{bmatrix} \begin{bmatrix} M_{j,L,N,i}(\mathbf{x}_L) + \mathbf{c}_{j,L,N,i} \\ M_{j,R,N,i}(\mathbf{x}_R) + \mathbf{c}_{j,R,N,i} \end{bmatrix}$$

where $I, M \in \mathbb{F}_p^{t \times t}$, and $\mathbf{c} \in \mathbb{F}_p^t$ represent the identity matrix, invertible matrix, and constant for each round, respectively. It is worth noting that FASTA was introduced after Pasta; however, the authors abstained from a direct comparison between FASTA and Pasta. Instead, they asserted that FASTA's design signifies an improvement over the Rasta and Dasta schemes.

4.4 **HERA**

HERA [CHK⁺21] is an HE-friendly stream cipher with a randomized key schedule. HERA's author proposed a new transciphering framework called RtF (Real-to-Finite-field) for efficient computation over encrypted data of real numbers using HE. The framework combines the CKKS and BFV homomorphic encryption schemes and uses HERA stream cipher with modular arithmetic in between. Following the design paradigm of Kreyvium, RtF also incorporates two distinct phases for offline and online computation. HERA encrypts a real message vector $\mathbf{m} \in \mathbb{R}^n$ on the client side and converts the ciphertexts into the corresponding CKKS ciphertexts on the server side. The main idea behind the HERA cipher is to use a simple randomized key schedule to generate a set of polynomials over \mathbb{Z}_t in unknowns $\{k_0, \ldots, k_{15}\}$, where $k_i \in \mathbb{Z}_t$ denotes the *i*-th component of the secret key $K \in \mathbb{Z}_t^{16}$. Taking K as an input, HERA's permutation is as follows:

$$P_N = Fin_{r,N} \circ RF_{r-1,N} \circ \ldots \circ RF_{1,N} \circ KSD_{0,N},$$

where Fin, RF, and KSD denote the final round function, round function, and key scheduler, respectively. For each round 1 < i < r - 1, the round function is defined as

$$RF_{i,N} = KSD_{i,N} \circ S_c \circ MixRows \circ MixColumns$$

Additionally, the last round function operates on the final round r as

$$Fin_{r,N} = KSD_{r,N} \circ MixRows \circ MixColumns \circ S_c \circ MixRows \circ MixColumns$$

Finally, the key scheduler component is a product between a uniformly random value $\mathbf{rc} \in (\mathbb{Z}_t^{16})^{r+1}$ obtained from an XOF function fed by a nonce N, and K, denoted as $KSD_{i,N}(\mathbf{x}) = \mathbf{x} + \mathbf{K} \odot \mathbf{rc}_i$. Compared to other HE-friendly ciphers, such as FLIP and Rasta, which use randomized linear layers, HERA requires fewer random bits, significantly improving its efficiency on both the client and server sides.

4.5 Rubato

Rubato [HKL⁺22] is a family of noisy ciphers for approximate homomorphic encryption based on HERA design. Rubato introduces noise to a symmetric cipher of low algebraic degree, significantly reducing multiplicative complexity without compromising security. Rubato operates in the same transciphering framework as RtF. It takes a symmetric key and a nonce as input and returns a keystream. The keystream is generated by applying linear and nonlinear transformations to the input key and nonce. The noise is introduced during the encryption process, resulting in a noisy cipher that is not suitable for the transciphering of exact data. Same as HERA, Rubato uses a randomized key schedule to generate a set of polynomials over \mathbb{Z}_q in unknowns $\{k_0, \ldots, k_n\}$, where $k_i \in \mathbb{Z}_q$ denotes the *i*-th component of the secret key $K \in \mathbb{Z}_q^n$. Taking K as an input, Rubato's permutation is as follows:

$$P_N = NF \circ Fin_{r,N} \circ RF_{r-1,N} \circ \ldots \circ RF_{1,N} \circ KSD_{0,N}$$

where NF, Fin, RF, and KSD denote the adding noise, final round, round functions, and key scheduler, respectively. For each round 1 < i < r - 1, the round function is defined as

$$RF_{i,N} = KSD_{i,N} \circ S_{fg} \circ MixRows \circ MixColumns$$

Additionally, the last round function operates on the final round r as

 $Fin_{r,N} = TR_{n,l} \circ KSD_{r,N} \circ MixRows \circ MixColumns \circ S_{fq} \circ MixRows \circ MixColumns,$

where $TR_{n,l}: \mathbb{Z}_q^n \to \mathbb{Z}_q^l$ is the truncation function. Same as HERA, the key scheduler denoted as $KSD_{i,N}(\mathbf{x}) = \mathbf{x} + \mathbf{K} \odot \mathbf{rc}_i$, where $\mathbf{rc} \in (\mathbb{Z}_q^n)^{r+1}$. Finally, Gaussian noise is

added to the output of the final round (x_1, \ldots, x_l) as $NF(x) = (x_1 + e_1, \ldots, x_l + e_l)$, where (e_1, \ldots, e_l) are *l* elements sampled from a one-dimensional discrete Gaussian distribution. For a given message vector $\mathbf{m} \in (\mathbb{R}^l)^b$, Rubato encryption is defined as $c = \lfloor \Delta \cdot \mathbf{m} \rfloor + \mathbf{ks} \mod q$, where $\mathbf{ks} \in (\mathbb{Z}_q^l)^b$ is the keystream of *b*-block generated by the Rubato cipher, and $\Delta \in \mathbb{R}$ is the scaling factor. Compared to Pasta and HERA, Rubato exhibits lower multiplicative depth and demands fewer random bits for linear layers.

4.6 Elisabeth

Elisabeth [CHMS22] is a stream cipher optimized for the TFHE scheme. It provides a variety of server-side operations for homomorphic evaluation, especially for neural network inference. It uses a Group Filter Permutator (GFP) paradigm. The filters in Elisabeth's design use the fewest levels possible of NLUT to parallelize computation efficiently. Taking $\mathbf{K} \in \mathbb{Z}_q^n$ as input, with q a power of two, Elisabeth's *i*-th keystream is $\mathbf{ks}_i = F(P_i(S_i(\mathbf{K})) + w_i)$, where $S_i : \mathbb{Z}_q^n \to \mathbb{Z}_q^k$ is a subset extraction function that picks at random k elements in \mathbb{Z}_q^n , P_i is a random permutation and $w_i \stackrel{\$}{\leftarrow} \mathbb{Z}_q^k$ is a random mask. $F : \mathbb{Z}_q^k \to \mathbb{Z}_q$ is the filter function, defined as:

$$F(x_1,\ldots,x_k) = \bigoplus_{i=1}^{k/t} f(x_1,\ldots,x_t)$$

with \bigoplus the direct sum over \mathbb{Z}_q , t a divisor of k, and $f : \mathbb{Z}_q^t \mapsto \mathbb{Z}_q$ a sequence of additions and NLUT evaluations. The choice of t and the definition of f are key parameters towards the optimization of Elisabeth stream cipher. We refer the reader to the complete analysis provided by Cosseron *et al.* [CHMS22] for more detail on the NLUT choices and the construction of f.

5 Evaluation

We commence by examining the specifications of various HHE ciphers to provide a comprehensive overview of their distinct properties. Our analysis summarizes the implementation of each HHE scheme based on the authors' claims and their support for open science. Subsequently, we assess the performance of three cutting-edge HHE schemes: PASTA, HERA, and Rubato, in a real-world setting, employing our implementation. The source codes for both the client and server sides are composed in Golang version 1.21.5, leveraging the Lattigo library [lat23] version 5.0.2. This library presently supports B/FV, BGV, and CKKS schemes. Our experiments were conducted in a single-threaded environment, with the client side operating on a laptop equipped with an Intel Core i5-9300H CPU @ 2.40GHz and 16GB memory and the server side on a PC powered by an Intel Core i7-8700 CPU @ 3.20GHz with 64GB memory.

5.1 Implementations of HHE Ciphers.

As depicted in Table 1, various HHE ciphers have been proposed, each with different properties. Most of these ciphers are designed to operate with one or more HE schemes, depending on the plaintext space and data type. To incorporate these HHE ciphers into an HHE framework, it is necessary to implement the decryption circuit using a library that provides an Application Programming Interface (API) to support the requisite HE scheme. There are numerous libraries available for implementing HE schemes. We direct the reader to [GMT23], where the authors thoroughly analyze these diverse libraries to ascertain their respective strengths and weaknesses.

