

# Improved Factoring Attacks on Multi-Prime RSA with Small Prime Difference<sup>\*</sup>

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**Abstract.** In this paper, we study the security of multi-prime RSA with small prime difference and propose two improved factoring attacks. The modulus involved in this variant is the product of  $r$  distinct prime factors of the same bit-size. Zhang and Takagi (ACISP 2013) showed a Fermat-like factoring attack on multi-prime RSA. In order to improve the previous result, we gather more information about the prime factors to derive  $r$  simultaneous modular equations. The first attack is to combine all the equations and solve one multivariate equation by generic lattice approaches. Since the equation form is similar to multi-prime  $\Phi$ -hiding problem, we propose the second attack by applying the optimal linearization technique. We also show that our attacks can achieve better bounds in the experiments.

**Keywords:** Cryptanalysis · Multi-prime RSA · Small prime difference · Factoring attack · Lattice-based linearization technique

## 1 Introduction

### 1.1 Background

RSA [22] is a famous public key cryptosystem that has been widely used in various settings. However, the original RSA is not fit for some constrained environments. Since people need faster and more efficient RSA encryption/decryption processes, several variants have been proposed and surveyed [3]. In this paper, we focus on a variant called multi-prime RSA. It is described as follows.

**Key Generation.** Generate  $r$  distinct primes  $p_1, p_2, \dots, p_r$  of same bit-size and modulus  $N = \prod_{i=1}^r p_i$ . Pick a random number that is coprime to  $\varphi(N) = \prod_{i=1}^r (p_i - 1)$  as the public key  $e$  and compute the corresponding private key  $d = e^{-1} \bmod \varphi(N)$ .

**Encryption.** Transform the message string into an integer  $M \in \mathbb{Z}_N$  and compute the ciphertext as  $C = M^e \bmod N$ .

**Decryption.** Compute  $M_i = C^{d_i} \bmod p_i$  for  $d_i = d \bmod (p_i - 1)$ ,  $1 \leq i \leq r$ . Combine  $M_i$ 's by the Chinese Remainder Theorem to obtain the plaintext  $M = C^d \bmod N$ .

This variant modifies the modulus to  $N = p_1 p_2 \cdots p_r$  for  $r \geq 3$ . It was patented by Compaq [5], using a modulus of the form  $N = p_1 p_2 p_3$ . We then discuss the performance of multi-prime RSA. The advantage is the efficiency when using Chinese Remainder Theorem in its decryption process. From [3], we know that the asymptotic speedup over the standard RSA is approximately  $\frac{r^2}{4}$ . Moreover, ordinary attacks such as small private exponent attack and partial key exposure attack are less effective as  $r$  increases. But  $r$  should not be unrestrictedly large because of the Elliptic Curve Method [20]. Since factoring a multi-prime RSA modulus using ECM is much easier with increasing  $r$ , one might choose  $r = 3, 4$  and  $5$  for most settings. Generally speaking, multi-prime RSA with appropriate  $r$  might be a practical alternative for reducing the decryption costs.

<sup>\*</sup> This paper is the full version of [29].

Without loss of generality, we have the following assumption for a multi-prime RSA modulus  $N$  with  $r$  prime factors  $p_1 < p_2 < \dots < p_r$ ,

$$\frac{1}{2}N^{\frac{1}{r}} < p_1 < N^{\frac{1}{r}} < p_r < 2N^{\frac{1}{r}}.$$

It indicates that the prime factors are balanced, which means that they are roughly of the same bit-size. The prime difference  $\Delta$  is defined as

$$\Delta := \max_{i \neq j} |p_i - p_j| = p_r - p_1 = N^\gamma$$

for  $0 < \gamma < \frac{1}{r}$ . The security of multi-prime RSA has been investigated for small private exponent [4,13,14] and for small prime difference [1,24,27,28].

Prime difference was introduced by de Weger [11] to show that one can find an enhanced small private exponent attack with small prime difference. As for multi-prime RSA, it is also applied to obtain some improvements. Thereafter we review some related previous attacks. Suppose that  $N$  is a multi-prime RSA modulus with  $r$  prime factors. Let  $e \approx N$  be a valid public key and  $d = N^\delta$  be its corresponding private key.

**Bahig-Bhery-Nassr [1].** Given the prime difference  $\Delta = N^\gamma$  and the public key  $(N, e)$ , then multi-prime RSA is insecure if  $\gamma$  and  $d$  satisfy

$$2d^2 + 1 < \frac{N^{\frac{2}{r}-\gamma}}{6r}.$$

**Zhang-Takagi [27,28].** Given the prime difference  $\Delta = N^\gamma$  and the public key  $(N, e)$ , then  $d$  can be probabilistically found in time polynomial in  $\log N$  if  $\gamma$  and  $\delta$  satisfy

$$\delta < 1 - \sqrt{1 + \gamma - \frac{2}{r}}.$$

The bound was later refined to

$$\begin{aligned} \delta &< 1 - \sqrt{1 + 2\gamma - \frac{3}{r}} \text{ for } \gamma \geq \frac{3}{2r} - \frac{1 + \delta}{4}, \\ \delta &< \frac{3}{r} - \frac{1}{4} - 2\gamma \text{ for } \gamma < \frac{3}{2r} - \frac{1 + \delta}{4}. \end{aligned}$$

They also presented a Fermat-like factoring attack for

$$\gamma < \frac{1}{r^2}.$$

**Takayasu-Kunihiro [24].** Given the prime difference  $\Delta = N^\gamma$  and the public key  $(N, e)$ , then  $d$  can be probabilistically found in time polynomial in  $\log N$  if  $\gamma$  and  $\delta$  satisfy

$$\begin{aligned} \delta &< 1 - \sqrt{1 + 2\gamma - \frac{3}{r}} \text{ for } \frac{3}{2} \left( \frac{1}{r} - \frac{1}{4} \right) \leq \gamma < \frac{1}{r}, \\ \delta &< 1 - \frac{2}{3} \left( \sqrt{\left( 7 + 8\gamma - \frac{12}{r} \right) \left( 1 + 2\gamma - \frac{3}{r} \right)} - 1 - 2\gamma + \frac{3}{r} \right) \text{ for } \gamma < \frac{3}{2} \left( \frac{1}{r} - \frac{1}{4} \right). \end{aligned}$$

Notice that the condition  $\frac{3}{2r} - \frac{1+\delta}{4}$  in Zhang-Takagi attack degenerates to  $-\frac{\delta}{4}$  for  $r = 6$ , and the condition  $\frac{3}{2}(\frac{1}{r} - \frac{1}{4})$  in Takayasu-Kunihiro attack degenerates to 0 for  $r = 4$ . Thus, Zhang-Takagi attack and Takayasu-Kunihiro attack depend on  $\delta$  with  $\gamma < \frac{1}{r}$  for larger  $r$ . In such cases, factoring attacks with quite small  $\gamma$  are much more effective without any restriction on  $\delta$ . The distinction is the dependence on the private exponent and this is also the advantage of factoring attacks.

## 1.2 Our Contributions

In this paper, we aim to factor the multi-prime RSA modulus with small prime difference. More concretely,  $N$  can be factored in polynomial time under which condition when given the multi-prime RSA modulus  $N$  that is the product of  $r$  distinct primes and its prime difference  $N^\gamma$ .

