

# CloudHKA: A Cryptographic Approach for Hierarchical Access Control in Cloud Computing<sup>\*</sup>

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**Abstract.** Cloud services are blooming recently. They provide a convenient way for data accessing, sharing, and processing. A key ingredient for successful cloud services is to control data access while considering the specific features of cloud services. The specific features include great quantity of outsourced data, large number of users, honest-but-curious cloud servers, frequently changed user set, dynamic access control policies, and data accessing for light-weight mobile devices. This paper addresses a cryptographic key assignment problem for enforcing a hierarchical access control policy over cloud data.

We propose a new hierarchical key assignment scheme *CloudHKA* that observes the Bell-LaPadula security model and efficiently deals with the user revocation issue practically. We use CloudHKA to encrypt outsourced data so that the data are secure against honest-but-curious cloud servers. CloudHKA possesses almost all advantages of the related schemes, e.g., each user only needs to store one secret key, supporting dynamic user set and access hierarchy, and provably-secure against collusive attacks. In particular, CloudHKA provides the following distinct features that make it more suitable for controlling access of cloud data. (1) A user only needs a constant computation time for each data accessing. (2) The encrypted data are securely updatable so that the user revocation can prevent a revoked user from decrypting newly and previously encrypted data. Notably, the updates can be outsourced by using public information only. (3) CloudHKA is secure against the legal access attack. The attack is launched by an authorized, but malicious, user who pre-downloads the needed information for decrypting data ciphertexts in his authorization period. The user uses the pre-downloaded information for future decryption even after he is revoked. Note that the pre-downloaded information are often a small portion of encrypted data only, e.g. the header-cipher in a hybrid encrypted data ciphertext. (4) Each user can be flexibly authorized the access rights of WRITE or READ, or both.

**Keywords.** *Access control, hierarchical key assignment, key management, Bell-LaPadula security model, outsourced data, cloud computing, proxy re-encryption*

## 1 Introduction

Outsourcing data to cloud server (CS) becomes popular in these years. A data provider (DP) no longer stores a large quantity of data locally. A user can access them from anywhere at any time. However, the outsourced data often contain sensitive information and CS naturally becomes a target of attacks. Even worse, CS itself

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could distribute DP's data for illegal profit. Therefore, DP does not want to disclose his data to CS. Furthermore, DP wants to control access to data of different sensitive levels. Only the authorized users can access the data with certain security levels. We want to enforce a designated access control policy for users over cloud data.

This work considers the hierarchical access control (HAC) policy. By the policy, data are organized into security classes  $SC_1, SC_2, \dots, SC_n$ , which are partially ordered with a binary relation  $\prec$ .  $SC_j \prec SC_i$  means that the security level of  $SC_i$  is higher than that of  $SC_j$ . If a user is authorized to read data at  $SC_i$ , he is also entitled to read data at  $SC_j$  for  $SC_j \prec SC_i$ . The HAC policy is widely used in various computer systems, e.g., military, government, secure database, and Pay-TV systems.

*Hierarchical key assignment* (HKA) is a cryptographic method for enforcing HAC policies [1]. An HKA scheme consists of a set of cryptographic keys  $SK_1, SK_2, \dots, SK_n$  such that if  $SK_j \prec SK_i$ ,  $SK_j$  can be derived by using  $SK_i$ . To enforce an HAC policy  $\mathcal{P}$  for hierarchical data, a datum at  $SC_j$  is encrypted into ciphertext by using  $SK_j$ . A user who is authorized to read the data at  $SC_i$  is assigned  $SK_i$ . Thus, the user can decrypt the data at  $SC_j$ , which is lower than  $SC_i$ , by using  $SK_i$  to derive  $SK_j$ .

An important issue in designing an HKA scheme is to revoke an authorized user  $u$  from his associated class, say  $SC_i$ . DP needs to remove  $u$ 's access rights for the following two kinds of data:

- *Newly encrypted data at  $SC_z$  for  $SC_z \preceq SC_i$* : The encrypted data under new encryption keys after revoking  $u$ .
- *Previously encrypted data at  $SC_z$  for  $SC_z \preceq SC_i$* : The encrypted data under previous encryption keys before revoking  $u$ .

To prevent  $u$  from decrypting newly encrypted data at  $SC_z$ , DP can encrypt data by new keys and distribute the new keys to the non-revoked users only. Nevertheless, since non-revoked users need to access previously encrypted data at  $SC_z$ , they should keep all old keys. The key management cost is high if revocation occurs frequently.

To prevent  $u$  from decrypting previously encrypted data by using his old keys, DP can decrypt previously encrypted data and encrypt them with new keys, which are distributed to non-revoked users only. Thus, the revoked user  $u$  cannot use his old keys to decrypt previously encrypted data. Simultaneously, a non-revoked user needs to keep the newest key of his associated class only. However, since data are of a large quantity, DP needs substantial time in processing them. A common solution is to use the hybrid encryption technique for data encryption. DP randomly chooses a data encryption key  $K$  for encrypting data into body-cipher and then encrypts  $K$  into header-cipher under a cryptographic key  $SK_i$ . In processing data, CS only needs to update the header-cipher and the much larger body-ciphers are no need to be changed. It saves computation time significantly. Nevertheless, the solution causes a

new issue, which we call it the *legal access attack*. An authorized, but malicious, user may decrypt all decryptable header-ciphers to obtain  $K$ 's. The user can use these  $K$ 's to decrypt body-ciphers in the future even after he is revoked. Furthermore, in processing data, if decryption and encryption operations are done in the CS side, CS gets to know the content of data. Face to the above issues, we want a solution that updates encrypted data without disclosing the content to CS and entailing high overhead for DP and CS. Simultaneously, we hope that the solution is secure against the legal access attack.

We consider the Bell-LaPadula security model [5] for HAC policies. The model consists of two security properties: (1) The *simple security property* requires that a user cannot read the data at a higher security class. (2) The  $\star$ (*star*)-*property* requires that a user cannot write data at a lower security class. To observe the security model in an HKA scheme, we separate  $SK_i$  into a write- and read-key pair ( $\text{WriteK}_i, \text{ReadK}_i$ ) for encrypting and decrypting data at  $SC_i$ , respectively. A user at  $SC_i$  is authorized to obtain  $\text{ReadK}_i$ , which is used to read (decrypt) the data at  $SC_z$  for  $SC_z \preceq SC_i$ . For data writing (encryption), the user is only authorized to obtain those  $\text{WriteK}_z$  of  $SC_z$  for  $SC_i \preceq SC_z$ . The separation provides flexibility in authorizing data access right of READ or WRITE, or both.

**Our Contribution.** We provide a practical *CloudHKA* scheme for controlling access for encrypted data in cloud computing. CloudHKA is a novel HKA scheme that observes the Bell-LaPadula security model and efficiently deals with the above issues in user revocation. The design of CloudHKA considers the specific features of cloud services. The specific features include great quantity of outsourced data, large number of users, honest-but-curious cloud servers, frequently changed user set, dynamic access control policies, and data accessing for light-weight mobile devices.

In detail, CloudHKA has the following features.

- (1) *Optimal secret key size hold by each user.* Each authorized user at  $SC_i$  keeps *one* secret distribution-key  $\text{DistK}_i$ .
- (2) *Outsourceable computation in key derivation.* An authorized user can securely outsource computation for deriving a read-key to CS. He needs to do *three* decryption operations only.
- (3) *Outsourceable data update in user revocation.* To revoke a user  $u$ , DP can outsource data update operations to CS. CS needs to update header-cipher and a small portion (the size is the same as header-cipher) of body-cipher only. After updating previously encrypted data,  $u$  cannot decrypt them with his old distribution-keys and the non-revoked users can decrypt them with their newest distribution-keys. In particular, only the distribution-key of  $u$ 's associated class needs to be updated. It leads that the key re-distribution occurs in  $u$ 's associated class only.
- (4) *Secure against the legal access attack.* CloudHKA enforces that an authorized user cannot pre-download the needed information for decrypting body-cipher by only

accessing a small portion of encrypted data. Therefore, the legal access attack can be prevented by denying uncommon (large traffic) data access from a user.

- (5) *Flexible user access right authorization.* Each user can be authorized the access rights of WRITE or READ, or both.
- (6) *Provable-security.* CloudHKA is formally shown to be message indistinguishability secure. Even if CS and a set of users collude, they cannot determine the original datum (that is not entitled to be derived by them) from an encrypted datum with non-negligible probability.

Figure 1 shows the system overview of CloudHKA. The detailed construction is illustrated in Section 3. The system consists of CS, DP, and users. CS is operated by cloud service providers. It is assumed to have bountiful storage space and computation power. DP outsources his data to CS with a self-defined HAC policy  $\mathcal{P}$ . DP is free to add or delete data in CS and change the access control policy. DP can execute his code over CS to manage his data. A user can be authorized to read or write data in CS. Typically, a user is assumed to have limited storage space and computing power. We assume that CS is always on-line, but DP and users are only on-line when necessary.