		1	1		
Cipher	\mathbf{T}^1	Supported HE	Security (bits)	Tool	Field
LowMC	В	B/FV, BGV, TFHE	80,128,256	S-box	\mathbb{F}_2
Kreyvium	S	B/FV, BGV, TFHE	128	FP	\mathbb{F}_2
FLIP	S	B/FV, BGV, TFHE	80, 128	FP	\mathbb{F}_2
R & A 2	S	B/FV, BGV, TFHE	80,128,256	S-box	\mathbb{F}_2
FiLIP	S	B/FV, BGV, TFHE	80,128	FP	\mathbb{F}_2
Dasta	S	B/FV, BGV, TFHE	80, 128, 256	S-box	\mathbb{F}_2
Masta	S	B/FV, BGV	80,128	S-box	\mathbb{F}_p
PASTA	S	B/FV, BGV	80, 128	S-box	\mathbb{F}_p
FASTA	S	B/FV, BGV	128	S-box	\mathbb{F}_2
HERA	S	CKKS	80,128,256	S-box	\mathbb{F}_p
Rubato	S	CKKS	80,128	S-box	\mathbb{F}_p
Elisabeth	S	TFHE	128	FP	$\mathbb{F}_{16}, \mathbb{F}_2$
Chaghri	В	BGV	128	S-box	\mathbb{F}_2

 Table 1: HHE Ciphers Properties

 $^1\,{\bf T}$ denotes cipher type, S: Stream cipher and B: Block cipher

² Rasta and Agrasta

We have examined the available implementations for various HHE schemes to comprehend the proposed HHE framework and ensure the fairness of their experiments. Our findings, which are presented in Table 2, illustrate the availability of various cipher implementations that support open science, and it also compares these ciphers with their counterparts. As Table 2 illustrates, recent schemes such as PASTA, HERA, and Elisabeth are accompanied by open-source implementations, enabling comparisons with numerous other HHE schemes.

The authors of PASTA provide a comprehensive framework named *hybrid-HE- frame-work* [Hyb21], which includes implementations for comparing eight ciphers across three different libraries. The authors of HERA implemented their *RtF-Transciphering* framework [RtF21] using the Lattigo library, providing implementations only for HERA and Rubato. However, they claim to compute comparison metrics with other works directly from Dasta [HL20], which is *not* implemented in the same programming language, leading to an *unfair comparison*. The authors of Elisabeth used the Concrete Library to implement their scheme in Rust [Eli22], implementing only the FiLIP cipher and using the results from PASTA's benchmarking framework to compare their work with others.

Cipher	Comparison	Library	Language	Open-source
C1: LowMC	AES, PRINCE	HElib	C/C++	1
C2: Kreyvium	C1, Trivium	HElib	C/C++	X
C3: FLIP	C1, C2	HElib	C/C++	X
C4: Rasta, Agrasta	C1, Trivium, C2, C3	HElib	C/C++	1
C5: FiLIP	C1, C3, C4	HElib	C/C++	X
C6: Dasta	C4	HElib	C/C++	X
C7: Masta	C4	HElib	C/C++	X
C8: PASTA	C1, C2, C4, C5, C6, C7, C9	HElib, SEAL, TFHE	C/C++	1
C9: HERA	C1, C3, C4, C6, C7	Lattigo	Golang	1
C10: Fasta	C4	HElib, TFHE, PALISADE	C/C++	1
C11: Rubato	C7, C8, C9	Lattigo	Golang	1
C12: Elisabeth	C1, C2, C5, C6, C7, C8, C9	Concrete	Rust	1
C13: Chaghri	AES	HElib	C/C++	X

Table 2: HHE Ciphers with Open-Source Implementation

 \checkmark denotes that the implementation is open-source and available for benchmarking.

 ${}^{{}_{\boldsymbol{\mathcal{X}}}}$ denotes denotes that the implementation is not publicly available.

Our study reveals that among all these implementations, PASTA's framework covers implementations for most of the HHE schemes in the same programming language. However, their implementation has two major flaws. First, it does not separate client and server execution as in a real-world application setting. Second, it does not use large input vectors to fully analyze the performance of the HHE schemes. Elisabeth's implementation encountered a similar issue. Likewise, the author of PASTA did not implement Rubato, which is claimed to be more efficient than HERA for client-side computations.

5.2 Our HHE Benchmarking Approach

To the best of our knowledge, none of the existing HHE implementations are intended for use in real-world scenarios, with *independent* client and server implementations. Furthermore, as shown in Figure 5, past works' comparisons have always been conducted on *powerful* devices with no resource constraints. To ensure a fair and realistic comparison, our implementation includes two primary components, client and server, that can be executed *independently*. We implemented PASTA, HERA, and Rubato ciphers in Lattigo. However, as Lattigo does not yet fully support TFHE, we could *not* implement Elisabeth [CHMS22] and include it in our comparison. Yet, according to the comparison provided in [CHMS22], PASTA and HERA outperform Elisabeth regarding running time per bit for 128-bit security; therefore, the same results are expected.



Figure 5: Two different settings with specification for HHE implementation: (left) non-real-world settings, (right) our real-world setting

Golang Benchmarking Tool. Golang incorporates built-in tools for crafting comprehensive benchmarks, offering statistics for each process execution. These statistics encompass the following metrics:

- M1. Average Latency represents the average execution time per operation.
- M2. *Memory allocation* indicates the total number of bytes allocated per operation on the heap.
- **M3.** Number of (memory) allocations denotes the number of memory allocations required for each operation.

Memory allocation operations require CPU resources to locate the proper memory chunks. As a result, a rise in the number of memory blocks created for memory allocations corresponds to a higher CPU resource consumption. To optimize code execution performance, efforts should be made during the scheme's implementation to reduce excessive memory allocations [P122]. Thus, measuring such metrics is critical for developing new memory-efficient HHE schemes.

We utilized the standard Golang benchmarking tool to evaluate the mentioned metrics for HHE schemes. A lower value for each metric is preferred to achieve an efficient scheme. To ensure a fair comparison, we provided parameters in Table 3 that yield the same security level (128-bit). For simplicity, each set of parameters is named and will be used to present results. Furthermore, we opted to generate random plaintext vectors of size N, where N denotes the maximum number of coefficients, also referred to as the maximum number of slots for plaintext and ciphertext, corresponding to the HE parameters.

Param	#Rounds	#Key	#Blocks	$\log_{2}\mathbf{P}$	$\log_{2} \mathbf{N}$				
		(words)	(words)						
PASTA									
P3-1614	3	256	128	16	14				
P3-3215	3	256	128	32	15				
P3-6015	3	256	128	60	15				
P4-1614	4	64	32	16	14				
P4-3215	4	64	32	32	15				
P4-6016	4	64	32	60	16				
		HERA	ł						
H5-2816	5	16	16	28	16				
H5-2516	5	16	16	25	16				
		Rubat	0						
R5-2616	5	16	12	26	16				
R3-2516	3	36	32	25	16				
R2-2516	2	64	60	25	16				

 Table 3: Benchmarking Parameters

In our implementation, the execution flow of HHE schemes is divided into five functions: KeyGen, Encap, Enc, Decomp, and *Relinearization* and *Rotation* (R&R) KeyGen (also known as halfboot keys in HERA and Rubato schemes).

The first four functions are explained in Definition 4.1. In principle, the R&R KeyGen is considered part of KeyGen; however, in practice, it operates on the *server-side* to produce the requisite keys for Decomp, due to its high resource consumption. The client uses KeyGen, Encap, and Enc to generate HE keys, then creates a master symmetric key K and encrypts it with the respected HE scheme. She then encrypts her data using K. Upon receiving encrypted data and homomorphically encrypted $c_{\rm K}$, the server uses the R&R KeyGen and Decomp functions to **transcipher** symmetrically encrypted data into homomorphic data. This approach allows the server to perform HE operations on the data.

Param	Time	Memory Allocs	#Allocs
	(ms/op)	$({ m MB/op})$	(allocs/op)
P3-1614	35.89223	17.64820862	859835
P3-3215	42.30898	21.32488155	860274
P3-6015	49.06042	27.65648174	860290
P4-1614	2.910659	1.417246819	69212
P4-3215	3.405685	1.698161125	69222
P4-6016	3.964417	2.198654175	69257
H5-2816	0.075601	0.01574707	858
H5-2516	0.084632	0.01574707	858
R5-2616	0.143319	0.049766541	1229
R3-2516	0.084013	0.026374817	691
R2-2516	0.052809	0.017601013	483

Table 4: Results for Symmetric Ciphers

<u>Client-Side</u>: The results of the client-side experiment, including keystream generation and encrypting a vector of 128 plaintexts, are presented in Table 4. The results indicate that Rubato outperforms HERA, PASTA-4, and PASTA-3 by an average factor of $1.2 \times$, $42 \times$, and $500 \times$, respectively, regarding running time per operation. Furthermore, Rubato surpasses PASTA-4 and PASTA-3 by an average factor of $710 \times$ and $56 \times$, respectively, regarding memory allocation per operation. However, it should be noted that Rubato consumes more memory per operation ($20 \times$) than HERA while having a better number of allocations per operation for R3-2516 and R2-2516. Server-Side: The results of server-side experiments for PASTA, HERA, and Rubato are summarized in Table 5, aligning with the metrics used on the client side. The results reveal that for R&R KeyGen, PASTA-3 exceeds PASTA-4, HERA, and Rubato by an average factor of $3.1 \times, 5.2 \times,$ and $5.4 \times$ in regards to running time. Likewise, PASTA-3 outperforms PASTA-4, Rubato, and HERA by an average of $3\times$, $7.8\times$, and $7.9\times$, respectively, regarding memory allocation. However, Rubato surpasses HERA, PASTA-3, and PASTA-4 by an average factor of $1.1 \times$, $15.7 \times$, and $29.4 \times$, respectively, in terms of running time. HERA outperforms Rubato, PASTA-3, and PASTA-4 by an average of $1.5\times$, $29.9\times$, and $35.6\times$ in memory allocation, respectively. Experiments on the average execution time and memory consumption for performing Decomp indicate that Rubato and HERA schemes surpass PASTA by a significant order of magnitude. The advantage is ascribed to their low multiplicative depth for the decryption circuit and the data encoding techniques, resulting in using all coefficients in the ciphertext polynomial rings. Utilizing CKKS encoding techniques and transferring data between coefficients and slots for decoding enhances the efficiency of evaluating the symmetric circuit – widely regarded as the most computationally expensive component of HHE schemes - for HERA and Rubato.