Let  $x_i = p_i - p$  for  $i = 1, 2, \dots, r$  with  $|x_i| = |p_i - p| < p_r - p_1 = N^\gamma$  for  $p = [N^{\frac{1}{r}}]$ . At ACISP 2013, Zhang and Takagi [27] solved  $x_i$  from each equation and computed prime factors by  $p_i = x_i + p$ . In our opinion, they only made use of partial advantage about given information. In contrast, we transform the knowledge of all balanced prime factors with prime difference into the following system of equations,

$$\begin{cases} x_1 + p = p_1, \\ x_2 + p = p_2, \\ \vdots \\ x_r + p = p_r. \end{cases}$$

Furthermore, we can derive the following system of modular equations,

$$\begin{cases} x_1 + p = 0 \pmod{p_1}, \\ x_2 + p = 0 \pmod{p_2}, \\ \vdots \\ x_r + p = 0 \pmod{p_r}. \end{cases}$$

Our factoring problem is somewhat similar to multi-prime  $\Phi$ -hiding problem introduced by Kiltz et al. [18] because of the modular equation form. The definition of multi-prime  $\Phi$ -hiding problem is given. Let  $N = p_1 \cdots p_r$  be a composite integer (of unknown factorization) with  $r$  distinct prime factors of same bit-size. Given  $N$  and a prime  $e$ , decide whether  $e$  divides  $p_i$  for  $1 \leq i \leq r - 1$  or not.

In order to solve multi-prime  $\Phi$ -hiding problem, one can try to solve the following simultaneous equations and then conclude that  $e$  is  $\Phi$ -hidden in  $N$  or not.

$$\begin{cases} ex_1 + 1 = 0 \pmod{p_1}, \\ ex_2 + 1 = 0 \pmod{p_2}, \\ \vdots \\ ex_{r-1} + 1 = 0 \pmod{p_{r-1}}. \end{cases}$$

There exist some differences between these two problems. In  $\Phi$ -hiding problem, since it is not necessary to know the exact values of the unknowns but enough to know if the equations can be solved, one can perform a linearization on the product

$$\prod_{i=1}^{r-1} (ex_i + 1)$$

and then decide if  $\prod_{i=1}^{r-1} (ex_i + 1) = 0 \pmod{p_1 p_2 \cdots p_{r-1}}$  can be solved. Thus, it is like a “decision”-form problem. Our factoring problem is like a “search”-form one because we must extract the value of every unknown variable. In our optimized method, we can transform the factoring problem into a “decision”-form problem and then apply the optimal linearization technique.

Another difference is that we do not have  $ex_r + 1 = 0 \pmod{p_r}$  in  $\Phi$ -hiding problem. This special feature can be applied to improve the bound [26]. However we can not directly use the same technique to solve the factoring problem.

Our improvements are based on two ideas. The first one is a direct method by gathering all the equations together to solve an  $r$ -variate modular (or integer) equation. The drawback of this method is that the running time is exponential in  $r$ . Thus, we provide an optimized method by combining fewer equations. Inspired by Tosu and Kunihiro [25], we can benefit from the optimal linearization technique with fewer unknowns and less cost. Thus, we will obtain a great speedup and efficient performance in the practical implementation.

We show that multi-prime RSA modulus with small prime difference can be efficiently factored in the following cases due to various  $r$ 's.

- For  $r = 3$ , we have

$$\gamma < \frac{2}{r(r+2)}.$$

- For  $r > 3$  with an optimal  $l$ , we have

$$\gamma < \frac{2}{l+1} \left(\frac{1}{r}\right)^{\frac{l+1}{l}}.$$

- For much larger  $r$  with the base of natural logarithm  $e$ , we have

$$\gamma < \frac{2}{er(\log r + 1)}.$$

### 1.3 Organization

The rest of this paper is organized as follows. In Sect. 2, we introduce the lattice-based method to solve modular and integer equations. In Sect. 3, we present our improved factoring attacks on multi-prime RSA with small prime difference. In Sect. 4, we verify our attacks by various experiments and comparisons. We conclude the paper in Sect. 5.

## 2 Preliminaries

### 2.1 Lattice-Based Method

We briefly introduce lattice-based method including the LLL algorithm [19], Coppersmith's technique [6,7,8], Howgrave-Graham's lemma [15] and Coron's reformulation [9,10].

The technique is to construct a set of polynomials modulo  $R$  sharing the common roots and then reduce them to the equations over the integers. After transforming known parameters into constructed polynomials' coefficients that form a lattice basis matrix with dimension  $w$ . One can compute some short lattice vectors whose norm is expected to be sufficiently small by the LLL algorithm. Eventually, one can solve the desired roots. The LLL algorithm proposed by Lenstra, Lenstra and Lovász is practically used for finding approximately small lattice vectors.

**Lemma 1 (Lenstra-Lenstra-Lovász [19]).** *Let  $\mathcal{L}$  be a given lattice with determinant  $\det(\mathcal{L})$ . The LLL algorithm outputs a reduced basis  $(\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_w)$  in polynomial time, and for  $1 \leq i \leq w$ , the reduced basis vectors satisfy*

$$\|\mathbf{v}_1\|, \|\mathbf{v}_2\|, \dots, \|\mathbf{v}_i\| \leq 2^{\frac{w(w-1)}{4(w+1-i)}} \det(\mathcal{L})^{\frac{1}{w+1-i}}.$$

The following lemma given by Howgrave-Graham helps us to judge whether the roots of a modular equation are also roots over the integers. To a given polynomial of  $n$  variables

$$g(x_1, \dots, x_n) = \sum a_{i_1, \dots, i_n} x_1^{i_1} \cdots x_n^{i_n},$$

its norm is defined as  $\|g(x_1, \dots, x_n)\|^2 := \sum |a_{i_1, \dots, i_n}|^2$ .

**Lemma 2 (Howgrave-Graham [15]).** *Let  $g(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$  be an integer polynomial that is a sum of at most  $m$  monomials. Suppose that*

1.  $\|g(x_1 X_1, \dots, x_n X_n)\| \leq R/\sqrt{m}$ ,
2.  $g(x_1^{(0)}, \dots, x_n^{(0)}) = 0 \pmod R$  for  $|x_1^{(0)}| \leq X_1, \dots, |x_n^{(0)}| \leq X_n$ .

*Then we have  $g(x_1^{(0)}, \dots, x_n^{(0)}) = 0$  over the integers.*

The above fundamental lemmas give us the final condition, which is roughly

$$\det(\mathcal{L}) < R^w.$$

Some RSA cryptanalytic applications [2,8,12] are derived from such lattice-based method. But Boneh and Durfee [2] have noted that solving multivariate equations is heuristic because the polynomials derived from lattice reduction algorithms are not guaranteed to be algebraically independent. In order to extract the exact roots in practice, we rely on the following assumption.

**Assumption 1.** *The polynomials derived from the LLL algorithm in lattice-based method are algebraically independent. Furthermore, the solution can be efficiently found by Gröbner basis computations.*

Our improved attacks can be reduced to solving multivariate linear equations that was studied by Herrmann and May.