**Related works.** Akl and Taylor [1] first addressed the problem of assigning cryptographic keys in an access hierarchy. They proposed an HKA scheme to enforce an HAC policy. After that, many researches proposed methods for improving performance, supporting dynamic access control policies, or providing distinct features [2, 3, 12, 16, 19, 20, 24, 26, 30]. Atallah et al. formalized the security requirement for HKA schemes and provided an efficient and provably-secure HKA scheme against *key recovery* attacks [3]. Recently, they proposed another scheme with security against *key-indistinguishability* attacks [2]. They also addressed the problem of reducing key derivation time for each user in a deep access hierarchy. The result is obtained by maintaining extra public system information.

Sahai and Waters [23] proposed an attribute-based encryption (ABE) scheme that provides fine-grained data access control. Most ABE schemes enforce monotone access policies over encrypted data [6, 13, 15, 17, 22, 23, 29]. An ABE scheme allows a user to encrypt data into ciphertexts according to a policy. Only the users with a set of attributes that satisfy the policy can decrypt the ciphertexts. Nevertheless, many ABE schemes do not address the issue of dynamic user set and dynamic access policy. Boldyreva et al. [8] addressed the issue of revoking a user with time. They periodically distribute the updated keys to non-revoked users for decrypting newly encrypted data. Yu et al. [29] proposed a revocable ABE scheme for revoking a user immediately. In contrast, Hur and Noh [17] proposed a revocable ABE scheme with immediate attribute and user revocation capability. Sahai et al. [22] proposed the revocable storage ABE scheme that deals with the issue of efficiently preventing a revoked user from decrypting previously encrypted data. In addition to the user revocation issue, decryption time of the existing ABE schemes grows with the depth of access formula. Green et al. [15] proposed a method of outsourcing the overhead for

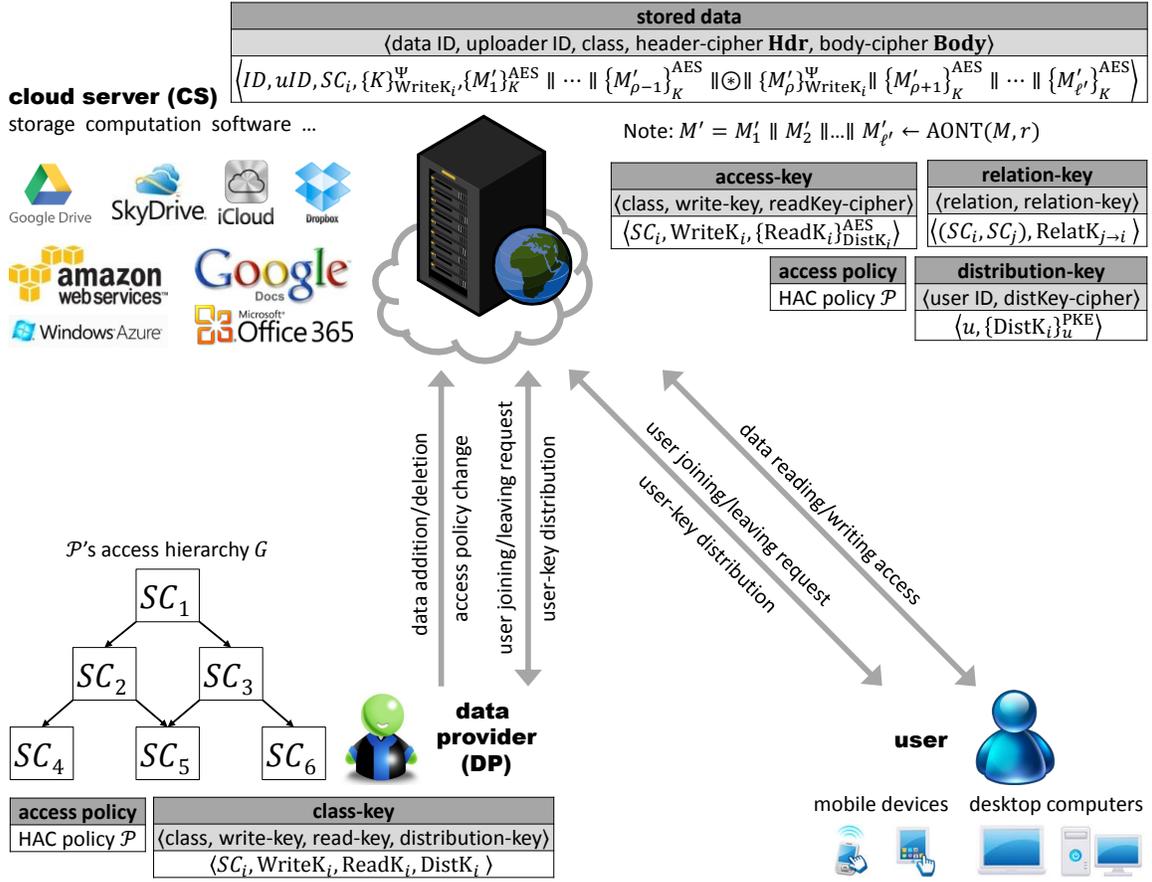


Fig. 1. A system overview of our CloudHKA.

users in decryption. Additionally, the size of user secret key or ciphertext in existing ABE schemes grows proportionally in the number of associated attributes. Designing an ABE scheme with a constant size of a user secret key and a ciphertext is still an open problem.

## 2 Preliminaries

### 2.1 HAC Policy with the Bell-LaPadula Security Model

An HAC policy  $\mathcal{P}$  is a 5-tuple  $(\mathcal{SC}, \prec, \mathcal{U}, \mathcal{D}, \lambda)$ , where  $\mathcal{SC} = \{SC_i : 1 \leq i \leq n\}$  is a set of security classes,  $\prec$  is a binary relation over  $\mathcal{SC} \times \mathcal{SC}$ ,  $\mathcal{U}$  is a set of users,  $\mathcal{D}$  is a set of data, and  $\lambda : \mathcal{U} \cup \mathcal{D} \rightarrow \mathcal{SC}$  is a security function that associates each user and datum with a security class.  $(\mathcal{SC}, \prec)$  forms a partial order set (poset), where  $SC_j \prec SC_i$  means that the security level of class  $SC_i$  is higher than that of  $SC_j$ . To observe the Bell-LaPadula security model,  $\mathcal{P}$  requires the following two properties.

- 1) *Simple security property*: A user  $U \in \mathcal{U}$  cannot read a datum  $D \in \mathcal{D}$  if  $\lambda(U) \prec \lambda(D)$ .
- 2)  *$\star$ -property*: A user  $U \in \mathcal{U}$  cannot write a datum  $D \in \mathcal{D}$  if  $\lambda(D) \prec \lambda(U)$ .

The poset  $(\mathcal{SC}, \prec)$  is represented as a directed graph (access hierarchy)  $G$ . Each class  $SC_i$  is a node and the relation  $SC_j \prec SC_i$  is represented by the directed edge  $(SC_i, SC_j)$  in  $G$ .  $G$  can be simplified by eliminating the edges that are implied by the transitive closure property. For example, Figure 1 has an access hierarchy  $G$  with the nodes  $SC_1, SC_2, \dots, SC_6$  and edges  $(SC_1, SC_2), (SC_1, SC_3), (SC_2, SC_4), (SC_2, SC_5), (SC_3, SC_5)$ , and  $(SC_3, SC_6)$ .

## 2.2 Proxy Re-Encryption (PRE) Scheme

A proxy re-encryption (PRE) scheme delegates a proxy to re-encrypt a ciphertext under key  $ek_A$  into another ciphertext under key  $ek_B$  by using the re-encryption key  $rk_{A \rightarrow B}$  without revealing the plaintext [4, 7, 9, 14, 18, 25, 27]. A PRE scheme  $\Psi$  consists of the following six poly-time algorithms:

- **Setup** $(\tau) \rightarrow (sp, \mathcal{MK})$ . On input a security parameter  $\kappa$ , **Setup** outputs the public system parameter  $sp$  (which is explicit used in other algorithms) and master secret key set  $\mathcal{MK}$ .
- **KeyGen** $(\mathcal{MK}, i) \rightarrow (ek_i, dk_i)$ . On input the master secret key set  $\mathcal{MK}$  and an index  $i$ , **KeyGen** outputs a pair of encryption and decryption keys  $(ek_i, dk_i)$ .
- **ReKeyGen** $((ek_i, dk_i), (ek_j, dk_j))^1 \rightarrow rk_{i \rightarrow j}$ . On input two pairs of encryption and decryption key  $(ek_i, dk_i)$  and  $(ek_j, dk_j)$ , **ReKeyGen** outputs a re-encryption key  $rk_{i \rightarrow j}$ .
- **Enc** $(ek_i, m) \rightarrow c_i$ . On input an encryption key  $ek_i$  and a plaintext  $m$ , **Enc** output a ciphertext  $c_i$ .
- **ReEnc** $(rk_{i \rightarrow j}, c_i) \rightarrow c_j$ . On input a re-encryption key  $rk_{i \rightarrow j}$  and ciphertext  $c_i$ , **ReEnc** output a ciphertext  $c_j$  under  $ek_j$ .
- **Dec** $(dk_i, c_i) \rightarrow m$ . On input a decryption key  $dk_i$  and ciphertext  $c_i$ , **Dec** outputs a plaintext  $m$ .