Param	Benchmark	Time	Memory Allocs	#Allocs
		(s/op)	(MB/op)	(allocs/op)
P3-1614	KeyGen	0.0397791	51.08751678	21873
	R&R KeyGen	7.287907	1808.771645	71191
	Encap	0.0265839	4.968841553	243
	Enc	3.7912274	2258.974701	110063048
	Decomp	780.66732	384619.065	91051940
P3-3215	KeyGen	0.0785246	101.573555	21877
	R&R KeyGen	27.1918257	6608.258629	99038
	Encap	0.0560374	9.921966553	243
	Enc	8.9038207	5453.794571	220208763
	Decomp	3269.26386	1532179.02	181687981
P3-6015	KeyGen	0.0793637	101.5717239	21872
	R&R KeyGen	27.0965226	6608.259872	99042
	Encap	0.0557442	9.921966553	243
	Enc	10.4351284	7079.774445	220202696
	Decomp	3278.80850	1533410.287	181062228
P4-1614	KevGen	0.041437	51.08769989	21875
	R&R KeyGen	26.4933591	6206.03894	152984
	Encap	0.0251192	4.968841553	243
	Enc	1.2451438	725.6864471	35442042
	Decomp	1747 36410	550370 2523	55599409
P4-3215	KeyGen	0.0791121	101 5734787	21876
140210	R&B KeyGen	101 6135308	24378 86888	264367
	Encap	0.0532069	9 921966553	243
	Enc	2 8978117	1743 103241	70890711
	Decomp	7371 90987	2195520.681	110678564
H5-2816	KeyGen	1 1824637	1570 83329	375771
110 2010	R&R KeyGen	106 4032398	40065 93994	140442
	Encan	0.2174537	3818 //852/	8531
	Enc	0 56478	125 0010531	6881377
	Docomp	170 68644	40001 24128	6500545
H5 9516	KeyCon	1 0769753	1427 112785	300405
110-2010	ReyGen DirD KowCon	110 6270202	20142 16197	126406
	From	£ 6461860	3610 421022	130400 8115
	Encap	0.0401009	125 0010521	6881277
	Decomp	165 10207	120.0019001 36003 18815	6502104
DE 9616	KeyCon	1.00.19297	1497 119699	200477
nə-2010	DID VC	1.0813324	20142 16061	390477
	næn neygen Encor	0.9465594	39143.10001 2610.421046	130400
	Encap	9.2400084	2010.431940	0110 7020020
	Enc Darama	0.81809	324.3014048 95019 47947	1929929 6459755
D2 0510	Decomp	91./0/28	20918.4/34/	0452755
к <i>3</i> -2516	ReyGen	1.0070216	1427.111946	390487
	K&R KeyGen	110.9901145	39143.16535	136418
	Encap	20.9380585	8085.594765	18161
	Enc	1.24966	415.5039139	10682562
D 0 0 0 0 0 0	Decomp	148.61062	56542.57570	9692901
R2-2516	KeyGen	1.0687663	1427.111885	390482
	R&R KeyGen	111.11005	39143.15746	136383
	Encap	36.6681119	14341.22204	32208
	Enc	1.66919	512.5073242	13631849
	Decomp	224.21408	98637.28681	12964543

Table 5: Benchmark results for HHE schemes in full-coefficient mode

Overall Findings. Our comparison concentrated on memory allocation and execution time metrics for both client and server. Below is a summary of our key findings:

- **F1.** Utilizing the **B/FV** scheme, PASTA variations perform faster and need less memory than HERA and Rubato variations for both KeyGen and Encap.
- F2. In Enc, HERA marginally outperforms Rubato, while both surpass PASTA regarding execution time and memory utilization.
- F3. The R&R KeyGen function appears to be more memory-intensive and time-consuming in HERA and Rubato than in PASTA.
- **F4.** Rubato and HERA's **Decomp** function surpasses PASTA in execution time and memory consumption by a significant order of magnitude, respectively. The advantage is ascribed to their low multiplicative depth for the decryption circuit and data encoding techniques, mainly when the **CKKS** is employed as the underlying HE scheme.
- F5. We discovered an out-of-memory problem with P4-6016, so, our analysis excludes the server-side results for P4-6016. These findings underscore a certain level of impracticality. More precisely, despite being on the server side and assuming unlimited resources, it is essential to acknowledge that these resources are paid for. Therefore, running these experiments in the cloud would incur significant costs, rendering it financially unsustainable for many users.

Discussion on the choice of HE library. When we started our study, selecting an appropriate programming language for our implementations was a key decision. Given the available libraries, we identified two main options: OpenFHE (C++) [ABBB+22] and Lattigo (Go) [lat23]. Eventually, we chose Lattigo due to three main reasons:

- **D1.** Compared to C++, Go allows developers to design and develop applications rapidly and cost-effectively using modern software development methods. Furthermore, Go offers a more flexible maintenance process a characteristic that is of paramount importance for industries wishing to build HHE services.
- **D2.** Go supports multi-platform deployment and execution due to its building capabilities. Developers can readily deploy Go and Lattigo-based applications using Docker.
- **D3.** Two primary schemes of our study HERA and Rubato were already implemented in Lattigo (not in a client-server setting). Hence, we already have a good starting point for our library. Therefore, we decided to implement PASTA, HERA, and Rubato using our modular approach and migrate to the necessary dependencies. Even though Lattigo is a promising library for HE, it does not have built-in support for the TFHE scheme. However, it is currently under continuous development, and TFHE support is already planned. This will allow us to add the missing implementation for the Elizabeth scheme.

Complexity. Initially, we intended to augment our experiments with a comprehensive comparison of the complexity of the examined schemes. We believed this would provide an additional, insightful metric for evaluating the efficiency of various HHE schemes. However, upon commencing the complexity analysis, we determined that a practical assessment is the only pertinent method to evaluate scheme efficiency (due to space constraints, further elaboration on this point is not feasible here). This observation may also explain why such an analysis is often *absent* in many HHE papers.

Open Science and Reproducible Research. To support open science and reproducible research and provide other researchers with the opportunity to use, test, and hopefully extend our implementation, the source code used for our evaluations has been made available online¹.

¹https://github.com/hosseinabdinf/HHELand

6 Security

This section provides a detailed and formal outline of the semantic security required for an HHE scheme to be fulfilled. We then examine diverse attack strategies employed to compromise HE-friendly symmetric ciphers. Notably, our analysis underscores that despite meeting established security criteria, these ciphers remain susceptible to various attacks, posing a potential threat to the security of the corresponding HHE scheme.

6.1 Security definitions

This subsection is a background for the definition of HHE security given in Definition 7. We try to capture the concept of perfect secrecy introduced by C. Shannon in 1946, stating that the ciphertext gives the adversary no information about the underlying message. This notion is well-defined for traditional PKE schemes, but there is still no clear consensus on its definition for HE. In particular, a recent article from B. Li and D. Micciancio [LM21] introduced a novel notion of semantic security, namely IND-CPA^D, designed for approximate HE schemes, as CKKS. This new security definition is more robust and comprehensive, as it addresses several flaws of traditional IND-CPA in the case of approximate HE. However, J. H. Cheon *et al.* [CCP⁺24] discuss it as too strong for practical use in cryptography in the general case. For this reason, we chose to rely on the traditional definition of IND-CPA security and extend it to a general definition of security for HHE. Nonetheless, for the sake of comparison, the definition of IND-CPA^D is provided in Definition 5.