**Lemma 3 (Herrmann-May [12]).** *Let  $\epsilon > 0$  and let  $N$  be a sufficiently large composite integer (of unknown factorization) with a divisor  $p \geq N^\beta$ . Furthermore, let  $f(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$  be a linear polynomial in  $n$  variables. Under Assumption 1, we can find solutions  $(x_1^{(0)}, \dots, x_n^{(0)})$  of the equation  $f(x_1, \dots, x_n) = 0 \pmod p$  with  $|x_1^{(0)}| \leq N^{\eta_1}, \dots, |x_n^{(0)}| \leq N^{\eta_n}$  if*

$$\sum_{i=1}^n \eta_i \leq 1 - (n+1)(1-\beta) + n(1-\beta)^{\frac{n+1}{n}} - \epsilon.$$

*The running time is polynomial in  $\log N$  and  $(e/\epsilon)^n$ .*

The lattice-based algorithm for solving modular equations was later improved by Lu et al. [21] and Takayasu and Kunihiro [23].

Oppositely, we can solve an  $r$ -variate integer polynomial  $f(x_1, \dots, x_r)$  by the following lemma. Let  $X_i$  for positive integer  $N$  and real positive number  $\eta_i$  be the upper bounds on the unknown variables for  $i = 1, 2, \dots, r$ . We also define  $\|f(\cdot)\|_\infty$  as the largest coefficient (in absolute value form) of all the monomials in polynomial  $f(\cdot)$ .

**Lemma 4 (Jochemsz [16]).** *Given an  $r$ -variate integer polynomial*

$$f(x_1, x_2, \dots, x_r) = \prod_{i=1}^r (x_i + p) - N \in \mathbb{Z}[x_1, x_2, \dots, x_r].$$

For any  $\epsilon > 0$  with sufficiently large  $N$  and  $W = \|f(x_1X_1, x_2X_2, \dots, x_rX_r)\|_\infty$ , the root  $(x_1^{(0)}, x_2^{(0)}, \dots, x_r^{(0)})$  satisfying  $|x_i^{(0)}| \leq X_i$  for  $i = 1, 2, \dots, r$  can be found if

$$X_1X_2 \cdots X_r < W^{\frac{2}{r+1}-\epsilon}.$$

The running time is polynomial in  $\log N$  and  $(1/\epsilon)^r$ .

One can refer to [16] for detailed analysis. One special case was showed by Coppersmith in [8] for finding the bound  $XY < W^{\frac{2}{3}}$  of the polynomial  $f(x, y) = (p_0 + x)(q_0 + y) - N$  in order to factor the modulus  $N$  with known bits of prime factors. Later, Jochemsz [16,17] provided a generic strategy for finding roots of integer (and also modular) polynomials. Since our cryptanalysis is based on approximations, we neglect the lower order terms and remove  $\epsilon$  in our methods for simplicity.

## 2.2 Some Notations

We introduce the following notations for our methods.

- $p$  denotes the value of rounding  $N^{\frac{1}{r}}$  to the nearest integer and it is mentioned above as  $p = \lfloor N^{\frac{1}{r}} \rfloor$ .
- $\sigma_i^k$  denotes the elementary symmetric polynomial in  $k$  variables  $y_1, \dots, y_k$  of degree  $i$  and it is defined by

$$\sigma_i^k := \sum_{\lambda \subset \{1, 2, \dots, k\}, |\lambda|=i} \left( \prod_{j \in \lambda} y_j \right).$$

- $Q_k$  denotes the product of  $k$  prime factors that are chosen from  $p_1, p_2, \dots, p_r$  and hence  $Q_k$  is a divisor of  $N$ .
- $Q'_k$  denotes the numerical value of the left side after solving the equation and hence  $Q'_k$  is a multiple of  $Q_k$ .

## 3 Improved Factoring Attacks

### 3.1 The Direct Method

As mentioned before, we gather all the equations together to solve an  $r$ -variate modular (or integer) equation. More concretely, we present the following factoring attack.

**Proposition 1.** *Let  $N = p_1 \cdots p_r$  be a multi-prime RSA modulus for  $p_1 < \cdots < p_r$  and  $p_r - p_1 = N^\gamma$  for  $0 < \gamma < \frac{1}{r}$ . Then under Assumption 1,  $N$  can be factored in time polynomial in  $\log N$  but exponential in  $r$  if*

$$\gamma < \frac{2}{r(r+1)}.$$

Our approach utilizes the equation form of multi-prime  $\Phi$ -hiding problem. Let  $e$  be the inverse of  $p$  modulo  $N$ , namely  $e = p^{-1} \pmod{N}$ . Then  $y_i + p = 0 \pmod{p_i}$  can be rewritten as  $ey_i + 1 = 0 \pmod{p_i}$  and we obtain

$$\begin{cases} ey_1 + 1 = 0 \pmod{p_1}, \\ \vdots \\ ey_r + 1 = 0 \pmod{p_r}. \end{cases}$$

Combining all equations together gives us

$$\prod_{i=1}^r (ey_i + 1) = \sum_{i=1}^r e^i \sigma_i^r + 1 = 0 \pmod{N}.$$

We have  $e = p^{-1} \pmod{N}$  that is equivalent to  $ep = 1 \pmod{N}$ . It can be reduced to  $\sum_{i=1}^r e^i \sigma_i^r + ep = 0 \pmod{N}$  and further

$$\sum_{i=1}^r e^{i-1} \sigma_i^r + p = 0 \pmod{N}.$$

Regarding each  $\sigma_i^r$  as a new variable makes  $\sum_{i=1}^r e^{i-1} \sigma_i^r + p$  a linear equation. We then figure out each  $\eta_i$  of  $|\sigma_i^r| < N^{\eta_i}$  for  $i = 1, \dots, r$  and apply Lemma 3 with  $\beta = 1$ . It is not hard to know that  $\eta_i = i\gamma$  for  $1 \leq i \leq r$ . Thus, the final condition is  $\sum_{i=1}^r i\gamma < 1$ , which can be simplified to

$$\gamma < \frac{2}{r(r+1)}.$$

After solving the linear equation, we obtain the values of  $\sigma_1^r, \dots, \sigma_r^r$ . Then we extract  $x_1, \dots, x_r$  by solving  $x^r - \sigma_1^r x^{r-1} + \dots + (-1)^r \sigma_r^r = 0$  over the integers. Finally, we compute the prime factors  $p_1, \dots, p_r$  for  $p_i = x_i + p$ . The full description of the algorithm is given below.

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### Algorithm 1

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**Input:** Multi-prime RSA modulus  $N$  with  $r$  and small prime difference  $N^\gamma$ .

**Output:** The factorization  $N = p_1 \cdots p_r$ .

1: Compute  $p = \lfloor N^{\frac{1}{r}} \rfloor$  and  $e = p^{-1} \pmod{N}$ .

2: Construct the linear modular equation with unknown variables  $\sigma_i^r$ :

$$\sigma_1^r + e^1 \sigma_2^r + \dots + e^{r-1} \sigma_r^r + p = 0 \pmod{N}.$$

3: Figure out  $\eta_i$ 's that are related to the bounds  $N^{\eta_i}$  on  $\sigma_i^r$  for  $1 \leq i \leq r$ :

$$|\sigma_i^r| < N^{i\gamma}.$$

4: Extract each  $\sigma_i^r$  by applying Lemma 3.

5: Solve  $x^r - \sigma_1^r x^{r-1} + \dots + (-1)^r \sigma_r^r = 0$  over the integers.

6: Set  $p_i = p + x_i$  in increasing order with roots  $x_i$  for  $1 \leq i \leq r$ .

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However, we observe that the experimental results are always a certain distance away from the asymptotic predictions. In this case, solving an integer polynomial gives us a more precise and credible condition. Thus, we present a revised and corrected factoring attack.