These algorithms satisfy the following two requirements.

- For all  $(ek_i, dk_i) \leftarrow \text{KeyGen}(\mathcal{MK}, i)$ ,  $\text{Dec}(dk_i, \text{Enc}(ek_i, m)) = m$ ,
- For all  $rk_{i \rightarrow j} \leftarrow \text{ReKeyGen}((ek_i, dk_i), (ek_j, dk_j))$ ,  $\text{Dec}(dk_j, \text{ReEnc}(rk_{i \rightarrow j}, \text{Enc}(ek_i, m))) = m$ .

$\Psi$  is *uni-directional* if  $rk_{j \rightarrow i}$  cannot be derived from  $rk_{i \rightarrow j}$ . It is *multi-hop* if a ciphertext can be re-encrypted many times in a sequence.

<sup>1</sup> For the construction of a PRE scheme, it is preferable to compute  $rk_{i \rightarrow j}$  in a *non-interactive* way, that is, without using the secret key  $dk_j$ . While using PRE scheme as a building block in our scheme, an interactive PRE scheme is also suitable.

For security, a uni-directional PRE scheme is IND-CPA secure<sup>2</sup> if, for a given ciphertext, a collusive set of malicious entities cannot determine which message,  $m_0$  or  $m_1$ , is encrypted under an uncorrupted  $ek_i$ . A malicious entity is the proxy, a non-user, or an authorized user with a partial set of decryption keys. The corresponding security game between a challenger  $\mathcal{C}_{\text{PRE}}$  and an adversary  $\mathcal{A}_{\text{PRE}}$  is described as follows.

*IND-CPA Security Game.* In the beginning,  $\mathcal{C}_{\text{PRE}}$  runs  $\text{SETUP}(\tau)$  to generate  $(sp, \mathcal{MK})$  and gives  $sp$  to  $\mathcal{A}_{\text{PRE}}$ .  $\mathcal{A}_{\text{PRE}}$  determines which message,  $m_0$  or  $m_1$ , corresponds to a challenged ciphertext  $c_{i^*} = \text{Enc}(ek_{i^*}, m_b)$  for  $b \in \{0, 1\}$ , where  $ek_{i^*}$  is the encryption key of a target index  $i^*$  chosen by  $\mathcal{C}_{\text{PRE}}$  and  $m_0, m_1$  are two plaintexts chosen by  $\mathcal{A}_{\text{PRE}}$ .  $\mathcal{A}_{\text{PRE}}$  is allowed to query the following oracles in an arbitrary order. We say that a ciphertext  $c_i$  is re-encryptable into another ciphertext  $c_j$  if all re-encryption keys on the path from index  $i$  to  $j$  are returned for queries. A ciphertext  $c_i$  is decryptable if the decryption key  $dk_i$  is corrupted or  $c_i$  is re-encryptable into a decryptable ciphertext.

- $\mathcal{O}_{\text{uc}}(i) \rightarrow ek_i$ . For the query of an index  $i$ , the uncorrupted key extraction oracle  $\mathcal{O}_{\text{uc}}$  returns  $ek_i$ .
- $\mathcal{O}_{\text{c}}(i) \rightarrow \{(ek_i, dk_i), \perp\}$ . For the query of an index  $i$ , the corrupted key extraction oracle  $\mathcal{O}_{\text{c}}$  returns the key pair  $(ek_i, dk_i)$  if  $i \neq i^*$  and  $c_{i^*}$  cannot be re-encrypted into a ciphertext under  $ek_i$ . Otherwise,  $\mathcal{O}_{\text{c}}$  returns nothing.
- $\mathcal{O}_{\text{rk}}(i, j) \rightarrow \{rk_{i \rightarrow j}, \perp\}$ . For the query of an index pair  $(i, j)$ , the re-encryption extraction oracle  $\mathcal{O}_{\text{rk}}$  returns  $rk_{i \rightarrow j}$  if  $c_{i^*}$  cannot be re-encrypted into a decryptable ciphertext. Otherwise,  $\mathcal{O}_{\text{rk}}$  returns nothing.

We say that  $\mathcal{A}_{\text{PRE}}$  wins the IND-CPA security game if he can determine which  $m_b$  for  $b \in \{0, 1\}$  is the original message of  $c_{i^*}$  under  $ek_{i^*}$ . A PRE scheme is IND-CPA secure if, for all poly-time  $\mathcal{A}_{\text{PRE}}$ , the advantage of  $\mathcal{A}_{\text{PRE}}$  for winning the IND-CPA security game is negligible in  $\tau$ .

### 2.3 All-Or-Nothing Transformation

All-or-nothing transformation (AONT) AONT is an unkeyed and randomized function with the property that it is hard to compute the whole message unless the entire function output is known [21]. AONT maps an  $\ell$ -block message  $X = X_1 || X_2 || \dots || X_\ell$  and a random string  $r$  to an  $\ell'$ -block string  $Y = Y_1 || Y_2 || \dots || Y_{\ell'}$ . AONT satisfies the following properties:

- Given  $X$  and  $r$ ,  $Y \leftarrow \text{AONT}(X, r)$  can be computed efficiently.
- Given  $Y$ ,  $X \leftarrow \text{AONT}^{-1}(Y)$  can be computed efficiently.
- If any block of  $Y$  is lost, it is infeasible to recover  $X$ .

<sup>2</sup> A stronger security notion of a PRE scheme is IND-CCA secure. The adversaries are given access to a decryption oracle. Constructing an IND-CCA secure PRE scheme is considered harder. Our scheme needs only an IND-CPA secure PRE scheme.

### 3 Our CloudHKA

#### 3.1 Overview

The construction of CloudHKA is based on a uni-directional and multi-hop PRE scheme  $\Psi$ . Assume that the given HAC policy is  $\mathcal{P}$ , which is represented by a directed graph  $G = (V, E)$ . For each class  $SC_i \in V$ , DP generates a pair of write- and read-key ( $\text{WriteK}_i, \text{ReadK}_i$ ). A message that is encrypted by using  $\text{WriteK}_i$  can be decrypted by using  $\text{ReadK}_i$ . A user who obtains the write-key  $\text{WriteK}_i$  is authorized the WRITE right for  $SC_i$ . A user who obtains the read-key  $\text{ReadK}_i$  is authorized the READ right for  $SC_i$  and its lower classes. Although the pair of write- and read-key is like the pair of public- and private-key of a public-key system, neither of them can be published to a public domain in CloudHKA. A write-key  $\text{WriteK}_z$  is given to a user at  $SC_i$  (through a secure channel) when he requests to write data into  $SC_z$  for  $SC_i \preceq SC_z$ .

In data outsourcing, a datum  $M$  at  $SC_i$  is transformed into

$$M' = M'_1 || M'_2 || \dots || M'_{\ell'} \leftarrow \text{AONT}(M, r)$$

and then encrypted in the form

$$\begin{aligned} & \langle \text{data ID, uploader ID, class, header-cipher, body-cipher, } \rangle \\ & = \langle ID, uID, SC_i, \text{Hdr}_{ID}^{SC_i} = \{K\}_{\text{WriteK}_i}^{\Psi}, \text{Body}_{ID}^{SC_i} = \{M'_1\}_K^{\text{AES}} || \dots || \{M'_{\rho-1}\}_K^{\text{AES}} || \\ & \quad \otimes || \{M'_{\rho}\}_{\text{WriteK}_i}^{\Psi} || \{M'_{\rho+1}\}_K^{\text{AES}} || \dots || \{M'_{\ell'}\}_K^{\text{AES}} \rangle, \end{aligned} \quad (1)$$

where

- $uID$  is a user who stores (uploads) his data into CS,
- $\{K\}_{\text{WriteK}_i}^{\Psi}$  and  $\{M'_{\rho}\}_{\text{WriteK}_i}^{\Psi}$  are respectively the ciphertexts of a randomly chosen AES encryption key  $K$  and  $\rho$ -th block of  $M'$  under  $\text{WriteK}_i$ ,
- $\rho \in \{1, 2, \dots, \ell'\}$  only known by CS and DP,
- $\otimes$  is a special symbol for marking the start position of  $\text{Body}_{ID}^{SC_i}[\rho]$ , and
- $\{M'_{\omega}\}_K^{\text{AES}}$  is the ciphertext of  $M'_{\omega}$  for  $\omega \in \{1, 2, \dots, \ell'\} \setminus \{\rho\}$  under  $K$ .