Definition 4 (Semantic Security for HE). Let HE = (KeyGen, Enc, Eval, Dec) be an HE scheme for message space \mathcal{M} and functionality space $\mathcal{F} : \mathcal{M}^n \to \mathcal{M}$. We say that HE is semantically secure if for all PPT adversary \mathcal{A} , it holds that the advantage of \mathcal{A} given by $\mathbf{Adv}_{\text{HE},\mathcal{A}}^{\text{ind-cpa}}(\lambda) = \left| Pr\left[\mathbf{Exp}_{\text{HE},\mathcal{A}}^{\text{ind-cpa}}(\lambda) \to \text{true} \right] - \frac{1}{2} \right|$ is negligible in λ , where the experiment is defined as in Figure 6.

$ \begin{array}{ccc} \underline{\mathbf{Exp}_{\mathtt{HE},\mathcal{A}}^{\mathrm{ind-cpa}}(\lambda):} & & \underline{\mathcal{O}}\mathrm{Eit} \\ \hline b \stackrel{\$}{\leftarrow} \{0,1\}; \ L \leftarrow \emptyset & & \mathrm{If} \ m \\ (\mathtt{sk},\mathtt{pk},\mathtt{evk}) \stackrel{\$}{\leftarrow} \mathtt{KeyGen}(1^{\lambda}) & & \\ b' \leftarrow \mathcal{A}(1^{\lambda},\mathtt{pk},\mathtt{evk}) & & & \\ \mathbf{return} \ (b = b') & & & \\ \end{array} $	$\begin{array}{ll} & \mathcal{C}\text{RYPT}(\texttt{pk}, m_0, m_1): \\ (m_1 \notin \mathcal{M}: \texttt{return} \perp \\ L \cup \{c\} \\ \texttt{rn} c \end{array} \begin{array}{l} \mathcal{O}\text{Eval}(\texttt{evk}, f, (c_1, \ldots, c_n)): \\ & \text{If} \ f \notin \mathcal{F}: \texttt{return} \perp \\ & \text{For} \ i \in [1, n] \\ & \text{If} \ c_i \notin L: \texttt{return} \perp \\ & c \leftarrow \texttt{Eval}(\texttt{evk}, f, (c_1, \ldots, c_n)) \\ & L \leftarrow L \cup \{c\} \\ & \text{return} \ c \end{array}$
---	--

Figure 6: Security indistinguishability game for HE. $\mathbf{Exp}_{\mathrm{HE},\mathcal{A}}^{\mathrm{ind-cpa}}$ is the ind-cpa experiment for an HE scheme HE and PPT adversary \mathcal{A} . The two additional algorithms are the oracles accessible to \mathcal{A} .

This security game involves a challenger, playing the role of a user and an adversary \mathcal{A} represented as a PPT algorithm. \mathcal{A} is provided access to two oracles he can call a finite number of times, according to its capabilities: \mathcal{O} ENCRYPT, which, on the input of two plaintexts chosen by the adversary, outputs the encryption of one of them depending on the bit *b* randomly chosen at the beginning of the game, and \mathcal{O} EVAL, which runs the evaluation algorithm for a valid function *f* and a tuple of ciphertext known by the adversary. This game maintains a record of the ciphertexts known by the adversary through a list *L*. Hence, every call of an oracle expands the list *L* with a new ciphertext. The adversary's goal is to guess the bit *b*, and we consider that he wins if he can find *b* with a probability significantly different than a random guess, that is 1/2.

IND-CPA^D Security. As mentioned in Section 6.1, recent works state that IND-CPA is insufficient to capture the semantic security of HE. On the initiative of Li and Micciancio [LM21], the notion of IND-CPA^D was first defined in 2020 and has ever since raised many discussions and disagreements in the community. The name IND-CPA^D stands for Indistinguishability under Chosen Plaintext Attack with a Decryption oracle, as the only but crucial difference with traditional IND-CPA is the introduction of a particular decryption oracle.

Throughout this game, the list L does not only keep records of a ciphertext c, but stores every query as a tuple (m_0, m_1, c_β) , where m_0, m_1 are the plaintexts sent by \mathcal{A} , and c_β the ciphertext output by the encryption oracle \mathcal{O} ENCRYPT, depending on the bit β . Concerning the evaluation oracle \mathcal{O} EVAL for a function f and ciphertexts $(m_0^1, m_1^1, c_\beta^1), \ldots, (m_0^n, m_1^n, c_\beta^n)$, the plaintexts m_0, m_1 are the output of the function f on the corresponding component, that is $m_0 = f(m_0^1, \ldots, m_0^n)$ and $m_1 = f(m_1^1, \ldots, m_1^n)$. Therefore, the list L exhaustively keeps all the queries, which permits properly defining the decryption oracle. Given a ciphertext (m_0, m_1, c_β) sent by \mathcal{A} , \mathcal{O} DECRYPT outputs the decryption of c_β . This query is made under the obvious assumption that the ciphertext does not depend on the bit β , as it would give a straightforward advantage to \mathcal{A} . This assumption is formalized by verifying that $m_0 = m_1$.

Definition 5 (IND-CPA^D Security). Let HE = (KeyGen, Enc, Eval, Dec) be an HE scheme for message space \mathcal{M} and functionality space $\mathcal{F} : \mathcal{M}^n \to \mathcal{M}$. We say that HE is semantically secure if for all PPT adversary \mathcal{A} , it holds that the advantage of \mathcal{A} given by $\text{Adv}_{\text{HE},\mathcal{A}}^{\text{ind-cpa}^D}(\lambda) = \left| Pr\left[\text{Exp}_{\text{HE},\mathcal{A}}^{\text{ind-cpa}^D}(\lambda) \to \text{true} \right] - \frac{1}{2} \right|$ is negligible in λ , where the experiment is defined as follows:

$$\begin{split} \underline{\mathbf{Exp}^{\mathrm{ind-cpa}^{D}}_{\mathrm{HE},\mathcal{A}}(\lambda) :} \\ & \overline{b \stackrel{\$}{\leftarrow} \{0,1\}; L \leftarrow \emptyset} \\ & (\mathtt{sk},\mathtt{pk},\mathtt{evk}) \stackrel{\$}{\leftarrow} \mathtt{KeyGen}(1^{\lambda}) \\ & b' \leftarrow \mathcal{A}^{\mathcal{O}\mathrm{racles}}(1^{\lambda},\mathtt{pk},\mathtt{evk}) \\ & \mathtt{return} \ (b = b') \end{split}$$

\mathcal{O} Encrypt(pk , m_0, m_1) :	$\mathcal{O} ext{Eval}\left(ext{evk}, f, (m_0^i, m_1^i, c^i)_{i\in[n]} ight)$:
If $m_0, m_1 ot\in \mathcal{M}$: return \perp	$\overline{ \text{If } f \not\in \mathcal{F}: \mathbf{return} \perp }$
$e \stackrel{\$}{\leftarrow} \texttt{Enc}(\texttt{pk}, m_{\beta})$	For $i \in [1, n]$:
$L \leftarrow L \cup \{(m_0, m_1, c)\}$	If $(m_0^i, m_1^i, c^i) \not\in L$: return \perp
return c	$m_0 \leftarrow f(m_0^1, \dots, m_0^n)$
$\mathcal{O} Decrypt((m_0,m_1,c)):$	$m_1 \leftarrow f(m_1^1, \dots, m_1^n)$ $c \leftarrow \text{Eval}\left(\text{evk}, f, (c^1, \dots, c^n)\right)$
f $(m_0, m_1, c) \notin L$ or $m_0 \neq m_1$:	$L \leftarrow L \cup \{(m_0, m_1, c)\}$
return \perp	return c
$n \leftarrow \texttt{Dec}(\texttt{sk}, c)$	
$L \leftarrow L \cup \{c\}$	
eturn m	

Figure 7: Oracles in the IND-CPA^D game for an HE scheme HE and PPT adversary \mathcal{A} .

Definition 6 (IND-CPA security for SKE). Let SKE = (Gen, Enc, Dec) be an SKE scheme for message space \mathcal{M} and key space \mathcal{K} . We say that SKE is semantically secure if for all PPT adversary \mathcal{A} , it holds that the advantage $\mathbf{Adv}_{\mathsf{SKE},\mathcal{A}}^{\mathrm{ind-cpa}}(\mu) = \left| \Pr\left[\mathbf{Exp}_{\mathsf{SKE},\mathcal{A}}^{\mathrm{ind-cpa}}(\mu) \to \mathrm{true} \right] - \frac{1}{2} \right|$ of \mathcal{A} is negligible in μ , where the experiment is defined in Figure 9.