**Proposition 2.** *Let  $N = p_1 \cdots p_r$  be a multi-prime RSA modulus for  $p_1 < \dots < p_r$  and  $p_r - p_1 = N^\gamma$  for  $0 < \gamma < \frac{1}{r}$ . Then under Assumption 1,  $N$  can be factored in time polynomial in  $\log N$  but exponential in  $r$  if*

$$\gamma < \frac{2}{r(r+2)}.$$

In fact, we have

$$f(x_1, x_2, \dots, x_r) = \prod_{i=1}^r (x_i + p) - N = \prod_{i=1}^r f_{p_i}(x_i) - N = \prod_{i=1}^r p_i - N = 0.$$

Before we apply Lemma 4 to above polynomial, we must figure out  $\eta_i$  (satisfying  $X_i = N^{\eta_i}$ ) for  $i = 1, \dots, r$  and  $W$ . It is clear that  $\eta_i = \gamma$  since  $|x_i| = |p_i - p| < p_r - p_1 = N^\gamma$ . However, it may be a little complicated for  $W$ . We roughly have  $W = \max\{N - p^r, p^{r-1}N^\gamma\}$  by its definition. Since all primes have a small difference  $N^\gamma$ ,  $N$  and  $p^r$  differ from each other in  $N^{\gamma r}$  least significant bits. Hence, it can be easily inferred that

$$W = \max\{N^{\gamma r}, N^{\frac{r-1}{r} + \gamma}\} = N^{\frac{r-1}{r} + \gamma}.$$

From Lemma 4, the condition reduces to (we omit the tiny term  $\epsilon$ )

$$\gamma r < \frac{2}{r+1} \left( \frac{r-1}{r} + \gamma \right),$$

that is

$$\gamma \left( \frac{r(r+1)}{2} - 1 \right) < \frac{r-1}{r}.$$

Thus, the final condition is

$$\gamma < \frac{2}{r(r+2)}.$$

For the completeness, we provide the concrete lattice construction for solving above integer polynomial

$$f(x_1, x_2, \dots, x_r) = \prod_{i=1}^r (x_i + p) - N.$$

Define two sets  $S$  and  $S_R$  for a positive integers  $s$ .

$$\begin{aligned} S &= \bigcup \left\{ x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} : x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} \text{ is a monomial of } f^{s-1} \right\}, \\ S_R &= \bigcup \left\{ x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} : x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} \text{ is a monomial of } f^s \right\}. \end{aligned}$$

By calculating the expansion of  $f^{s-1}$  (and  $f^s$ ), we know the relation of every element in  $S$  (and  $S_R$ ) to its exponent  $i_j$  for  $j = 1, 2, \dots, r$ .

$$\begin{aligned} x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} \in S : i_j = 0, \dots, s-1, \text{ for } j = 1, 2, \dots, r, \\ x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} \in S_R : i_j = 0, \dots, s, \text{ for } j = 1, 2, \dots, r. \end{aligned}$$

For  $R = W \prod_{i=1}^r X_i^{s-1} = N^{\frac{r-1}{r} + \gamma + \gamma r(s-1)}$ , we define

$$f' = (p^r - N)^{-1} f \text{ mod } R$$

and the following shift polynomials,

$$\begin{aligned} g : x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} f' \cdot \frac{R}{W \prod_{j=1}^r X_j^{i_j}}, \text{ for } x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} \in S, \\ g' : x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} \cdot R, \text{ for } x_1^{i_1} x_2^{i_2} \cdots x_r^{i_r} \in S_R \setminus S. \end{aligned}$$

Notice that above shift polynomials  $g$  and  $g'$  modulo  $R$  are equal to zero. Afterwards, we use the LLL algorithm to search several integer linear combinations of  $g$  and  $g'$ , whose norm is ensured to be sufficiently small. (This has been mentioned in Sect. 2.) The lattice  $\mathcal{L}$  is constructed by the coefficient vectors of  $g$  and  $g'$  by substituting  $x_i X_i$  for each  $x_i$ . It is always represented by a square basis matrix whose rows are corresponding vectors.

**Table 1.** A toy example of the lattice basis matrix for  $s = 1$  and  $r = 3$ 

	1	$x_1$	$x_2$	$x_3$	$x_1x_2$	$x_1x_3$	$x_2x_3$	$x_1x_2x_3$
$g_{0,0,0}$	1	–	–	–	–	–	–	–
$g'_{1,0,0}$		$RX_1$						
$g'_{0,1,0}$			$RX_2$					
$g'_{0,0,1}$				$RX_3$				
$g'_{1,1,0}$					$RX_1X_2$			
$g'_{1,0,1}$						$RX_1X_3$		
$g'_{0,1,1}$							$RX_2X_3$	
$g'_{1,1,1}$								$RX_1X_2X_3$

Before showing an example of such a basis matrix, we first define the monomial order  $\prec$  in our method as  $x_1^{i_1}x_2^{i_2}\cdots x_r^{i_r} \prec x_1^{j_1}x_2^{j_2}\cdots x_r^{j_r}$  if  $i_1 + i_2 + \cdots + i_r < j_1 + j_2 + \cdots + j_r$  or  $\sum_{k=1}^r i_k = \sum_{k=1}^r j_k$ ,  $\sum_{k=1}^t i_k > \sum_{k=1}^t j_k$  for  $t = 1, 2, \dots, r-1$ . Then a toy example is showed in Table 1, where non-zero off-diagonal entries are marked by –.

When the condition is satisfied and a suitable  $s$  is chosen, we can obtain many integer equations apart from  $f$ . Moreover, they share a common root  $(p_1 - p, p_2 - p, \dots, p_r - p)$  over the integers. We can solve  $p_i$  for  $1 \leq i \leq r$  under Assumption 1, which directly lead to the factorization of  $N$ . The description of the algorithm is similar to Algorithm 1, so we omit it here.

The running time depends on reducing the basis matrix and extracting the common roots. The LLL algorithm can output the desired polynomials in time polynomial in  $\log N$  but exponential in  $r$ . This may be a drawback due to large  $r$  and forces us to find more efficient method. The Gröbner basis computation for finding the common roots is usually polynomial time in practice. Additionally, one can obtain more polynomials derived from the LLL algorithm and hence the Gröbner basis computation is suggested rather than resultant computation.

### 3.2 The Optimized Method

As described in the direct method, we still solve the factoring problem in the view of a “search”-form problem. Its drawback is that the time complexity is exponential in  $r$ . Consequently, the factoring attack becomes less efficient for larger  $r$ .

When considering taking fewer equations to form one modular equation, we have some interesting observations. We randomly choose  $k$  ( $2 \leq k \leq r-1$ ) equations and obtain a new equation  $F(y_1, \dots, y_k) = 0 \pmod{Q_k}$ . Fortunately, it is enough to know the numerical value  $Q'_k$  of the left side and not necessary to know exact values of  $y_1, \dots, y_k$ . Then, computing the greatest common divisor  $\gcd(Q'_k, N)$  gives us all combinations of  $k$  prime factors that indicate every prime factor.

In fact, the factoring problem is refined to become of “decision”-form. Thus, we can employ the optimal linearization similar to the technique proposed by Tosu and Kunihiro [25] when solving multi-prime  $\Phi$ -hiding problem. The idea is to examine all possible linearization cases to find the optimal setting when it can be efficiently solved. We present the optimized factoring attack below.