Before CS storing an encrypted datum into  $SC_i$ , he should authenticate that the associated class of a data uploader is no lower than  $SC_i$ . It observes the  $\star$ -property.

For each relation  $(SC_j, SC_i) \in E$ , DP generates a (public) relation-key  $\text{RelatK}_{i \rightarrow j}$  that is used to re-encrypt a header-cipher and body-cipher ( $\rho$ -th block) of  $SC_i$  into that of  $SC_j$ . Assume that a user who is authorized the READ right of  $SC_j$  wants to read (decrypt) datum  $ID$  encrypted as (1). CS re-encrypts  $\{K\}_{\text{WriteK}_i}^{\Psi}$  and  $\{M'_{\rho}\}_{\text{WriteK}_i}^{\Psi}$  into  $\{K\}_{\text{WriteK}_j}^{\Psi}$  and  $\{M'_{\rho}\}_{\text{WriteK}_j}^{\Psi}$  by using the relation-key  $\text{RelatK}_{i \rightarrow j}$ . The user then decrypts  $\{K\}_{\text{WriteK}_j}^{\Psi}$  and  $\{M'_{\rho}\}_{\text{WriteK}_j}^{\Psi}$  to obtain  $K$  and  $M'_{\rho}$  by using  $\text{ReadK}_j$ . By using  $K$  to decrypt  $\{M'_{\omega}\}_K^{\text{AES}}$  for  $\omega \in \{1, 2, \dots, \ell'\} \setminus \{\rho\}$ , the user obtains  $M'_{\omega}$  and combines it with  $M'_{\rho}$  to recover  $M \leftarrow \text{AONT}^{-1}(M')$ . The concept can be easily extended for the case with  $d = \text{dst}_G(SC_j, SC_i) > 1$ , where  $\text{dst}_G(SC_j, SC_i)$  is the distance between  $SC_j$  and  $SC_i$  in the access hierarchy  $G$ .

To revoke a user  $u$  at  $SC_i$ , DP does the following procedures.

- Removing WRITE right: DP simply removes  $u$  from his  $SC_i$  in  $\mathcal{P}$ . Then,  $u$ 's WRITE right of  $SC_z$  for  $SC_i \preceq SC_z$  is removed since he cannot pass CS's authentication in data writing.
- Removing READ right: This part can be separated into two cases.
  - (1) Preventing  $u$  from decrypting newly encrypted data at  $SC_z$  for  $SC_z \preceq SC_i$ : DP re-generates  $SC_z$ 's key pair and related relation-keys. Then, the new data at  $SC_z$  will be encrypted under the new write-key of  $SC_z$ . The new read-key of  $SC_z$  is distributed to the non-revoked users only.
  - (2) Preventing  $u$  from decrypting previously encrypted data at  $SC_z$  for  $SC_z \preceq SC_i$ : DP sends CS a (public) transform-key  $\text{TranK}_z$  for transforming (re-encrypting)  $SC_z$ 's header-ciphers and body-ciphers under the old write-key into the new one under the new write-key. Thus, only the non-revoked users who obtain the new read-keys can decrypt the updated header-ciphers and body-ciphers.

**Remark.** The data encryption form in (1) enforces a user accesses the whole body-cipher for decryption. Assume that an authorized user  $u$  at  $SC_i$  wants to access a datum  $ID$  in (1).  $u$  needs to obtain  $K$  and  $M'_\rho$  by using  $\text{ReadK}_i$  so that he can recover  $M$ . To obtain  $M'_\rho$ ,  $u$  needs to find the start position of  $\{M'_\rho\}_{\text{WriteK}_i}^\Psi$ . Since  $u$  does not know  $\rho$ , he needs to find  $\otimes$  by accessing whole  $\text{Body}_{ID}^{SC_i}$  (or the part before meeting  $\otimes$ .) This design effectively prevents the legal access attack. In the legal access attack,  $u$  pre-downloads  $K$  and  $M'_\rho$  for each datum. However,  $u$  does not know the position of  $\otimes$  until the whole body-cipher is retrieved. In CloudHKA, a authorized, but malicious, user needs to access a large portion of a data for pre-downloading the needed information for decryption. A large collection of pre-downloaded information will cause traffic in accessing. A traffic limitation mechanism (with a specified policy according to the system) can easily deny the legal access attack. For example, in a protected database, the amount of transmitted data for each user in a time period is often limited.

### 3.2 The Construction

Let  $\Psi = (\Psi.\text{Setup}, \Psi.\text{KeyGen}, \Psi.\text{ReKeyGen}, \Psi.\text{Enc}, \Psi.\text{ReEnc}, \Psi.\text{Dec})$  be a uni-directional and multi-hop PRE scheme. Let AES be a symmetric key encryption scheme with key generation, encryption, and decryption algorithms (AES.G, AES.E, AES.D). Let PKE be an asymmetric key (or public-key) encryption scheme with key generation, encryption, and decryption algorithms (PKE.G, PKE.E, PKE.D). Let AONT be an all-or-nothing transformation function that maps an  $\ell$ -block message and a random string to an  $\ell'$ -block string.

To simplify the description of our scheme, we assume that two system entities of CS, DP, and users can authenticate the identity of each other. The integrity and correctness of messages or data transmitted between two system entities can be verified by each other.

**System Setup.** DP defines an initial HAC policy  $\mathcal{P} = (\mathcal{SC}, \prec, \mathcal{U}, \mathcal{D}, \lambda)$  with  $n$  security classes  $SC_1, SC_2, \dots, SC_n$ . Assume that  $\mathcal{P}$  is represented as an access hierarchy  $G = (V, E)$ . Then, DP generates  $(sp, \mathcal{MK}) \leftarrow \Psi.\text{Setup}(\kappa)$  with a given security parameter  $\kappa$  and associates each  $SC_i \in V$  with the following keys and tokens.

- Write- and read-key pair  $(\text{WriteK}_i, \text{ReadK}_i) \leftarrow \Psi.\text{KeyGen}(\mathcal{MK}, i)$ .
- Distributed-key  $\text{DistK}_i \leftarrow \text{AES.G}(\kappa)$ .
- ReadKey-cipher  $\{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}} \leftarrow \text{AES.E}(\text{DistK}_i, \text{ReadK}_i)$ .

DP associates each relation  $(SC_j, SC_i) \in E$  a relation-key

$$\text{RelatK}_{i \rightarrow j} \leftarrow \Psi.\text{ReKeyGen}((\text{WriteK}_i, \text{ReadK}_i), (\text{WriteK}_j, \text{ReadK}_j)).$$

Finally, DP uploads  $\mathcal{P}, \langle SC_i, \text{WriteK}_i, \{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}} \rangle$  for  $SC_i \in V$ , and  $\langle (SC_j, SC_i), \text{RelatK}_{i \rightarrow j} \rangle$  for  $(SC_j, SC_i) \in E$  to CS. DP keeps  $\mathcal{P}$  and  $\langle SC_i, \text{WriteK}_i, \text{ReadK}_i, \text{DistK}_i \rangle$  for  $SC_i \in V$  locally. Each user  $u$  of the system generates his public- and private-key pair  $(pk_u, sk_u)$ .

**Access Right Authorization.** Assume that DP associates a user  $u$  with a class  $SC_i$  in  $\mathcal{P}$ . To authorize  $u$  the READ right of  $SC_z$  for  $SC_z \prec SC_i$ , DP uses  $u$ 's public-key  $pk_u$  to encrypt the distribution-key  $\text{DistK}_i$  as a distKey-cipher

$$\{\text{DistK}_i\}_u^{\text{PKE}} \leftarrow \text{PKE.E}(pk_u, \text{DistK}_i)$$

and uploads  $\langle u, \{\text{DistK}_i\}_u^{\text{PKE}} \rangle$  to CS. CS forwards  $\{\text{DistK}_i\}_u^{\text{PKE}}$  to  $u$  and  $u$  decrypts it to obtain  $\text{DistK}_i$ . To observe the  $\star$ -property, CS gives the current  $\text{WriteK}_z$  to  $u$  when  $u$  requests to write data into  $SC_z$  for  $SC_i \preceq SC_z$ .

