# Solving McEliece-1409 in One Day Cryptanalysis with the Improved BJMM Algorithm 

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#### Abstract

Syndrome decoding problem (SDP) is the security assumption of the code-based cryptography. Three out of the four NIST-PQC round 4 candidates are code-based cryptography. Information set decoding (ISD) is known for the fastest existing algorithm to solve SDP instances with relatively high code rate. Security of code-based cryptography is often constructed on the asymptotic complexity of the ISD algorithm. However, the concrete complexity of the ISD algorithm has hardly ever been known. Recently, Esser, May and Zweydinger (Eurocrypt '22) provide the first implementation of the representation-based ISD, such as May-Meurer-Thomae (MMT) or Becker-Joux-May-Meurer (BJMM) algorithm and solve the McEliece-1284 instance in the decoding challenge, revealing the practical efficiency of these ISDs. In this work, we propose a practically fast depth-2 BJMM algorithm and provide the first publicly available GPU implementation. We solve the McEliece-1409 instance for the first time and present concrete analysis for the record. Cryptanalysis for NIST-PQC round 4 code-based candidates against the improved BJMM algorithm is also conducted. In addition, we revise the asymptotic space complexity of the time-memory tradeoff MMT algorithm presented by Esser and Zweydinger (Eurocrypt '23) from $2^{0.375 n}$ to $2^{0.376 n}$.


Keywords: Information Set Decoding • Representation Technique • McEliece

## 1 Introduction

Code-based cryptography is a public-key encryption scheme based on coding theory. Despite more than 40 years have passed since Robert McEliece developed the first code-based cryptography [27], it is receiving renewed attention today with the advent of quantum computers, as it is considered resistant to quantum attacks.

In the NIST post-quantum cryptography standardization project (NISTPQC), three code-based cryptographic schemes - Classic McEliece [2], BIKE [3], and HQC [28] - are undergoing continuous evaluation in the fourth round [31]. Among the four submissions in this round, SIKE [5], an isogeny-based cryptography, has been deprecated due to a (classical) polynomial-time attack [13]. This situation emphasizes the urgent need for security assessment of the remaining code-based candidates.

For NIST-PQC fourth round code-based candidates, it is known that the most efficient decoding algorithm is known as Information Set Decoding (ISD). ISD is an algorithm built on the framework of Prange's algorithm [33]. Thus far, several ISD algorithms have been proposed (e.g., $[6,10,15,21,25,26,36]$ ), and their asymptotic complexity has been investigated (see Table 1). In these papers, commonly full/half distance decoding settings are considered. In the full distance setting, one computes the minimal asymptotic complexity under the weight $w=$ $O(n)$. When $w=o(n)$, all the ISD algorithms exhibit the same asymptotic complexity $2^{c w(1+o(1))}$ with a constant $c$ [11].

Table 1. Asymptotic time complexity $O\left(2^{\alpha n}\right)$ for major ISD algorithms in full distance decoding setting. The exponent $\alpha$ of $O\left(2^{\alpha n}\right)$ for each algorithm is listed below.

| Prange <br> $[33]$ | Dumer <br> $[15]$ | MMT <br> $[25]$ | BJMM <br> $[6]$ | May-Ozerov <br> $[26]$ | Both-May <br> $[10]$ | Sieving ISD <br> $[21]$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0.121 | 0.116 | 0.112 | 0.102 | 0.097 | 0.096 | 0.101 |

Bit security Assessments In addition to asymptotic complexity, several contributions have been made to provide security estimates for code-based cryptography $[17,22,32]$. In $[18,20]$, the authors showed how to compute bit security estimates of code-based cryptography from the decoding results. Recently, Esser et al. introduced a comprehensive library for cryptographic hardness estimation [19], enabling us to estimate both bit security and the optimal parameters for a specified difficulty level of an input problem. Bernstein and Chou have developed a cryptanalysis software called CryptAttackTester, which enables detailed bit security analyses for ISD algorithms and an AES key search attack [8].

Concrete Cryptanalysis Concrete cryptanalysis is also crucial in this field. Decrypting higher-dimensional cryptography provides data points to estimates accurate security level. One known benchmark for code-based cryptography is Decoding Challenge [4].