**Proposition 3.** *Let  $N = p_1 \cdots p_r$  be a multi-prime RSA modulus for  $p_1 < \cdots < p_r$  and  $p_r - p_1 = N^\gamma$  for  $0 < \gamma < \frac{1}{r}$ . Then under Assumption 1,  $N$  can be factored in time polynomial in  $\log N$  with an optimal  $l$  if*

$$\gamma < \frac{2}{l+1} \left( \frac{1}{r} \right)^{\frac{l+1}{l}}.$$

We consider combining  $k$  equations and performing a linearization of  $l$  ( $2 \leq l \leq k$ ) variables. Note that the parameters  $k$  and  $l$  need to be decided later. First, we have  $(y_1 + p)(y_2 + p) \cdots (y_k + p) = 0 \pmod{Q_k}$ . It can be rewritten as

$$\sum_{i=0}^k p^{k-i} \sigma_i^k = 0 \pmod{Q_k}.$$

The expansion is

$$\sigma_k^k + p\sigma_{k-1}^k + p^2\sigma_{k-2}^k + \cdots + p^k = 0 \pmod{Q_k}.$$

Then, we apply a linearization for the case of  $l$  variables. Let  $t_1, \dots, t_{l+1}$  be the integers satisfying  $t_1 = k > t_2 > \cdots > t_{l+1} = 0$ . We obtain

$$p^{k-t_1}u_1 + p^{k-t_2}u_2 + \cdots + p^{k-t_l}u_l + p^k = 0 \pmod{Q_k},$$

where

$$u_i := \sum_{j=t_{i+1}+1}^{t_i} p^{t_i-j} \sigma_j^k$$

for  $1 \leq i \leq l$ . For  $|y_i| < N^\gamma$ ,  $p \approx N^{\frac{1}{r}}$  and  $\gamma < \frac{1}{r}$ , we know that the bound is

$$|u_i| < N^{\frac{t_i - t_{i+1} - 1}{r} + (t_{i+1} + 1)\gamma}.$$

In other words, we have

$$\eta_i = \frac{t_i - t_{i+1} - 1}{r} + (t_{i+1} + 1)\gamma.$$

Thus, we can find the roots of the linear equation by Lemma 3 with  $\beta = \frac{k}{r}$  and above  $\eta_i$  if

$$\sum_{i=1}^n \eta_i < 1 - (l+1)(1-\beta) + l(1-\beta)^{\frac{l+1}{l}}.$$

Then we have

$$\gamma < \frac{l \cdot \left( \frac{k+1}{r} + \left(1 - \frac{k}{r}\right)^{\frac{l+1}{l}} - 1 \right)}{l + \sum_{i=2}^l t_i}.$$

The above bound reaches its maximum by setting  $(t_1, t_2, t_3, \dots, t_l)$  to be  $(k, l-1, l-2, \dots, 1)$ . The condition now is

$$\gamma < \frac{2}{l+1} \left( \frac{k+1}{r} + \left(1 - \frac{k}{r}\right)^{\frac{l+1}{l}} - 1 \right).$$

We can further optimize  $k$  to obtain the best bound on  $\gamma$  by calculating the derivative on  $k$ . It can be verified that  $k = r - 1$  is the most suitable choice. Thus, we derive the condition

$$\gamma < \frac{2}{l+1} \left( \frac{1}{r} \right)^{\frac{l+1}{l}}.$$

It means that we need to solve

$$u_1 + p^{r-l}u_2 + \cdots + p^{r-2}u_l + p^{r-1} = 0 \pmod{Q_{r-1}}.$$

The optimal value of  $l$  can be discovered by numerical computation. For each positive integer  $r \leq 10$ , the optimal cases are  $l = 2$  for  $r = 3, 4, 5$ , and  $l = 3$  for  $r = 6, 7, 8, 9, 10$ . To be specific, we show the final equations need to be solved in our optimized method as follows.

– For  $r = 3, 4, 5$ , that is

$$u_1 + p^{r-2}u_2 + p^{r-1} = 0 \pmod{Q_{r-1}}.$$

– For  $r = 6, 7, 8, 9, 10$ , that is

$$u_1 + p^{r-3}u_2 + p^{r-2}u_3 + p^{r-1} = 0 \pmod{Q_{r-1}}.$$

As analyzed in [25], we set  $l \approx \log r$  for much larger  $r$  and the condition is approximated

$$\gamma < \frac{2}{er(\log r + 1)},$$

where  $e$  is the base of natural logarithm. Therefore, we also present the factoring attack for much larger  $r$ .

**Proposition 4.** *Let  $N = p_1 \cdots p_r$  be a multi-prime RSA modulus for  $p_1 < \cdots < p_r$  and  $p_r - p_1 = N^\gamma$  for  $0 < \gamma < \frac{1}{r}$ . Then under Assumption 1,  $N$  can be factored in time polynomial in  $\log N$  for much larger  $r$  if*

$$\gamma < \frac{2}{er(\log r + 1)}.$$

After solving the modular equation, we obtain the values of  $u_1, \dots, u_l$ . Then we know all combinations of  $r - 1$  prime factors by  $\gcd(Q'_{r-1}, N)$ . Finally, we compute each prime factor by

$$\frac{N}{\gcd(Q'_{r-1}, N)}.$$

Note that the unknown variables  $u_i$ 's in the optimized method are quite unbalanced. So we can make further improvement by applying better lattice constructions proposed by Takayasu and Kunihiro [23]. The full description of the optimized algorithm and detailed lattice construction are given below.

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### Algorithm 2

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**Input:** Multi-prime RSA modulus  $N$  with  $r$  and small prime difference  $N^\gamma$ .

**Output:** The factorization  $N = p_1 \cdots p_r$ .

- 1: Compute  $p = \lfloor N^{\frac{1}{r}} \rfloor$ .
- 2: Choose an optimal  $l$  according to  $r$ .
- 3: Construct the linear modular equation with unknown variables  $u_i$ :

$$u_1 + p^{r-l}u_2 + \cdots + p^{r-2}u_l + p^{r-1} = 0 \pmod{Q_{r-1}}.$$

- 4: Figure out  $\eta_i$ 's that are related to the bounds  $N^{\eta_i}$  on  $\sigma_i^r$  for  $1 \leq i \leq l$  with known  $(t_1, t_2, t_3, \dots, t_l, t_{l+1}) = (r-1, l-1, l-2, \dots, 1, 0)$ :

$$|u_i| < N^{\frac{t_i - t_{i+1} - 1}{r} + (t_{i+1} + 1)\gamma}.$$

- 5: Extract each  $u_i$  by using Takayasu-Kunihiro lattice construction.
  - 6: Compute  $Q'_{r-1} = u_1 + p^{r-l}u_2 + \cdots + p^{r-2}u_l + p^{r-1}$  with roots  $\{u_1, \dots, u_l\}$ .
  - 7: Set  $p_i = N / \gcd(Q'_{r-1}, N)$  in increasing order for  $1 \leq i \leq r$ .
- 

In Takayasu-Kunihiro lattice construction, we carefully work out the selection of polynomials by considering the sizes of root bounds. For example, we deal with  $u_1 + p^{r-2}u_2 + p^{r-1} = 0 \pmod{Q_{r-1}}$  in our optimized method. We use

$$u_2^{i_2}(u_1 + p^{r-2}u_2 + p^{r-1})^{i_1} N^{\max\{t-i_1, 0\}}$$

as the shift polynomials with positive integers  $m$  and  $t$  that will be optimized later. The indexes  $i_1$  and  $i_2$  satisfy  $0 \leq i_1 + i_2 \leq m$  and  $0 \leq \gamma_1 i_1 + \gamma_2 i_2 \leq \frac{r-1}{r}t$  in order to select as many helpful polynomials as possible and to let the basis matrix be triangular.