**Data Writing.** To write a datum  $M$  into  $SC_i$ , an uploader  $uID$  computes  $M' \leftarrow \text{AONT}(M, r)$ , where  $r$  is a random string.  $uID$  then generates a data encryption key  $K \leftarrow \text{AES.G}(\kappa)$ , randomly chooses an index  $\rho \in \{1, 2, \dots, \ell'\}$ , and sends CS the encrypted data in the form

$$\langle \rho, SC_i, \mathbf{C}_1, \mathbf{C}_2 \rangle = \langle \rho, SC_i, \{K\}_{\text{WriteK}_i}^{\Psi}, \{M'_1\}_K^{\text{AES}} \parallel \dots \parallel \{M'_{\rho-1}\}_K^{\text{AES}} \parallel \otimes \parallel \{M'_\rho\}_{\text{WriteK}_i}^{\Psi} \parallel \{M'_{\rho+1}\}_K^{\text{AES}} \parallel \dots \parallel \{M'_{\ell'}\}_K^{\text{AES}} \rangle.$$

After receiving the data, CS checks the validity of the writing request from  $uID$ . If  $uID$  is associated with  $SC_z$  for  $SC_i \preceq SC_z$ , CS selects a unique data identity  $ID$  and stores the data with the format

$$\begin{aligned} & \langle \text{data ID}, \text{uploader ID}, \text{class}, \text{header-cipher}, \text{body-cipher} \rangle \\ & = \langle ID, uID, SC_i, \text{Hdr}_{ID}^{SC_i} = \mathbf{C}_1, \text{Body}_{ID}^{SC_i} = \mathbf{C}_2 \rangle. \end{aligned} \quad (2)$$

CS keeps  $\rho$  as a secret. The value will be used when CS needs to update body-ciphers.

**Data Reading.** Assume that an authorized user  $u$  at  $SC_j$  wants to read a datum encrypted as (2). If  $SC_i \preceq SC_j$ , CS re-encrypts the header-cipher and body-cipher as follows. Let  $d = \text{dst}_G(SC_j, SC_i)$ .

- Extract the relation-keys on the path from  $SC_i$  to  $SC_j$  as  $\text{RelatK}_{v_1 \rightarrow v_2}, \text{RelatK}_{v_2 \rightarrow v_3}, \dots, \text{RelatK}_{v_d \rightarrow v_{d+1}}$ , where  $v_1 = i$  and  $v_{d+1} = j$ .
- For each  $v_z$  from  $v_1$  to  $v_d$ , replace  $\{K\}_{\text{WriteK}_{v_z}}^\Psi$  and  $\{M'_\rho\}_{\text{WriteK}_{v_z}}^\Psi$  as

$$\{K\}_{\text{WriteK}_{v_{z+1}}}^\Psi \leftarrow \Psi.\text{ReEnc}(\text{RelatK}_{v_z \rightarrow v_{z+1}}, \{K\}_{\text{WriteK}_{v_z}}^\Psi),$$

$$\{M'_\rho\}_{\text{WriteK}_{v_{z+1}}}^\Psi \leftarrow \Psi.\text{ReEnc}(\text{RelatK}_{v_z \rightarrow v_{z+1}}, \{M'_\rho\}_{\text{WriteK}_{v_z}}^\Psi).$$

CS returns  $\langle \mathbf{C}_0, \mathbf{C}_1, \mathbf{C}_2 \rangle = \langle \{\text{ReadK}_j\}_{\text{DistK}_j}^{\text{AES}}, \text{Hdr}_{ID}^{SC_j}, \text{Body}_{ID}^{SC_j} \rangle$  to  $u$ . After receiving the ciphertexts,  $u$  decrypts  $\mathbf{C}_0$  to obtain  $\text{ReadK}_j$  by using his (newest)  $\text{DistK}_j$ .  $u$  finds  $\otimes$  to extract  $\{M'_\rho\}_{\text{WriteK}_j}^\Psi$  from  $\mathbf{C}_2$ . Then,  $u$  decrypts  $\mathbf{C}_1$  and  $\{M'_\rho\}_{\text{WriteK}_j}^\Psi$  to obtain  $K$  and  $M'_\rho$  by using  $\text{ReadK}_j$ .  $u$  then decrypts the other blocks of  $\mathbf{C}_2$  to obtain  $M'_\omega$  for  $\omega \in \{1, 2, \dots, \ell'\} \setminus \{\rho\}$  by using  $K$ . Finally,  $u$  combines  $M'_\rho$  and  $M'_\omega$ 's as  $M'$  and recovers  $M \leftarrow \text{AONT}^{-1}(M')$ .

**Data Deletion.** A datum can be deleted by its uploader only. To delete a datum  $ID$ , its uploader with identity  $uID$  sends a deletion request of  $ID$  to CS. CS deletes the datum  $ID$  and its associated information.

**User Revocation with Outsourceable Data Update.** Assume that DP wants to revoke a user  $u$  from  $SC_i$ .

- Removing  $u$ 's WRITE right: DP simply updates his HAC policy. Hereafter, when  $u$  wants to write data into  $SC_z$  for  $SC_i \preceq SC_z$ , he cannot pass CS's validity check in data writing.
- Removing  $u$ 's READ right:

- (1) To remove  $u$ 's READ right for newly encrypted data at  $SC_z$  for  $SC_z \preceq SC_i$ : DP re-generates the key pair of  $SC_z$  as  $(\text{WriteK}'_z, \text{ReadK}'_z)$  and affected relation-keys. DP then updates the affected readKey-ciphers as follows.

- For  $SC_z \prec SC_i$ , DP updates  $SC_z$ 's readKey-cipher as  $\{\text{ReadK}'_z\}_{\text{DistK}_z}^{\text{AES}}$ .
- For  $SC_i$ , DP updates  $SC_i$ 's distribution-key as  $\text{DistK}'_i$  and readKey-cipher as  $\{\text{ReadK}'_i\}_{\text{DistK}'_i}^{\text{AES}}$ .

DP distributes the updated distribution-key  $\text{DistK}'_i$  to the non-revoked users at  $SC_i$ . For each non-revoked user  $\bar{u}$ , DP updates  $\langle \bar{u}, \{\text{DistK}_i\}_{\bar{u}}^{\text{PKE}} \rangle$  as  $\langle \bar{u}, \{\text{DistK}'_i\}_{\bar{u}}^{\text{PKE}} \rangle$ .

- (2) To remove  $u$ 's READ right for previously encrypted data at  $SC_z$  for  $SC_z \preceq SC_i$ : DP sends CS a transform-key

$$\text{TranK}_z \leftarrow \Psi.\text{ReKeyGen}((\text{WriteK}_z, \text{ReadK}_z), (\text{WriteK}'_z, \text{ReadK}'_z)).$$

CS uses  $\text{TranK}_z$  to update each  $SC_z$ 's header-cipher and  $\rho$ -th block of body-cipher as

$$\{K\}_{\text{WriteK}'_z}^\Psi \leftarrow \Psi.\text{ReEnc}(\text{TranK}_z, \{K\}_{\text{WriteK}_z}^\Psi),$$

$$\{M'_\rho\}_{\text{WriteK}'_z}^\Psi \leftarrow \Psi.\text{ReEnc}(\text{TranK}_z, \{M'_\rho\}_{\text{WriteK}_z}^\Psi).$$

**Updates of Access Hierarchy.** The update operations include relation insertion, relation deletion, class insertion, and class deletion.

- *Relation insertion.* To insert a new relation  $(SC_j, SC_i)$ , DP generates a new  $\text{RelatK}_{i \rightarrow j} \leftarrow \Psi.\text{ReKeyGen}((\text{WriteK}_i, \text{ReadK}_i), (\text{WriteK}_j, \text{ReadK}_j))$  and uploads the updated HAC policy and  $\langle (SC_j, SC_i), \text{RelatK}_{i \rightarrow j} \rangle$  to CS.
- *Relation deletion.* To delete a relation  $(SC_j, SC_i)$ , DP needs to prevent the users at  $SC_i$  from re-encrypting the header-ciphers and body-cipher of  $SC_z$  into that of  $SC_j$  for  $SC_z \preceq SC_i$ . The procedure is like to revoke a "psuedo-user" from  $SC_i$ . The differences are that DP does not need to re-generate (1)  $SC_i$ 's distribution-key and readKey-cipher and (2)  $(SC_j, SC_i)$ 's relation-key. There is no need to distribute the new distribution-key of  $SC_i$ .
- *Class insertion.* To insert a class  $SC_i$ , DP generates  $(\text{WriteK}_i, \text{ReadK}_i)$ ,  $\text{DistK}_i$ , and  $\{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}}$  and uploads the updated HAC policy and  $\langle SC_i, \text{WriteK}_i, \{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}} \rangle$  to CS. DP then runs the relation insertion procedure to insert the incoming and outgoing relations of  $SC_i$ .
- *Class deletion.* To delete a class  $SC_i$ , DP deletes  $SC_i$ 's associated parameters in CS and runs the relation deletion procedure for every  $SC_i$ 's incoming and outgoing relations.

## 4 Analysis

### 4.1 Performance Analysis

This section illustrates the performance of CloudHKA. We compare CloudHKA with the first HKA scheme [1] and recent two HKA schemes [2, 3] in Table 1. To our best knowledge, the schemes in [2, 3] provide most features up to now and are provably-secure.

**Storage cost.** In CloudHKA, each user at  $SC_i$  stores the distribution-key  $\text{DistK}_i$ . In the other three schemes, the secret key size for each user is also one.