Oracles

$\mathbf{Exp}_{\mathtt{SKE},\mathcal{A}}^{\mathrm{ind-cpa}}(\mu)$:	\mathcal{O} Encrypt (m_0, m_1) :
$ \overline{ b \xleftarrow{\$} \{0,1\} ; K} \xleftarrow{\$} Gen(1^{\mu}) $	If $m_0, m_1 \notin \mathcal{M}$ or $ m_0 \neq m_1 $: return \perp $c \stackrel{\$}{\leftarrow} \operatorname{Enc}(\mathtt{K}, m_b)$ $L \leftarrow L \cup \{c\}$ return c

Figure 8: Security indistinguishability game for SKE. $Exp_{SKE,\mathcal{A}}^{ind-cpa}$ is the ind-cpa experiment for an SKE scheme SKE and PPT adversary \mathcal{A} . \mathcal{O} ENCRYPT is an encryption oracle accessible to \mathcal{A} .

The security game for SKE is similar to the previous one, except that the information given to the adversary \mathcal{A} is minimal. In a secret-key setup, \mathcal{A} does not know any key and has access to only a single encryption oracle, \mathcal{O} ENCRYPT, that, on the input of two messages m_0, m_1 chosen by \mathcal{A} , outputs the encryption of m_b under the secret key.

6.2 Semantic Security extended to HHE

This section provides the first security definition for HHE, building on the HE and SKE definitions of the previous section. We also formally demonstrate the semantic security of HHE with respect to our definition.

Definition 7 (IND-CPA Security for HHE). Let HHE = (KeyGen, Encap, Enc, Decomp, Eval, Dec) be an HHE scheme for message space \mathcal{M} and functionality space \mathcal{F} . We say that HHE is IND-CPA secure if for all PPT adversary \mathcal{A} , it holds that the advantage of \mathcal{A} :

$$\mathbf{Adv}_{\mathtt{HHE},\mathcal{A}}^{\mathrm{ind-cpa}}(\lambda,\mu) = \left| Pr\left[\mathbf{Exp}_{\mathtt{HHE},\mathcal{A}}^{\mathrm{ind-cpa}}(\lambda,\mu) \to \mathrm{true} \right] - \frac{1}{2} \right|$$

is negligible in λ and μ , where the experiment is defined in Figure 9.

$\frac{\mathbf{Exp}_{\mathtt{HHE},\mathcal{A}}^{\mathrm{ind}\text{-}\mathrm{cpa}}(\lambda,\mu):}{b \stackrel{\$}{\leftarrow} \{0,1\}; L \leftarrow \emptyset; S \leftarrow \emptyset}$ $(\mathtt{sk}, \mathtt{pk}, \mathtt{evk}) \stackrel{\$}{\leftarrow} \mathtt{KeyGen}(1^{\lambda})$ $(\mathtt{K}, c_{\mathtt{K}}) \stackrel{\$}{\leftarrow} \mathtt{Encap}(\mathtt{pk}, 1^{\mu})$ $b' \stackrel{\$}{\leftarrow} \mathcal{A}(1^{\lambda}, \mathtt{pk}, \mathtt{evk}, c_{\mathtt{K}})$ $\mathtt{return} (b = b')$	$\begin{array}{l} \displaystyle \underbrace{\mathcal{O}\text{ENCRYPT}(m_0,m_1):}_{\text{If }m_0,m_1 \not\in \mathcal{M}:} \\ \text{return } \bot \\ c \xleftarrow{\hspace{0.5mm}} \texttt{Enc}(\texttt{K},m_b) \\ S \leftarrow S \cup \{c\} \\ \text{return } c \\ \\ \displaystyle \underbrace{\mathcal{O}\text{DecomP}(\texttt{evk},c_{\texttt{K}},c_m):}_{\text{If }c_m \not\in S: \text{ return } \bot} \\ c \leftarrow \texttt{Decomp}(\texttt{evk},c_{\texttt{K}},c_m) \\ L \leftarrow L \cup \{c\} \\ \text{return } c \end{array}$	$\frac{\mathcal{O}\text{EVAL}(\texttt{evk}, f, (c_1, \dots, c_n)):}{\text{If } f \notin \mathcal{F}: \texttt{return } \bot}$ For $i \in [1, n]$ If $c_i \notin L: \texttt{return } \bot$ $c \leftarrow \texttt{Eval}(\texttt{evk}, f, (c_1, \dots, c_n))$ $L \leftarrow L \cup \{c\}$ return c
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Figure 9: Security indistinguishability game for HHE. $\mathbf{Exp}_{\mathtt{HHE},\mathcal{A}}^{\mathrm{ind-cpa}}$ is the ind-cpa experiment for an HHE scheme HHE and PPT adversary \mathcal{A} . The three additional algorithms are the oracles accessible to \mathcal{A} .

Finally, we provide the following Theorem 1 that ensures the theoretical security of HHE, given that HE and SKE are secure.

Theorem 1. Let HHE be an HHE scheme built from an HE scheme HE and an SKE scheme SKE. If HE is IND-CPA secure and SKE is IND-CPA secure, then HHE is IND-CPA secure.

Proof. We prove Theorem 1 through a sequence of games, starting with the genuine HHE game and ending with a game where the advantage of \mathcal{A} is negligible. We denote ε_i the advantage of \mathcal{A} for game *i* and $\varepsilon(\lambda)$ (resp. $\varepsilon(\mu)$) its advantage for the HE (resp. SKE) game. **Game 0**: This is the initial genuine HHE game defined in Figure 9. The challenger initializes the game by picking at random a bit $b \stackrel{\$}{\leftarrow} \{0, 1\}$ and generating the keys. On the one hand, she samples the homomorphic keys (pk, sk, evk) $\stackrel{\$}{\leftarrow}$ KeyGen (1^{λ}) , and on the other the encapsulation (K, c_{K}) $\stackrel{\$}{\leftarrow}$ Encap $(pk, 1^{\mu})$. She submits sk, evk, c_{K} to a PPT adversary \mathcal{A} , who has access to the oracles \mathcal{O} ENCRYPT, \mathcal{O} EVAL, \mathcal{O} DECOMP.Eventually, \mathcal{A} outputs a guess b' on the value of b with an advantage ϵ_0 .

Game 1: This game is similar to the previous one, except that the oracle $\mathcal{O}EVAL$ is replaced by an oracle $\mathcal{O}EVAL^{G1}$ defined as follows:

$$\frac{\mathcal{O}\text{Eval}^{\mathbf{GI}}(\text{evk}, f, (c_1, \dots, c_n) :}{\text{If } f \notin \mathcal{F} : \text{ return } \bot}$$

For $i \in [1, n]$: If $c_i \notin L$: return \bot
 $c \stackrel{\$}{\leftarrow} \mathcal{C}; \ L \leftarrow L \cup \{c\}$
return c

In short, when \mathcal{A} calls the $\mathcal{O}EVAL$ oracle, the challenger runs the $\mathcal{O}EVAL^{\mathbf{G1}}$ oracle instead, which returns a random element of the ciphertext space \mathcal{C} . We prove thereunder that a PPT adversary cannot distinguish this game from the previous one (with a non-negligible advantage).

Claim 1. $|\epsilon_0 - \epsilon_1| \leq \epsilon(\lambda)$, where $\epsilon(\lambda)$ is the advantage of an efficient adversary that breaks the HE game.

To prove this claim, we reduce **Game 1** to the HE-IND-CPA game defined in Figure 6. We introduce a key $pk^{G1} := (pk, evk, c_K)$ and an oracle \mathcal{O} ENCRYPT^{G1} as follows:

$$\frac{\mathcal{O}\text{ENCRYPT}^{\mathbf{G}_1}(\mathbf{pk}^{\mathbf{G}_1}, m_0, m_1) :}{\text{If } m_0, m_1 \notin \mathcal{M} : \mathbf{return} \perp c_m \stackrel{\$}{\leftarrow} \mathcal{O}\text{ENCRYPT}(m_0, m_1) c \stackrel{\$}{\leftarrow} \mathcal{O}\text{DECOMP}(\mathbf{evk}, c_{\mathtt{K}}, c_m) \mathbf{return} c$$

Note that the oracle \mathcal{O} ENCRYPT^{G1} is well-defined, as it calls oracle accessible to \mathcal{A} and pk^{G1} only relies on public information. One notices that \mathcal{O} ENCRYPT' corresponds to the classical encryption oracle \mathcal{O} ENCRYPT defined in Figure 6. It follows that \mathcal{A} has access to the oracles of the HE-IND-CPA game, which ends the reduction. Therefore, if \mathcal{A} can break **Game 1**, it has a non-negligible advantage (greater than $\epsilon(\lambda)$) on the HE-IND-CPA game, which concludes.