Thus, the shift polynomials modulo  $p^t$  have the common roots for  $u_1$  and  $u_2$ . We span a lattice by the coefficient vectors of above shift polynomials and the equations are derived from the reduced LLL basis vectors. The small roots can be easily recovered by Gröbner basis computation.

Note that we can find all prime factors by solving the linear equation once because every combination (or product) of  $r - 1$  prime factors is equivalent to each other. Using  $l \approx \log r$  implies that our method works in time polynomial in  $\log N$  and  $r$ .

### 3.3 Discussions

Compared with the direct method, we have two improvements in our optimized method. Firstly, we decrease the number of unknown variables and significantly improve the practical performance for larger  $r$ . Secondly, we can achieve a better bound for much larger  $r$  at the same time. But for smaller  $r$ , the direct method offers a higher bound and hence the factoring attack still stays in polynomial time.

Note that the unknown variables  $u_i$ 's in the optimized method are quite unbalanced and we apply Takayasu-Kunihiro lattice constructions [23]. Here we omit the complicated analysis and show another advantage. For  $r \leq 10$ , the optimal  $l$  is always 2. It means that the final equation we need to solve in the optimized method is

$$u_1 + p^{r-2}u_2 + p^{r-1} = 0 \pmod{Q_{r-1}}.$$

Thus, we further reduce the running time of the optimized factoring attack.

Table 2 shows the comparison of the upper bounds on  $\gamma$  due to above factoring attacks for  $r \leq 10$ . The fourth column provides the results using better lattice construction that is discussed above. It is visible that our methods are superior.

**Table 2.** The comparison of the upper bounds on  $\gamma$  due to distinct factoring attacks

$r$	Sect. 3.1	Sect. 3.2	Sect. 3.3	[27]
3	0.1333	0.1283	—	0.1111
4	0.0833	0.0833	0.0835	0.0625
5	0.0571	0.0596	0.0608	0.0400
6	0.0416	0.0458	0.0474	0.0277
7	0.0317	0.0373	0.0387	0.0204
8	0.0250	0.0312	0.0327	0.0156
9	0.0202	0.0267	0.0282	0.0123
10	0.0166	0.0232	0.0248	0.0100

## 4 Experimental Results

We now state some experimental results to show the practical performance of our methods. These experiments are carried out under Sage 7.3 running on a laptop with Intel Core i7 CPU 2.70 GHz and 8 GB RAM. The numbers we used are chosen uniformly at random and Assumption 1 is found to hold for the experiments.

During the experiments, we always deal with modular equations (though the revised condition is obtained from an integer polynomial in Sect. 3.1) and collect many polynomials

satisfying our requirements. In other words, we obtain enough sufficiently short vectors after running the LLL algorithm. Hence, we extract the common roots by Gröbner basis computation and finally attain the factorization of multi-prime RSA modulus.

We provide the experimental results on two attacks according to Sect. 3.1 and Sect. 3.2 (actually refined by Sect. 3.3), namely the  $\gamma_{e1}$ -column and  $\gamma_{e2}$ -column, respectively. The  $\gamma_{zt}$ -column provides the experimental bound of Zhang-Takagi method. The results about the comparison are showed in Table 3.

**Table 3.** The experimental results of the upper bounds on  $\gamma$

$r$	$\gamma_{zt}$	$\gamma_{e1}$	$\gamma_{e2}$
3	0.1109	0.1132	0.1120
4	0.0620	—	0.0750
5	0.0396	—	0.0533
6	0.0275	—	0.0337
7	0.0202	—	0.0286

We firstly comment the experiments for  $r = 3$ . We reduce a 220-dimensional lattice for the direct method while we use a lattice whose dimension is 300 for the optimized method. A 1536-bit multi-prime RSA modulus can be successfully factored by a 174-bit prime difference by the direct method. While using the optimized method, a 172-bit difference leads to the factorization of a 1536-bit modulus. Thus, we conclude that the direct method performs better for  $r = 3$  with roughly similar lattice setting. On the other hand, we observe that the optimized method runs much faster, which is predicted above.

For  $4 \leq r \leq 7$ , we use the optimized method with lattices whose dimension is around 300 since it is more efficient. We carry out experiments for much smaller moduli with almost the same lattice setting and they work much better. We also do experiments for moduli of the same size with various lattice dimensions for  $r = 3, 4$ . The results become better as the lattice dimension increases. So the lattice dimension may be a critical limitation that influences the practical performance of lattice-based methods. The optimized bounds for  $4 \leq r \leq 7$  showed in the  $\gamma_{e2}$ -column are those observed in the experiments with much smaller moduli.

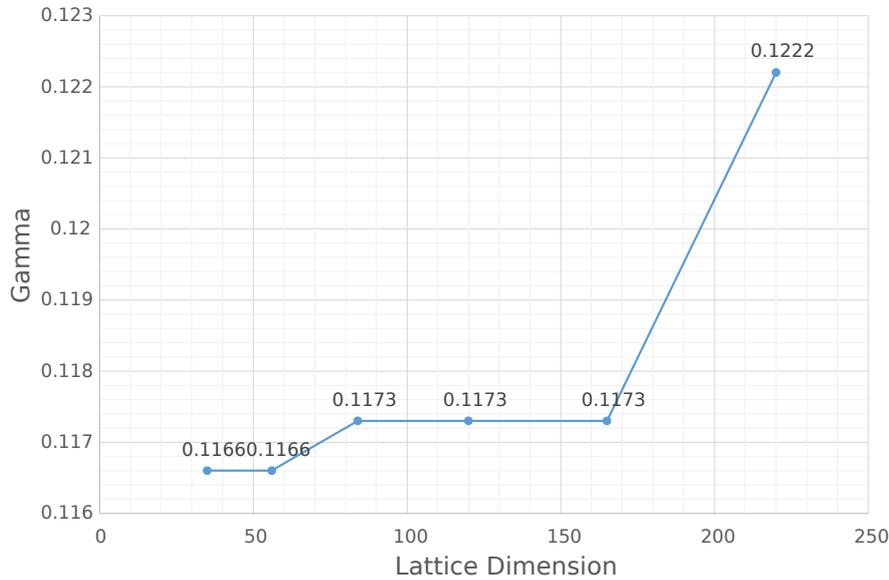
More details about the experimental results are showed below. Firstly, as showed in Fig. 1 and Fig. 2, upper bound on  $\gamma$  gets better when the lattice dimension increases. For the direct method, upper bound on  $\gamma$  remains stable when the lattice dimension is between 50 and 170. For the optimized method, the value is between 60 and 300.

We then show the experimental results for  $r = 3$  using the direct method in Fig. 3. As the size of the modulus increases,  $\gamma$  finally arrives around 0.113. This value is beyond the asymptotic bound  $\frac{1}{9}$  of previous Zhang-Takagi method.