**Key derivation cost.** In CloudHKA, when a user  $u$  at  $SC_j$  requests to read a datum at  $SC_i$  for  $SC_i \preceq SC_j$ , CS runs  $d = \text{dst}_G(SC_j, SC_i)$  times of  $\Psi.\text{ReEnc}$  to re-encrypt the header-cipher under  $\text{WriteK}_i$  into the header-cipher under  $\text{WriteK}_j$ .  $u$  then runs one  $\text{AES.D}$  to obtain  $\text{ReadK}_j$  and two  $\Psi.\text{Dec}$  to obtain  $K$  and  $M'_\rho$ . The total key derivation cost of the other three schemes are also linear in  $d$ . Nevertheless, only CloudHKA can outsource most of the computation operations to CS so that a user only needs constant computation time in key derivation. Note that in [1], although the computation operation only contains a modular exponentiation, the size of the used group equals to the size of the multiplication of  $d$  large co-prime numbers. The computation time in key derivation is still linear to  $d$ .

**User revocation cost.** In CloudHKA, to revoke a user  $u$  at  $SC_i$ , the rekey operation for DP contains: (1)  $|V_i|$  times of  $\Psi.\text{KeyGen}$ ,  $\Psi.\text{ReKeyGen}$ , and  $\text{AES.E}$ , (2)

**Table 1.** A comparison of our CloudHKA with previous HKA schemes

	AT [1]	AFB [3]	ABFF [2]	CloudHKA
<b>Storage cost</b>				
#(user secret key)	1	1	1	1
<b>Key derivation cost (for a user <math>u</math> at <math>SC_j</math> to derive a key of <math>SC_i</math>)</b>				
Full computation	$t_{\text{Exp}}$	$d \cdot (t_H + t_{\text{XOR}})$	$2d \cdot (t_H + t_{\text{AES.D}}) + t_H$	$2d \cdot t_{\Psi.\text{ReEnc}} + 2t_{\Psi.\text{Dec}} + t_{\text{AES.D}}$
Outsourceable computation	-	-	-	$2d \cdot t_{\Psi.\text{ReEnc}}$
<b>User revocation cost (revoking a user <math>u</math> from <math>SC_i</math>)</b>				
Rekey	-	$O( E_i  + \sum_{SC_z \in V_i} n_z)$	$O( V_i  +  E_i  + n_i)$	$O( V_i  +  E_i  + n_i)$
Full data update	-	$\#c(u) \cdot (t_{\text{AES.D}} + t_{\text{AES.E}})$	$\#c(u) \cdot (t_{\text{AES.D}} + t_{\text{AES.E}})$	$ V_i  \cdot t_{\Psi.\text{ReKeyGen}} + 2 \cdot \#c(u) \cdot t_{\Psi.\text{ReEnc}}$
Outsourceable data update	-	-	-	$2 \cdot \#c(u) \cdot t_{\Psi.\text{ReEnc}}$
<b>User access right authorization</b>				
Read-Write	✓	✓	✓	✓
Read-only	-	-	-	✓
Write-only	-	-	-	✓
<b>Security</b>				
Security game	-	Key-Recovery	Key-Indistinguishability	Message-Indistinguishability
Building block	-	PRF family	PRF family and AES	Uni-directional PRE

† Exp: A modular exponentiation over a large group.

†  $H$ : A cryptographic hash function.

†  $t_f$ : The computation time of function  $f$ .

†  $\#c(u)$ : The number of decryptable data ciphertexts of  $u$ .

†  $G = (V, E)$ : An access hierarchy.

†  $d = \text{dst}_G(SC_j, SC_i)$ : The distance between  $SC_j$  and  $SC_i$  in the access hierarchy  $G$ .

†  $V_i$ : The set of  $SC_i$  and its lower classes, i.e.,  $V_i = \{SC_z : SC_z \preceq SC_i\}$ .

†  $E_i$ : The set of relations related to the classes in  $V_i$ , i.e.,  $E_i = \{(SC_v, SC_z) : SC_z \in V_i\}$ .

†  $n_i$ : The number of users associated with  $SC_i$ .

one AES.G, (3)  $|E_i|$  times of  $\Psi.\text{ReKeyGen}$ , (4)  $n_i$  times of PKE.E, and (5)  $2 \cdot \#c(u)$  times of  $\Psi.\text{ReEnc}$ , where  $V_i = \{SC_z : SC_z \preceq SC_i\}$  is the set of  $SC_i$  and its lower classes,  $E_i = \{(SC_\xi, SC_z) : SC_z \in V_i\}$  is the set of relations related to the classes in  $V_i$ ,  $n_i$  is the number of users (excluding  $u$ ) at  $SC_i$ , and  $\#c(u)$  is the number of decryptable data ciphertexts of  $u$ . The distribution-key update only occurs in the class  $SC_i$ , the distribution of the new distribution-key is needed for the non-revoked users at  $SC_i$  only. Note that the extended HKA scheme in [2] is the first HKA scheme supporting this kind of *local key re-distribution* property. To let the non-revoked users decrypt previously encrypted data, in CloudHKA, DP only needs to run  $|V_i|$  times of  $\Psi.\text{ReKeyGen}$  to generate the needed transform-keys to CS. CS can update every  $u$ 's decryptable header-cipher into the one under the new write-key by using  $\Psi.\text{ReEnc}$ . In other three HKA schemes, to update all  $u$ 's decryptable ciphertexts, DP needs to download them, decrypt them with old data encryption keys, encrypt them with new data encryption keys, and then upload them to CS.

## 4.2 Bell-LaPadula Security Model Observation

Our CloudHKA observes the simple security property and  $\star$ -property. The uni-directional property of  $\Psi$  ensures that a relation-key  $\text{RelatK}_{j \rightarrow i}$  cannot be reversed. Thus, it is not possible to compute the inverted header-cipher and body-cipher re-encryptions from class  $SC_i$  to its lower class  $SC_j$ . Therefore, CloudHKA observes

the simple security property. The  $\star$ -property is observed in CloudHKA since CS only allows a user at  $SC_i$  to write data into  $SC_i$  and its higher classes. Note that giving all write-keys to CS does not violate the  $\star$ -property since CS does not have the READ right of any class in the policy.

### 4.3 Security Analysis

In this section, we formally show that CloudHKA ensures data confidentiality based on the security of PRE schemes. We also demonstrate that the user revocation mechanism in CloudHKA removes the access rights of a revoked user.

To simplify our security analysis, we assume that the encryption schemes AES and PKE are IND-CPA secure. For example, AES with CBC mode and ElGamal suit our need, respectively. The IND-CPA security of an encryption scheme ensures that an unauthorized user cannot distinguish an encrypted distribution-key, read-key, or datum from an encrypted random string. By the assumption, CloudHKA ensures that only an authorized user can obtain legal distribution-keys and read-keys. Then, the security of our CloudHKA only relies on the security of PRE scheme  $\Psi$  for protecting  $(K, M'_\rho)$ .

#### 4.3.1 User- and Read-Key Authorization

In CloudHKA, DP stores  $\text{DistK}_i$  as  $\{\text{DistK}_i\}_u^{\text{PKE}}$  under user  $u$ 's individual public-key  $pk_u$  for a user  $u$  at  $SC_i$ . Only  $u$  can decrypt  $\{\text{DistK}_i\}_u^{\text{PKE}}$  to obtain  $\text{DistK}_i$ . DP stores  $\text{ReadK}_i$  as  $\{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}}$ . Only an authorized user who is assigned  $\text{DistK}_i$  can obtain  $\text{ReadK}_i$ .

#### 4.3.2 Data Confidentiality

Our goal is to show that even if CS and a set of malicious users collude, for a given  $SC_{i^*}$ 's header-cipher and  $\rho$ -th block body-cipher pair  $(\text{Hdr}_{ID}^{SC_{i^*}}, \text{Body}_{ID}^{SC_{i^*}}[\rho])$  that encrypts either  $m_0 = (K_0, M'_{\rho,0})$  or  $m_1 = (K_1, M'_{\rho,1})$ , it is hard for the collusive entities to determine the original message of the ciphertext pair. The original messages  $m_0$  and  $m_1$  are chosen by the collusive entities. A malicious user can be a non-user, a revoked user, or an authorized user. They are not authorized to read the data at  $SC_z$  for  $SC_{i^*} \preceq SC_z$ . The corresponding security game between a challenger  $\mathcal{C}_{\text{CloudHKA}}$  and an adversary  $\mathcal{A}_{\text{CloudHKA}}$  is described as follows.