Game 2: This game proceeds as the previous one, except that we replace the ODECOMP oracle with a new oracle $ODECOMP^{G2}$, defined as follows:

$$\frac{\mathcal{O}\mathsf{D}\mathsf{E}\mathsf{C}\mathsf{O}\mathsf{MP}^{\mathsf{G2}}(\mathsf{evk}, c_{\mathtt{K}}, c_{\mathtt{m}}) :}{\text{If } c_{\mathtt{m}} \notin S : \mathbf{return} \perp c \xleftarrow{\$} \mathcal{C}; \ L \leftarrow L \cup \{c\} \mathbf{return} \ c}$$

In this game, when \mathcal{A} calls the $\mathcal{O}DECOMP$ oracle, the challenger runs the $\mathcal{O}DECOMP^{G2}$ oracle instead. In short, the challenger does not run $\text{Decomp}(\text{evk}, c_{\text{K}}, c_m)$ but instead outputs a random element of the ciphertext space \mathcal{C} . We prove that a PPT adversary cannot distinguish this game from the previous one (with a non-negligible advantage).

Claim 2. $|\epsilon_1 - \epsilon_0| \leq \epsilon(\lambda)$, where $\epsilon(\lambda)$ is the advantage of an efficient adversary that breaks the HE game.

We prove this claim by constructing an adversary \mathcal{B} that can break the HE security game, given an adversary \mathcal{A} that can successfully distinguish the output of the oracle $\mathcal{O}\text{DECOMP}^{\mathbf{G2}}$ from the original oracle $\mathcal{O}\text{DECOMP}$; that is, given c_K and c_m , \mathcal{A} can distinguish $c \stackrel{\$}{\leftarrow} \text{Eval}(\text{evk}, \text{SKE.Dec}, (c_K, c_m))$ from a random element $c \stackrel{\$}{\leftarrow} \mathcal{C}$ with a nonnegligible advantage. During the HE game, \mathcal{B} calls the $\mathcal{O}\text{ENCRYPT}$ oracle for the pair of messages $(0,m) \in \mathcal{M}^2$. The challenger outputs a ciphertext c, the encryption of either 0 or m. \mathcal{B} forwards (evk, c, m) to \mathcal{A} which calls the oracle $\mathcal{O}\text{DECOMP}(\text{evk}, c, m)$. If c = Enc(pk, 0), then c is the encapsulation of the trivial symmetric key c = 0 and m = SKE.Enc(0, m). Therefore, $\mathcal{O}\text{DECOMP}(\text{evk}, c, m)$ outputs Enc(pk, m).

Game 3: The challenger does not replace any oracle in this game. Instead, it operates at the initialization level and replaces c_K with a random element in the ciphertext space. More formally, it replaces the experiment $\mathbf{Exp}_{\mathtt{HHE},\mathcal{A}}^{\mathrm{ind-cpa}}$ with $\mathbf{Exp}_{\mathcal{A}}^{\mathbf{G3}}$ defined as follows:

$$\begin{split} & \underline{\mathbf{Exp}_{\mathcal{A}}^{\mathbf{G3}}(\lambda,\mu):} \\ & b \stackrel{\$}{\leftarrow} \{0,1\}; \ L \leftarrow \emptyset; \ S \leftarrow \emptyset \\ & (\mathsf{sk},\mathsf{pk},\mathsf{evk}) \stackrel{\$}{\leftarrow} \operatorname{KeyGen}(1^{\lambda}) \\ & \mathsf{K} \stackrel{\$}{\leftarrow} \operatorname{Gen}(\mathsf{pk}); \ c \stackrel{\$}{\leftarrow} \mathcal{C} \\ & b' \stackrel{\$}{\leftarrow} \mathcal{A}^{\operatorname{Oracles}}(1^{\lambda},\mathsf{pk},\mathsf{evk},c) \\ & \mathbf{return} \ (b = b') \end{split}$$

This game aims to make homomorphic parameters unusable to reduce the standard SKE-IND-CPA game defined in Definition 6.

Claim 3. $|\epsilon_3 - \epsilon_2| \leq \epsilon(\lambda) + \epsilon(\mu)$, where $\epsilon(\lambda)$ is the advantage of an efficient adversary that breaks the HE game and $\epsilon(\mu)$ is the advantage of an efficient adversary that breaks the SKE game.

The key argument to prove this claim is that the homomorphic ciphertext c_{K} is not used in any oracle anymore, as $\mathcal{O}\text{EVAL}$ and $\mathcal{O}\text{D}\text{ECOMP}$ have both been replaced by random oracles in the previous games. Hence, distinguishing the output c_{K} of the algorithm Encap from a random element $c \stackrel{\$}{\leftarrow} \mathcal{C}$ is reduced to an IND-CPA game in a PKE setup. The advantage of \mathcal{A} to distinguish c from c_{K} is less than $\epsilon(\lambda)$. Now, one notices that $\mathbf{Exp}_{\mathcal{A}}^{\mathbf{G3}}$ is the SKE experiment $\mathbf{Exp}_{\mathsf{SKE},\mathcal{A}}^{\mathrm{ind}-cpa}(\mu)$ defined in Definition 6. By hypothesis, \mathcal{A} has a negligible advantage $\epsilon(\mu)$ to win this game. Finally, its advantage to distinguish **Game 2** and **Game 3** is less than $\epsilon(\lambda) + \epsilon(\mu)$.

Conclusion. The overall advantage ϵ_{HHE} of \mathcal{A} in the HHE game defined in Definition 7 is $\epsilon_{\text{HHE}} = 3 \epsilon(\lambda) + \epsilon(\mu)$. As a finite sum of negligible elements, ϵ_{HHE} is negligible, which concludes.

6.3 Attacks on HE-friendly Symmetric Ciphers

To deliver a solid understanding of applicable attacks against HE-friendly ciphers, we started by exploring and categorizing different attacks. We presented three primary attack categories applicable to these ciphers: algebraic-based, differential-based, and linear-based attacks, along with LWE-based attacks within the context of HHE frameworks in Section 7. Based on our study, this part summarizes our security evaluation of recent attacks on HE-friendly symmetric ciphers. Moreover, we present a concise summary of the security evaluation in Table 6, covering each scheme's claims and recent attacks on state-of-the-art HHE schemes.

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Attacks on HE-friendly ciphers. Initially resilient to differential and linear cryptanalysis, LowMC encountered challenges, exposing vulnerabilities to algebraic attacks and linearization techniques [DLMW15, GKRS20, BBVD20, BBVY21, LSW⁺22, QYS⁺23].

In [DLR16], the authors demonstrated that an adversary could break the FLIP cipher using a guess-and-determine strategy based on a fixed internal state. In their study [LSMI21], authors successfully executed trivial linearization attacks on Rasta and Dasta through algebraic cryptanalysis. Furthermore, a recent technique known as coefficient grouping [LAW⁺23] evaluated the algebraic degree of Chaghri, resulting in the breaking of its full 8-round with low complexity. The 4-round instance of Elisabeth fell victim to a known-IV linearization attack [GHBJR23], leading to key recovery. Subsequently, Elisabeth's authors proposed a patch [HMS23] to address the security weaknesses of their scheme.

A new attack strategy, SASTA [ASR24], utilizes Differential Fault Analysis (DFA) to break PASTA, achieving full key recovery. SASTA extends to other HHE schemes, such as RASTA, MASTA, and HERA, resulting in a unique key recovery. Similarly, authors in [JLHG24] established a DFA attack against HERA. Authors in [WT24] provide more details of the DFA attack on MASTA, PASTA, and Elisabeth.

Recently, Meaux et al. [MR24] proposed a novel technique for conducting DFA attacks on the FLIP and FiLIP. This technique enables successful key recovery for both of these schemes. Notably, the new approach applies to any filtering function, provided that only a limited number of keystream bits are involved. Nevertheless, Rubato remains secure against SASTA due to adding random noise from a Gaussian distribution to the keystream.

However, in a recent study [GMAH⁺23], authors showed that it is possible to overcome the noise using a brute force attack. They then recovered the positions of keystream bits without introducing additional noise. As a result, the Rubato cipher became vulnerable to full-key recovery through a linearization attack (Section 7 provides detailed definitions of each attack).

Attacks/Scheme	LowMC	Kreyvium	FLIP	Rasta	FiLIP	Dasta	Masta	Pasta	FASTA	HERA	Rubato	Elisabeth	Chaghri
Algebraic Attacks	X	1	X	X	X	X	 Image: A start of the start of	1	1	1	X	X	X
Trivial Linearization	X	*	*	X	*	X	1	1	1	1	X	X	X
Number of Monomials	*	*	*	1	*	*	1	*	1	*	*	X	*
Gröbner basis attack	X	*	*	1	1	*	1	1	1	1	1	*	1
GCD attack	*	*	*	*	*	*	*	*	1	1	1	*	*
Differential Cryptanalysis	1	1	*	1	*	*	1	1	1	1	1	*	*
Higher-order Differential Attacks	1	*	*	1	*	*	1	1	*	*	*	*	1
Truncated Cryptanalysis	1	*	*	*	*	1	1	*	1	1	1	*	1
Cube Attack	1	1	1	1	*	*	1	*	1	1	1	*	*
Invariant Subspace Trail	X	*	*	*	*	*	*	*	*	1	1	*	1
Linear Cryptanalysis	1	*	*	1	*	1	1	*	*	1	1	*	1
Interpolation Attack	X	*	*	*	*	*	1	*	1	1	1	*	1
Boomerang Attacks	1	*	*	*	*	*	1	*	*	*	*	*	*
Time-Memory Trade-Off (TMDTO)	*	1	*	*	*	*	*	*	*	*	*	*	*
Correlation attacks	*	*	1	*	1	*	*	*	*	*	*	1	*
Guess and Determine Attacks	*	*	X	1	1	X	*	*	1	*	*	1	*
Augmented Function attacks	*	*	1	*	*	*	*	*	*	*	*	*	*
BKW-like Attack	*	*	1	*	*	*	*	*	*	*	1	*	*
Differential Fault Analysis (DFA)	*	*	X	X	X	*	X	X	*	X	1	X	*

Table 6: Security evaluation of HHE symmetric ciphers against common attacks

 \checkmark denotes that the scheme resists the attack.