The remaining graphs are related to the experiments for  $3 \leq r \leq 7$  with various moduli using the optimized method. The lattice dimension of each experiment is set around 300. From Fig. 4, Fig. 5, Fig. 6, Fig. 7 and Fig. 8, we find that upper bound on  $\gamma$  is higher for smaller modulus and then goes to a lower value. Also it will finally arrive at a certain value that may be determined by the lattice dimension.

Another observation is that these lattices whose dimension is around 300 seem less effective for moduli with larger bit-size. To be specific, it is less effective for the moduli of greater than 500-bit when  $r = 3$ . The critical bit-size is 700-bit for  $r = 4, 5$  and 1000-bit for  $r = 6, 7$ . Thus, we guess that the lattices used in our experiments are effective for the

prime factor of less than 160-bit. To obtain desired upper bounds, we need to apply some lattices with huge dimension.



**Fig. 1.** The experimental results of upper bound on  $\gamma$  with various lattice dimensions and the same bit-size moduli for  $r = 3$  using the direct method

## 5 Conclusions

Factoring attack works better than small private exponent attack on multi-prime RSA with much smaller prime difference, and the former removes the restriction on the private exponents. We further upgrade the insecure bound on the prime difference and propose improved factoring attacks based on lattice approach and the optimal linearization technique.

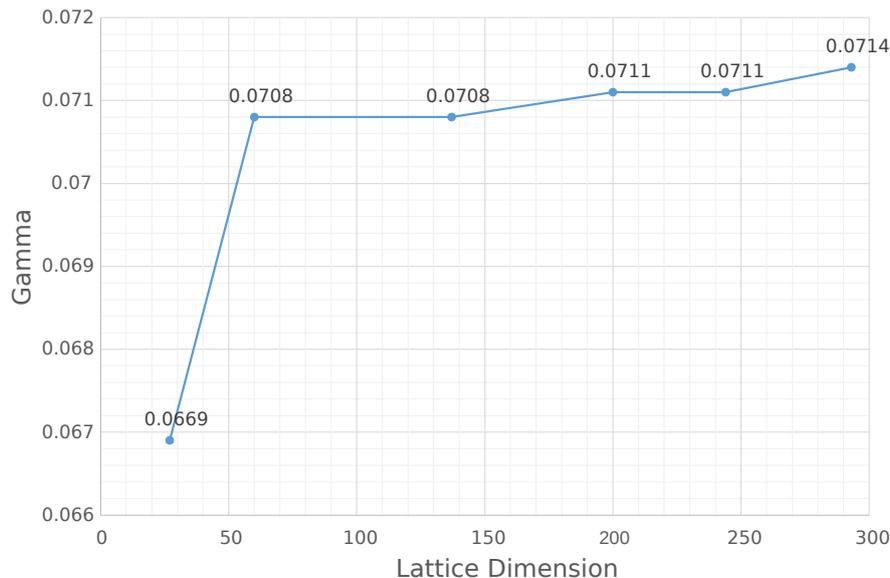
To summarize, our factoring attacks make significant improvements by taking full knowledge of the small prime difference. We combine more equations rather than only one equation to solve the factoring problem. Furthermore, applying the optimal linearization technique on unknown variables helps us to reduce the time cost and obtain better results.

For our factoring attacks on multi-prime RSA modulus with  $r$  primes, solving an  $r$ -variate linear equation constructed by  $r$  simultaneous modular equations is preferred for  $r = 3$ . And solving an  $l$ -variate (that depends on  $r$ ) linear equation constructed by  $r - 1$  equations is preferred for  $r > 3$ . Both factoring attacks can be done in polynomial time.

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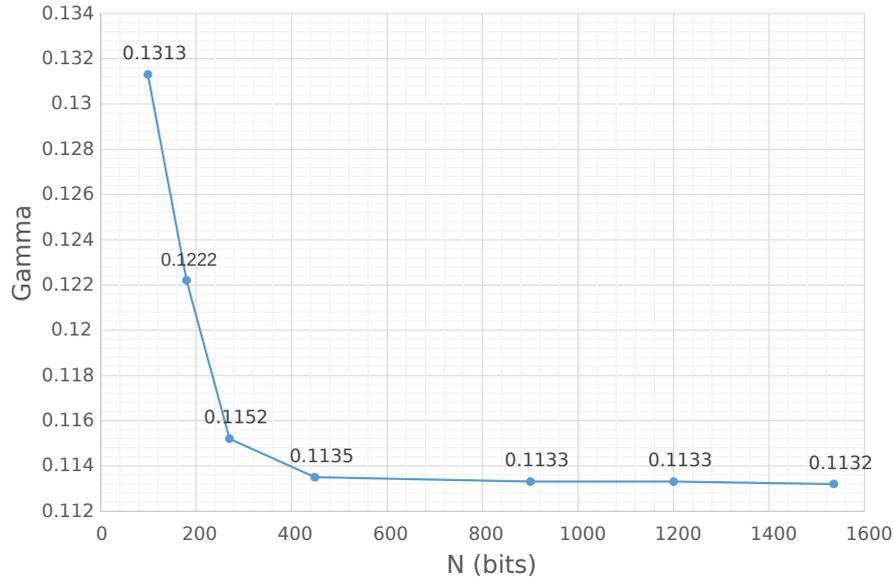
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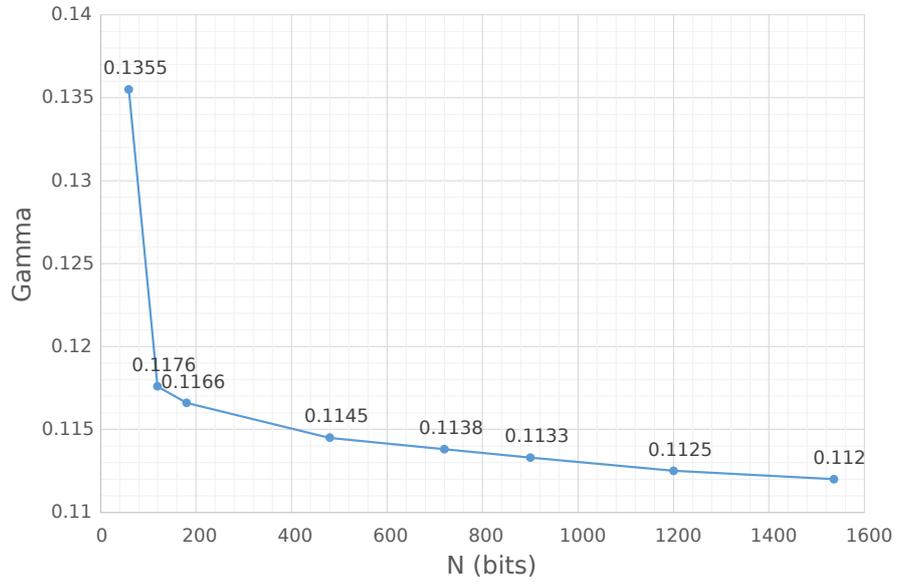
**Fig. 2.** The experimental results of upper bound on  $\gamma$  with various lattice dimensions and the same bit-size moduli for  $r = 4$  using the optimized method