*Message-Indistinguishability (MI) Game of CloudHKA.* In the beginning,  $\mathcal{C}_{\text{CloudHKA}}$  sets up the system and gives the public information (as the information uploaded to CS) to  $\mathcal{A}_{\text{CloudHKA}}$ .  $\mathcal{A}_{\text{CloudHKA}}$  determines which message,  $m_0 = (K_0, M'_{\rho,0})$  or  $m_1 = (K_1, M'_{\rho,1})$ , corresponds to a challenged ciphertext pair  $(\text{Hdr}_{ID}^{SC_{i^*}}, \text{Body}_{ID}^{SC_{i^*}}[\rho])$ , where  $SC_{i^*}$  is a target class chosen by  $\mathcal{C}_{\text{CloudHKA}}$  and  $m_0, m_1$  are chosen by  $\mathcal{A}_{\text{CloudHKA}}$ .  $\mathcal{A}_{\text{CloudHKA}}$  is allowed to query a distribution-key corruption oracle

$\mathcal{O}_{\text{distKey}}(SC_z)$  to obtain the distribution-key  $\text{DistK}_z$ . Nevertheless,  $\mathcal{A}_{\text{CloudHKA}}$  is not allowed to query  $\mathcal{O}_{\text{userKey}}(SC_z)$  for  $SC_{i^*} \preceq SC_z$ .

We say that  $\mathcal{A}_{\text{CloudHKA}}$  wins the MI game if he can determine which  $m_b$  for  $b \in \{0, 1\}$  is the original message pair of  $(\text{Hdr}_{ID}^{SC_{i^*}}, \text{Body}_{ID}^{SC_{i^*}}[\rho])$ . Our CloudHKA is message-indistinguishable if, for all poly-time  $\mathcal{A}_{\text{CloudHKA}}$ , the advantage of  $\mathcal{A}_{\text{CloudHKA}}$  for winning the CPA-security game is negligible in  $\kappa$ .

Without loss of generality, we consider a static adversary only since an adaptive adversary is no more powerful than a static one in our case [2, 3]. A static adversary  $\mathcal{A}'_{\text{CloudHKA}}$  guesses a target class, say  $SC_{i^*}$ , which is the challenged class in the original MI game of CloudHKA. Then  $\mathcal{A}'_{\text{CloudHKA}}$  is directly given the challenged ciphertext pair  $(\text{Hdr}_{ID}^{SC_{i^*}}, \text{Body}_{ID}^{SC_{i^*}}[\rho])$  along with the maximum amount of corrupted distribution-keys, i.e., the distribution-keys  $\{\text{DistK}_z : SC_{i^*} \not\preceq SC_z\}$ . Since the guess of  $SC_{i^*}$  is correct with  $1/|V|$  probability, it only affects  $\mathcal{A}'_{\text{CloudHKA}}$ 's winning advantage by a factor of  $|V|$ . We describe the modified MI game between a challenger  $\mathcal{C}'_{\text{CloudHKA}}$  and an adversary  $\mathcal{A}'_{\text{CloudHKA}}$  as follows.

*Modified MI Game of CloudHKA.* In the beginning,  $\mathcal{A}'_{\text{CloudHKA}}$  chooses two messages  $m_0 = (K_0, M'_{\rho,0})$  and  $m_1 = (K_1, M'_{\rho,1})$  to  $\mathcal{C}'_{\text{CloudHKA}}$ .  $\mathcal{C}'_{\text{CloudHKA}}$  chooses a target  $SC_{i^*}$ , sets up the system, and gives  $\mathcal{A}'$  the public information and a challenged ciphertext pair  $(\text{Hdr}_{ID}^{SC_{i^*}}, \text{Body}_{ID}^{SC_{i^*}}[\rho])$  along with the corrupted distribution-keys  $\{\text{DistK}_z : SC_{i^*} \not\preceq SC_z\}$ .  $\mathcal{A}'_{\text{CloudHKA}}$  determines which message,  $m_0$  or  $m_1$ , corresponds to  $(\text{Hdr}_{ID}^{SC_{i^*}}, \text{Body}_{ID}^{SC_{i^*}}[\rho])$ .

The following theorem shows that our CloudHKA is message-indistinguishable based on the modified MI game of CloudHKA.

**Theorem 1.** *Our CloudHKA is message-indistinguishable if the underlying PRE scheme  $\Psi$  is IND-CPA secure.*

*Proof.* The proof is a standard reduction argument. Assume that there exists a poly-time adversary  $\mathcal{A}'_{\text{CloudHKA}}$  who wins CloudHKA's modified MI game with non-negligible advantage  $\epsilon$ . We can employ  $\mathcal{A}'_{\text{CloudHKA}}$  to construct a poly-time algorithm  $\mathcal{B}$  for breaking  $\Psi$ 's IND-CPA security with advantage  $\epsilon$ . In the reduction,  $\mathcal{B}$  is treated as the challenger  $\mathcal{C}'_{\text{CloudHKA}}$  in CloudHKA's modified MI game and  $\Psi$ 's adversary  $\mathcal{A}_{\text{PRE}}$  in PRE's IND-CPA security game.

In the beginning,  $\mathcal{A}'_{\text{CloudHKA}}$  chooses two messages  $m_0 = (K_0, M'_{\rho,0})$  and  $m_1 = (K_1, M'_{\rho,1})$ .  $\mathcal{B}$  takes an HAC policy  $\mathcal{P}$  with an access hierarchy  $G = (V, E)$ , a target class  $SC_{i^*}$ , a security parameter  $\kappa$ , and  $(m_0, m_1)$  as inputs. At the same time,  $\mathcal{B}$  takes  $\mathcal{A}'_{\text{CloudHKA}}$ ,  $\mathcal{C}_{\text{PRE}}$ ,  $\mathcal{O}_{\text{uc}}$ ,  $\mathcal{O}_{\text{c}}$ ,  $\mathcal{O}_{\text{rk}}$ , AES.G, and AES.E as oracles. Let  $x \stackrel{\$}{\leftarrow} \mathcal{X}$  denote that  $x$  is chosen from the set  $\mathcal{X}$  randomly.

$\mathcal{B}^{\mathcal{A}'_{\text{CloudHKA}}, \mathcal{C}_{\text{PRE}}, \mathcal{O}_{\text{uc}}, \mathcal{O}_{\text{c}}, \mathcal{O}_{\text{rk}}, \text{AES.G}, \text{AES.E}}(\mathcal{P}, SC_{i^*}, \kappa, (m_0, m_1))$

**Step 1.** For each  $SC_i \in V$ :

- If  $SC_{i^*} \preceq SC_z$ : Set  $(\text{WriteK}_i, \text{ReadK}_i) \leftarrow (\mathcal{O}_{\text{uc}}(i), \lambda)$ ,  $\text{DistK}_i \leftarrow \lambda$ , and  $\{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}} \stackrel{\$}{\leftarrow} \text{Range}(\text{AES.E})$ , where  $\lambda$  is an empty string and  $\text{Range}(\text{AES.E})$  is the ciphertext space of AES.
- If  $SC_{i^*} \not\preceq SC_z$ : Set  $(\text{WriteK}_i, \text{ReadK}_i) \leftarrow \mathcal{O}_c(i)$ ,  $\text{DistK}_i \leftarrow \text{AES.G}(\kappa)$ , and  $\{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}} \leftarrow \text{AES.E}(\text{DistK}_i, \text{ReadK}_i)$ .

For each  $(SC_j, SC_i) \in E$ : Set  $\text{RelatK}_{i \rightarrow j} \leftarrow \mathcal{O}_{\text{rk}}(i, j)$ .

**Step 2.** Get  $c_{i^*} \leftarrow \mathcal{C}_{\text{PRE}}(\langle i^*, (m_0, m_1) \rangle)$ . Give  $\mathcal{A}'_{\text{CloudHKA}}$  the public information and a challenged  $(\text{Hdr}_{ID}^{SC_{i^*}}, \text{Body}_{ID}^{SC_{i^*}}[\rho]) \leftarrow c_{i^*}$  along with the corrupted distribution-keys  $\{\text{DistK}_z : SC_{i^*} \not\preceq SC_z\}$ . The public information contains  $\mathcal{P}$ ,  $\langle SC_i, \text{WriteK}_i, \{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}} \rangle$  and  $\langle (SC_j, SC_i), \text{RelatK}_{i \rightarrow j} \rangle$  for  $SC_i \in V$  and  $(SC_j, SC_i) \in E$ .