 ${}^{{\pmb{\mathsf{x}}}}$ denotes the scheme's vulnerability to the attack.

* denotes that the authors did not claim security against the specified attack.

Note 6.1: In Conclusion

This analysis reveals that the vulnerabilities of HHE schemes mainly stem from the vulnerabilities of the underlying symmetric cipher. Depending on the cipher, it can lead to a complete security breach or a simple weakening of the security. In any case, the core principle of HHE is not threatened. As noted, the DFA attack [ASR24, WT24, MR24] is the only attack that utilizes the structure of HHE to recover the key and hence endangers the core principle of this technique. Fortunately, this attack can only be conducted under certain conditions, and **noisy ciphers**, such as Rubato, remain secure.

7 Attacks Categorization

This section explores three primary attack categories applicable to these ciphers: algebraicbased, differential-based, and linear-based attacks. Additionally, we discuss LWE-based attacks within the context of HHE frameworks.

7.1 Algebraic-based Attacks

Algebraic attacks [CM03, Cou03a] represent a class of cryptographic attacks that utilize an algebraic system of equations to extract the key stream. In such attacks, armed with (plaintext/ciphertext) pairs, an attacker formulates key-stream outputs as multivariate polynomials over the secret key elements. The key can be recovered by solving this system of equations. Techniques for solving these algebraic systems span from simple linearization to sophisticated methods employing Gröbner bases. Our understanding of algebraic attacks on stream ciphers has been enhanced by recent proposals like the extreme algebraic attack [MW24], which shows significant applicability to ciphers such as FLIP and FiLIP.

In the case of **Trivial Linearization**, the technique involves replacing all monomials with new variables, thereby transforming a system of polynomial equations into a linear form. The effectiveness of algebraic attacks can be influenced by the **Number of Monomials**, particularly when the cipher has a limited number of them. An attacker could resort to key guessing to decrease the number of monomials, thereby enhancing the likelihood of linearization and facilitating the solution of the system.

The **Gröbner Basis Attack** [Fau99, Fau02, SS21] is a more advanced technique that solves a polynomial system by computing a Gröbner basis. Once the basis is computed, variables can be systematically eliminated by altering the order of monomials.

Another strategy is the **GCD Attack** [HKL⁺22], which calculates the greatest common divisor (GCD) of univariate polynomials. This attack is typically used in ciphers that operate over a large field where the representation is a polynomial in a single variable. This attack can be extended for multivariate polynomial equations by guessing all key variables except one.

The **Guess and Determine Attack** [DLR16] commences by *guessing* specific bits of the internal state or the key and then uses information from keystream bits to *determine* the unknown bits. Assisted by algebraic attacks, guess-and-determine attacks are often feasible. In FP-based HHE schemes, such as FLIP, an adversary might employ a guess-anddetermine strategy due to the use of a fixed internal state. Moreover, in schemes where the internal state remains constant, like FLIP, and the register is unaltered, guessing a single bit at any moment can provide information about another bit at a different time. Additionally, the FP in these schemes is characterized by a limited number of high-degree monomials.

The **Cube Attack** [DS09] is utilized to tackle the complex problem of solving multivariate systems of nonlinear equations over a finite field. The fundamental principle behind the cube attack lies in the observation that polynomial equations generated by many symmetric-key cryptosystems are not arbitrary and unrelated. Instead, they often originate from a single master polynomial, with tweakable variables that the attacker can set to any desired value during a chosen plaintext attack. Given a symmetric-key cipher with n + m input bits of secret and public variables, the goal is to determine the algebraic normal form of the output over \mathbb{F}_2 , denoted by P. This normal form represents a sum of monomial products. The cube attack consists of two distinct phases: preprocessing and online. During preprocessing, the attacker can analyze the cipher by running it with various keys and plaintexts. Subsequently, in the online phase, the n secret values are set to unknown, allowing the attacker to assign values to the m public variables as desired and evaluate P on the combined input.

The **Integral Attack** [KW02] is used to predict the values in the integrals after a certain number of encryption rounds. For any multiset S comprising elements in \mathbb{F}_2^n , the integral over S is precisely defined as the sum of all its elements, denoted as $\bigoplus_{e \in S} e$. Integral attacks exploit the specific value obtained by integrating a function F over a carefully selected input set \mathcal{X} . This is mathematically expressed as the integral of $F(\mathcal{X}) : \bigoplus_{x \in \mathcal{X}} F(x)$. Notably, when \mathcal{X} forms a linear or affine subspace, this integral aligns with the value of a higher-order differential of the function.

7.2 Differential-based attacks

Differential Cryptanalysis [BS91] is a type of attack that uses chosen plaintexts and evaluates the probability of differentials, denoted by a pair (α, β) . Here, α is the difference between a pair of distinct inputs m_1 and m_2 , while β is a potential difference for the resulting outputs $c_1 = f(m_1)$ and $c_2 = f(m_2)$. The primary goal is to identify input pairs (m_1, m_2) with the same difference α that, upon encryption, yield output pairs (c_1, c_2) with the same difference β at an unusually high probability. A well-constructed differential can be used to mount distinguishing attacks and key recovery attacks on the cipher. Typically, the differential needs to cover all but one or a few rounds of the cipher to achieve this goal.

Truncated Cryptanalysis [Knu95, KB96] is a generalization of Differential Cryptanalysis that focuses on differentials predicting only parts of an *n*-bit value, allowing the other bits to take any possible value. This is referred to as a *truncated differential*. More formally, if (α, β) represents an *r*-round differential, and α' is a subsequence of α while β' is a subsequence of β , then the pair (α', β') is termed an *r*-round truncated differential.

Boomerang Attack [Wag99] is a variant of differential cryptanalysis designed specifically for ciphers where identifying high-probability differentials is challenging. The Boomerang attack constructs a distinguisher with two short differentials (α_1, β_1) and (α_2, β_2) . Initially, it dissects the cipher $E : \mathbb{F}_2^n \times \mathbb{F}_2^k \to \mathbb{F}_2^n$ into two sub-ciphers denoted as $E = E_1 \circ E_2$. For an *r*-round block cipher, E_1 contains the first r_1 rounds, while E_2 handles the remaining $r_2 = r - r_1$ rounds. Combining these two differential characteristics makes the Boomerang attack effective against ciphers that might resist conventional differential cryptanalysis. This method has successfully broken ciphers previously considered secure against traditional differential cryptanalysis techniques.

Invariant Subspace (Trail) Attacks [LAAZ11, LMR15, GRR16] exploit a structural property inherent in block ciphers. Specifically, they leverage the property that a partition of the plaintext space into a set and its complement is preserved under the application of the block cipher. If an invariant subspace (V) exists for both the round function (F) and the key schedule function (f), an invariant subspace trail attack can be effectively deployed to establish a rapid distinguisher and facilitate key recovery. By deriving round keys from a master key K as $(k_0, \ldots, k_n) = f(K)$ and considering a coset $V \oplus a = \{v \oplus a \mid \forall v \in V\}$, where V is a subspace of a vector space W and a is an element of W, such that $F(V \oplus a) = V \oplus a'$; if the master key K resides in $V \oplus (a \oplus a')$, then it logically follows that $F(V \oplus a') \oplus K = V \oplus a$, allowing the derivation of an iterative invariant subspace. A subspace trail of length r is then essentially a set of r+1 subspaces (V_1, \ldots, V_{r+1}) that satisfy $F(V_i \oplus a_i) \oplus K \subseteq (V_{i+1} \oplus a_{i+1})$. Higher-order Differential Cryptanalysis [Lai94] employs higher-order derivatives to extend Differential Cryptanalysis for deriving the secret key when more than two inputs are provided. As mentioned in [Lai94], "if a (nontrivial) *i*-th derivative of (r-1) round function takes on a value with a high probability, then it is possible to derive the key for the last round from the known 2^i outputs and from the value of the anticipated derivative."