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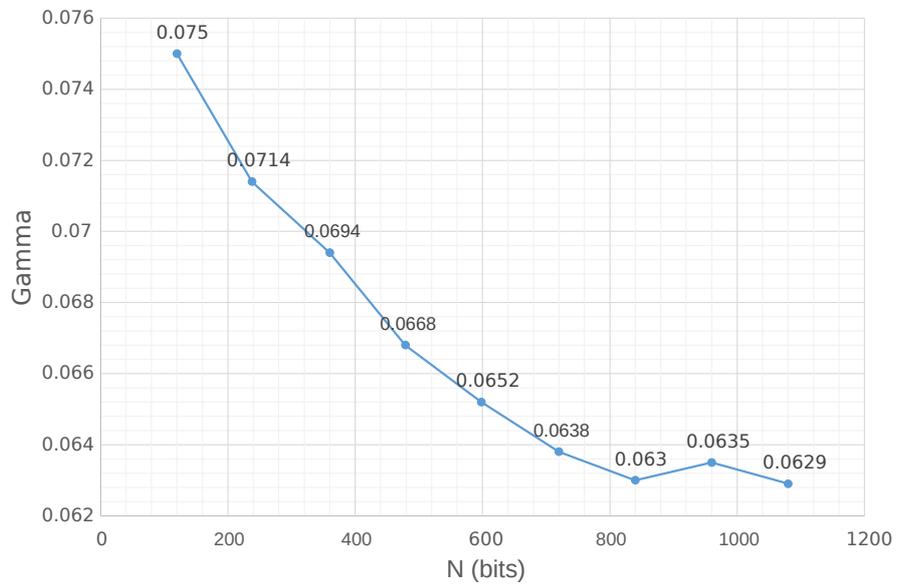


**Fig. 3.** The experimental results of upper bound on  $\gamma$  with various moduli for  $r = 3$  using the direct method

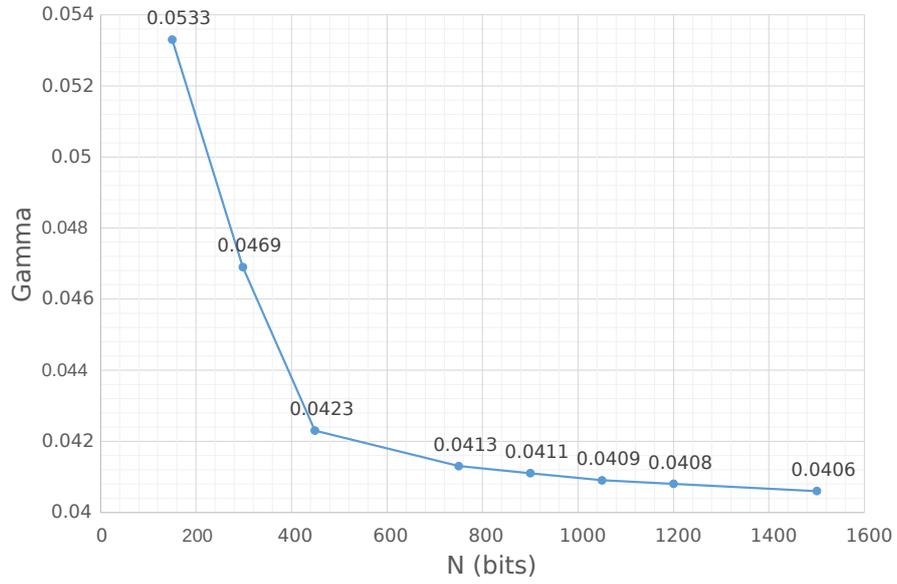
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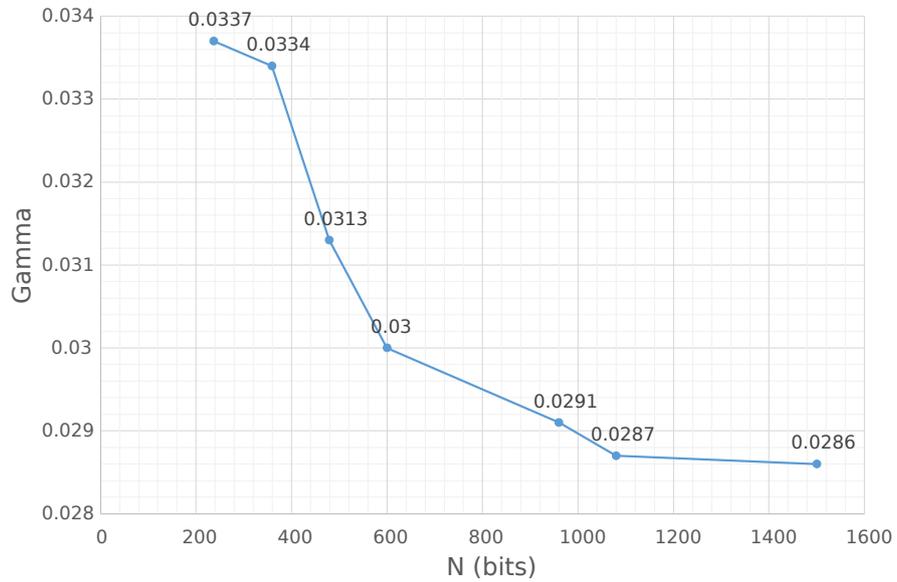
**Fig. 4.** The experimental results of upper bound on  $\gamma$  with various moduli for  $r = 3$  using the optimized method



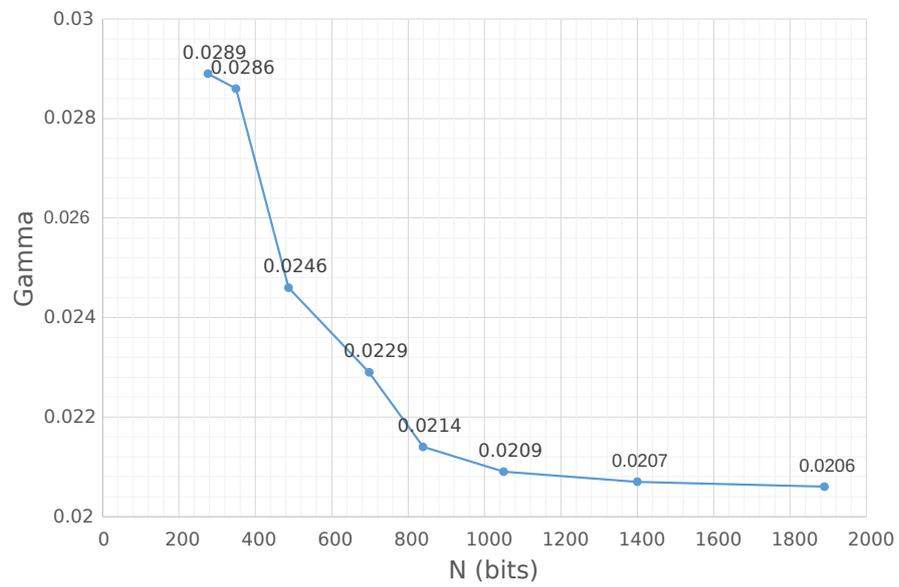
**Fig. 5.** The experimental results of upper bound on  $\gamma$  with various moduli for  $r = 4$  using the optimized method



**Fig. 6.** The experimental results of upper bound on  $\gamma$  with various moduli for  $r = 5$  using the optimized method



**Fig. 7.** The experimental results of upper bound on  $\gamma$  with various moduli for  $r = 6$  using the optimized method



**Fig. 8.** The experimental results of upper bound on  $\gamma$  with various moduli for  $r = 7$  using the optimized method