**Step 3.** Return the output of  $\mathcal{A}'_{\text{CloudHKA}}$ .

In  $\mathcal{B}$ , the distribution of the transcripts for  $\mathcal{A}'_{\text{CloudHKA}}$  is indistinguishable from the distribution of the information for  $\mathcal{A}'_{\text{CloudHKA}}$  in the modified MI game:

- *Public information.* Each  $\text{WriteK}_i$  is generated by querying  $\mathcal{O}_{\text{uc}}$  or  $\mathcal{O}_c$ . Each  $\{\text{ReadK}_i\}_{\text{DistK}_i}^{\text{AES}}$  is generated by running  $\text{AES.E}(\text{DistK}_i, \text{ReadK}_i)$  or randomly choosing from  $\text{Range}(\text{AES.E})$ .
- *Challenged ciphertext pair.* The index  $i^*$  submitted to  $\mathcal{C}_{\text{PRE}}$  is valid since in  $\mathcal{C}_{\text{PRE}}$ 's view. The queries  $\mathcal{O}_c(i)$  and  $\mathcal{O}_{\text{rk}}(i, j)$  do not lead  $c_{i^*}$  to become a decryptable ciphertext.  $\mathcal{C}_{\text{PRE}}$  returns the encrypted  $m_b$  under  $\text{WriteK}_{i^*}$  for  $b \stackrel{\$}{\leftarrow} \{0, 1\}$ . Thus,  $(\text{Hdr}_{ID}^{SC_{i^*}}, \text{Body}_{ID}^{SC_{i^*}}[\rho])$  is  $m_b$ 's ciphertext under  $\text{WriteK}_{i^*}$  for  $b \in \{0, 1\}$ .
- *Corrupted distribution-keys.* The corrupted distribution-keys  $\{\text{DistK}_z : SC_{i^*} \not\preceq SC_z\}$  are valid keys that are generated by running  $\text{AES.G}(\kappa)$ . The distribution-keys  $\text{DistK}_z$  for  $SC_{i^*} \preceq SC_z$  need not to be specified since they are not allowed to be corrupted in CloudHKA's modified MI game.

The above illustration shows that  $\mathcal{B}$  successfully simulates the expected distribution in feeding the needed information for  $\mathcal{A}'_{\text{CloudHKA}}$ . By our assumption of  $\mathcal{A}'_{\text{CloudHKA}}$ ,  $\mathcal{B}$  breaks  $\Psi$ 's IND-CPA security game with non-negligible advantage  $\epsilon$ . It leads to a contradiction.  $\square$

### 4.3.3 Revocation of Access Rights

We illustrate that the user revocation mechanism in CloudHKA removes the WRITE and READ rights of a revoked user.

- *Preventing a revoked user from writing data.* To revoke a user from  $SC_i$ , DP removes  $u$  from  $SC_i$  in his HAC policy directly. Then, the request of writing operations from  $u$  will not pass CS's validity check.  $u$  is no longer allowed to write data into  $SC_z$  for  $SC_i \preceq SC_z$ .

- *Preventing a revoked user from reading newly encrypted data.* The rekey operation for revoking  $u$  ensures that  $u$  cannot decrypt newly encrypted data. We give an illustration with the following three parts:
  - $u$  cannot obtain the updated  $\text{ReadK}'_i$ . The readKey-cipher of  $SC_i$  is updated as  $\{\text{ReadK}'_i\}_{\text{DistK}'_i}^{\text{AES}}$ . Only the non-revoked users at  $SC_i$  can update the distribution-key as  $\text{DistK}'_i$  for decrypting  $\{\text{ReadK}'_i\}_{\text{DistK}'_i}^{\text{AES}}$  to obtain  $\text{ReadK}'_i$ .
  - $u$  no longer decrypts new ciphertext pair  $(\text{Hdr}'_{ID^{SC_z}}, \text{Body}'_{ID^{SC_z}}[\rho])$  for  $SC_z \preceq SC_i$ . Since  $u$  cannot obtain  $\text{ReadK}'_i$ ,  $u$  cannot decrypt  $(\text{Hdr}'_{ID^{SC_z}}, \text{Body}'_{ID^{SC_z}}[\rho])$ . The relation-keys  $\text{RelatK}_{z \rightarrow \xi}$  for  $SC_z \preceq SC_i$  are re-generated by using the updated key pairs.  $u$  cannot use the new (or old) relation-keys to re-encrypt new  $(\text{Hdr}'_{ID^{SC_z}}, \text{Body}'_{ID^{SC_z}}[\rho])$  into the old one under  $\text{WriteK}_z$ . Thus,  $u$  cannot derive the original message in  $(\text{Hdr}'_{ID^{SC_z}}, \text{Body}'_{ID^{SC_z}}[\rho])$ .
  - $u$  no longer decrypts new body-ciphers  $\text{Body}'_{ID^{SC_z}}$  for  $SC_z \preceq SC_i$ . Since  $u$  cannot decrypt the new  $(\text{Hdr}'_{ID^{SC_z}}, \text{Body}'_{ID^{SC_z}}[\rho])$  for  $SC_z \preceq SC_i$  to obtain  $(K, M'_\rho)$ , he cannot recover  $M$  by computing  $\text{AONT}^{-1}(M')$ .
- *Preventing a revoked user from reading previously encrypted data.* In revoking a user  $u$  from  $SC_i$ , DP sends a transform-key  $\text{TranK}_z$  for each  $SC_z$ ,  $SC_z \preceq SC_i$ . CS uses  $\text{TranK}_z$  to update (re-encrypt) each old  $(\text{Hdr}_{ID^{SC_z}}, \text{Body}_{ID^{SC_z}}[\rho])$  as a new  $(\text{Hdr}'_{ID^{SC_z}}, \text{Body}'_{ID^{SC_z}}[\rho])$ . Hereafter, when  $u$  requests to read old datum  $ID$ , CS returns the new  $\langle \{\text{ReadK}'_z\}_{\text{DistK}'_z}^{\text{AES}}, \text{Hdr}'_{ID^{SC_z}}, \text{Body}'_{ID^{SC_z}} \rangle$ . Since  $u$  cannot obtain  $\text{DistK}'_z$ , he cannot obtain  $(K, M'_\rho)$  and recover  $M$ .

## 5 Discussion

This section introduces some existing desirable PRE schemes for CloudHKA. Then, we demonstrate that CloudHKA can be slightly extended for dealing with some extra issues in practical system.

### 5.1 Concrete PRE Schemes

A suitable PRE scheme  $\Psi$  for our CloudHKA scheme should be uni-directional, multi-hop, and IND-CPA secure. The uni-directional property is required for realizing the simple security property. The multi-hop property is required since the height of an access hierarchy is usually larger than one. The IND-CPA security of  $\Psi$  is required to show that our CloudHKA is message-indistinguishable. Additionally, for each key pair in  $\Psi$ , the decryption key cannot derive its corresponding encryption key and vice versa. It ensure that the access rights of WRITE and READ can be separated. To our best knowledge, there are four desirable PRE schemes in the literature [14, 18, 25, 27]. These PRE schemes can be applied to our CloudHKA directly. In particular, when the height of access hierarchies is large, we recommend to apply the one proposed by Luo et al. [18]. It is the only PRE scheme without ciphertext size growth in re-encrypting ciphertexts up to now. While applying a PRE scheme with growing

ciphertext to CloudHKA, the bandwidth cost for DP in responding a data reading request and the computation cost for each user in key derivation are linear in the number of re-encryption operations.

## 5.2 Preventing Dishonest or Unreliable Data Storage

The outsourced data stored in CS may be altered by unexpected bit flips from system errors or accidentally deleted by CS. One solution is to apply a data integrity check scheme for ensuring correctness of outsourced data. We can use the hash-then-sign technique. Before storing data in CloudHKA, a data uploader hashes a data-cipher into a short string and computes a signature of the string by his individual signing key. After receiving an encrypted data with its signature, a user can check correctness of its body-cipher by the uploader’s public verification key.

## 5.3 Preventing Invalid Ciphertext Re-Encryption or Transformation

The re-encryption operations in key derivation and ciphertext update may cause some unexpected errors. One solution is to apply an IND-CCA secure PRE scheme in CloudHKA. The IND-CCA security of a PRE scheme can prevent a proxy from re-encrypting ciphertexts in an invalid way. After applying such PRE scheme to CloudHKA, a user can verify the validity of re-encrypted header-ciphers and body-ciphers (in key derivation or ciphertext update) returned by CS. The PRE schemes proposed by Shoa et al. [25] and Wang et al. [27] achieve the IND-CCA security.

## 5.4 Scalable Rekey Mechanism for Large Number of Users

The rekey cost in computation and communication for distributing a new distribution-key of  $SC_i$  is linear in the number of users at  $SC_i$ . The number of users is often large in cloud-based services. One solution to deal with this issue is to apply a tree-based group key management (GKM) scheme [10, 11, 28] to maintain the common distribution-key among a dynamic set of users at each class. The GKM scheme reduces the computation and communication cost from  $O(n_i)$  to  $O(\lg n_i)$ , where  $n_i$  is the number of users in  $SC_i$ . In particular, the GKM schemes in [10, 11] have an efficient rekey mechanism for a user who may miss key update messages in his off-line period. The methods are suitable in handing frequent rekey operations. While applying these two methods to CloudHKA, each user needs to store one extra personal secret key only.

## 6 Conclusion

In this paper we propose a practical CloudHKA for controlling data access in cloud computing. CloudHKA observes the Bell-Lapadula security model. We use ciphertext re-encryption technique to minimize the computation cost for a user in key

derivation and for DP and CS in ciphertext update. CloudHKA deals with the user revocation issue practically and provides flexible authorization of data access rights. Simultaneously, CloudHKA is secure against the legal access attack. The proposed CloudHKA is formally shown to be message-indistinguishable by assuming IND-CPA security of the underlying PRE scheme.

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