Interpolation Attack [JK97] involves determining the polynomial representation of a state bit. By combining knowledge about the restrictions of this polynomial with a sufficient number of evaluations of the polynomial function, the attacker reconstructs the polynomial representation using (plaintext/ciphertext) pairs through Lagrange interpolation. With the algebraic representation of the system as the function f(x, k), linking the key-independent integral to the ciphertext x and the last round key k, interpolation attacks express f as a function of known ciphertext bits with unknown coefficients. This results in an equation of degree 1 in the unknown coefficients for any values of the ciphertext, recoverable by solving a linear system. The interpolation attack is commonly employed to exploit cryptographic algorithm vulnerabilities by scrutinizing the behavior of the polynomial functions used for generating cryptographic keys.

Differential Fault Analysis (DFA) [TMA11] is a physical attack where the attacker gains access to public information, such as nonce, IV, inputs, and outputs of the device running the cipher for a limited time. The attacker injects a fault into the cipher's input to obtain a different result for the final state and then employs differential analysis to uncover the key. In [ASR24], the author introduces a new DFA model, SASTA, tailored for HHE schemes. SASTA initially targets PASTA and subsequently achieves successful key recovery in other schemes like RASTA, MASTA, and HERA. Similarly, authors in [MR24] targeted the FLIP and FiLIP schemes with a DFA attack.

7.3 Linear-based attacks

Linear Cryptanalysis [Mat93, BSV07] is a commonly used method for analyzing the security of a cipher. The cryptanalyst seeks to identify affine approximations of the cipher that hold with substantial accuracy. This process involves uncovering linear characteristics, which are sequences of linear approximations applied to consecutive rounds of the cipher. These linear characteristics significantly impact S-boxes, playing a crucial role in the approximations. Similar to differential cryptanalysis, linear cryptanalysis can be employed to initiate distinguishing and key recovery attacks.

Correlation Attacks [Sie84] primarily apply to stream ciphers for extracting information on secret key bits. These attacks, specifically key-recovery attacks, can be executed when a straightforward dependency between the keystream sequence $ks = (ks_0, ks_1, \ldots, ks_n)$ and the state s or key K is identified. Typically, correlation attacks focus on state recovery, utilizing a single keystream sequence. In **Fast Correlation Attacks** [MS88], the approach involves attempting to discover a low-weight parity check polynomial of the system's linear part, followed by applying an iterative decoding procedure. Additionally, a category of correlation attacks targets filter generators [EJ04], whose objective is to invert the nonlinear function and recover the initial state.

Time-Memory Trade-Off (TMDTO) attacks [HS05, DCLP05] constitute a generic approach for the inversion of one-way functions, applicable to both stream and block ciphers. In the context of stream ciphers, vulnerability to TMDTO arises when the length of the IV is shorter than that of the key. Significantly, this vulnerability remains regardless of the size of the internal state. Additionally, chosen plaintext TMDTO presents a threat to block ciphers across various modes of operation.

Higher-Order Correlation Attacks [Cou03b] primarily target stream ciphers and employ linear approximations of the output function to mount an attack on the cipher. The filtering function is approximated with a degree-d polynomial, and the corresponding algebraic system is solved using Gröbner basis algorithms. The attack's efficiency depends on the function's closeness to a degree-d polynomial. It can be integrated with guessand-determine attacks, but its complexity consistently exceeds that of fast algebraic or correlation attacks.

Augmented Function attacks [FM07] involve considering x as an n-bit internal state for a stream cipher, with an update function U and output function f producing a single bit of keystream in a single iteration. The augmented function $S_m : \mathbb{F}^n \to \mathbb{F}^m$ is then defined as $S_m(x) = (f(x), f(U(x)), \ldots, f(U^{m-1}(x)))$. The update function may exhibit linearity, resembling a filter generator, or non-linearity. The output y corresponds to an m-bit block of the known keystream. This attack aims to recover the initial state x through algebraic or correlation approaches, utilizing conditional equations $F_y(x) = 0$ of degree d for the output y of the augmented function S_m . This approach emphasizes multiple outputs of the function rather than a singular one to identify coefficients that enable the exploitation of a relationship between the key and the outputs.

7.4 LWE-based attacks

In addition to symmetric cryptanalysis, as mentioned in $[HKL^+22]$, LWE cryptanalysis can also be applied to HHE frameworks. The naive approach for solving LWE hard problems is *exhaustive search*. The **meet-in-the-middle (MITM)** [BG14] approach, a variant of the TMDTO attack, can assist exhaustive search. Another method is the **primal attack** [ZZW22], which reduces the LWE problem to the unique-SVP through embedding and then employs lattice reduction techniques such as BKZ [SE94, CN11] to find the shortest vector. Additionally, a **dual attack** [LP11, PS24] can be utilized to distinguish between the uniform distribution and the modular discrete Gaussian over \mathbb{Z}_q .

The **BKW-like Attack** [BKW03] is a lattice version of Gaussian elimination parametrized by a and b. Assuming an LWE distribution $L_{\mathbf{s},\chi}$, where $\chi = D_{\alpha q}$ is parameterized by dimension N and modulus q, the BKW attack first reduces A to a block diagonal matrix and then employs it to solve a lattice problem. The **Arora-Ge attack** proposed in [AG11] is an algebraic algorithm designed to solve the search-LWE problem. It leverages the idea that, given LWE samples $\{(\mathbf{a}_i, b_i)\}_i$, the errors fall into some interval $[-t\alpha q, t\alpha q]$ for a sufficiently large t, ensuring that the equations $\prod_{e=-t\alpha q}^{t\alpha q} (b_i - \langle \mathbf{a}_i, \mathbf{s} \rangle - e) = 0$ hold.

The authors in [APS15] investigated the computational hardness of solving the LWE problem, focusing on the cost of attacking LWE instances with specific parameter sets. Their work provides concrete guidance and a widely used tool [Ac23] for selecting LWE parameters that guarantee robust security. This is particularly crucial for designing cryptographic schemes based on LWE, including HE and HHE.

8 Discussion

In this paper, we detailed the most recent HHE schemes and evaluated their claims for security and performance through a systematized study. We provided a universal definition for HHE, and following that, we extended the IND-CPA security definition for HHE. Moreover, we analyzed all the potential attacks in the literature for HE-friendly ciphers and HE schemes, resulting in a categorization of these attacks for HHE. Furthermore, we implemented the pioneer HHE schemes to measure their performance in a real-world setting, and we open-sourced our implementation. The field of HHE is constantly evolving due to the continuous advancements in both HE-friendly ciphers and HE schemes, as well as the wide range of applications that HHE supports. Therefore, since our main motivation was to establish the HHE foundation for future research in the field, we are presenting some key takeaways and insights:

T1. Unlike standard symmetric ciphers such as AES, which have undergone extensive practical maintenance and security analysis with well-defined parameter sets, identi-

fying their vulnerabilities across various applications, HE-friendly ciphers are still in the early stages of development and require significant progress to achieve enterpriselevel adoption. While existing efforts [ACC⁺21, BCC⁺24] aim to standardize HE parameter sets for different security levels, a key missing component for HHE schemes is the establishment of a standardized set of parameters that aligns with existing HE parameter sets.

- **T2.** The HHE schemes have been developed to reduce the computation and communication overheads for clients with limited resources. However, in a 2-party model, the result of transciphering, which is still a homomorphic cipher, will expand due to further homomorphic evaluation. Eventually, the client will need to download and decrypt this ciphertext. There are techniques for HE, such as ciphertext compression [MDK23], which decrease communication costs. This could be an interesting research direction for HHE schemes as well.
- **T3.** By using HHE, a massive part of computation can be offloaded to the server side, allowing users with low-power devices to benefit from HE-based privacy-preserving computation for any application. This approach helps application owners attract more users and create a more scalable system that accommodates low-powered devices. However, this places a higher demand on the server side. An interesting question that remains to be answered is "What is the energy consumption of HHE schemes, and how does it compare to the energy consumption of HE?"
- **T4.** Many encoding and packing techniques have been utilized in HE schemes. One of the drawbacks of PASTA was the exact problem due to the underlying HE scheme. In [BCK⁺23], the author suggested using ring-packing techniques to create a more efficient framework. In their approach, the client encrypts data into small-degree LWE ciphers, which are then packed into an RLWE cipher on the server side. Again, analyzing this new approach and adjusting it with a HE-friendly cipher is a likely research direction.
- T5. Finally, as mentioned earlier, using standard symmetric ciphers such as AES has been the primary aim of HHE schemes. However, due to the high multiplicative depth, it was impractical, leading to new research attempts to design HE-friendly ciphers until recent advancements in the field. Since design-wise, AES is more complex than HE-friendly ciphers with low multiplicative depth, discovering the possibility and experimental results for applying the same techniques [ADE⁺23, TCBS23, WWL⁺23] to state-of-the-art HE-friendly ciphers can also be a potential research direction.

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