In 2022, Esser and Zweydinger successfully solved a quasi-cyclic SDP corresponds to BIKE and HQC with parameters $n=3138, k=1569, w=56$. The above authors employed the memory-optimized MMT/BJMM algorithm [20] along with the Decoding-One-Out-of-Many (DOOM) strategy [35]. In 2023,

Bernstein, Lange, and Peters obtained an initial solution to a Classic McEliecelike SDP with $n=1347, k=1078, w=25$. They utilized an improved variant [9] of Stern's ISD [36]. Narisada, Fukushima and Kiyomoto found a solution to the SDP for random binary linear codes for $n=570, k=285, w=70$ using a GPU implementation of the MMT algorithm [30].

Recent Asymptotic Improvements Carrier et. al. provided a corrected analysis for the Both-May algorithm [12]. Additionally, Ducas et al. revealed the asymptotic complexities of the Sieving ISD [14], while Esser and Zweydinger succeeded in reducing the asymptotic space complexity of the MMT algorithm from $2^{0.053 n}$ to $2^{0.0375 n}$ by demonstrating its time-memory trade-offs [20].

Contributions In this study, we focus on the depth-2 BJMM algorithm, whose asymptotic time $2^{0.105 n}$ is not optimal but is shown to be practical [18,20,30].

We propose an improved variant of the depth-2 BJMM algorithm, which maximizes the success probability of finding a solution. The core idea leverages multiple weight distributions of permuted solutions, which is initially proposed by Bernstein and Chou [8]. Our algorithm is different from [8] in terms of the initial list construction procedure in the enumeration phase. While our algorithm does not change the asymptotic complexity, several bits of security can be reduced from the original BJMM algorithm.

For asymptotic complexity analysis, we review the Dumer's algorithm with the Schroeppel-Shamir technique, which is initially presented in [24]. Then, we revise the asymptotic space complexity of the time-memory trade-off MMT from $2^{0.0375 n}$ to $2^{0.0376 n}$, which may be of independent interest.

The security of NIST-PQC fourth-round code-based candidates against existing ISD algorithms, including the improved BJMM algorithm, is also evaluated. We demonstrate that the improved BJMM algorithm exhibits the lowest bit security among existing ISD algorithms for Classic McEliece.

Furthermore, we present the first practical GPU implementation of the improved BJMM algorithm. We achieve a new record in solving the McEliece-1409 instance in the decoding challenge, which has approximately 70-bit security. Validation of our record and comparison with other state-of-the art ISD implementations are also conducted. All of our codes used in our paper are publicly available on https://github.com/sh-narisada/CU_BJMM.

Organization The remainder of the paper is organized as follows. Section 2 describes the notation and ISD. In Section 3, we briefly explain the depth-2 BJMM algorithm. Section 4 presents the improved depth-2 BJMM algorithm and its complexity. Section 5 conducts asymptotic complexity analyses for several ISDs using the Schroeppel-Shamir technique. In Section 6, we conduct cryptanalysis for code-based NIST-PQC round 4 candidates. Experimental results are provided in Section 7. Section 8 gives concluding remarks.

## 2 Preliminaries

### 2.1 Notation

Let $\mathbb{F}_{2}$ be the finite field with elements $\{0,1\}$. An $n$-dimensional column vector is denoted as $\mathbf{x}^{\top}=\left(x_{1}, \ldots, x_{n}\right) \in \mathbb{F}_{2}^{n}$ for a row vector $\mathbf{x} \in \mathbb{F}_{2}^{1 \times n}$. Henceforth, we denote a column vector without the transposition symbol $\rceil$ for simplicity. A concatenation of two vectors $\mathbf{a} \in \mathbb{F}_{2}^{m}$ and $\mathbf{b} \in \mathbb{F}_{2}^{n}$ is written as $(\mathbf{a}, \mathbf{b}) \in \mathbb{F}_{2}^{m+n}$ unless otherwise specified. Thus, we regard the tensor product of two vector spaces $\mathbb{F}_{2}^{m} \times \mathbb{F}_{2}^{n}$ as the set of concatenated vectors $\mathbb{F}_{2}^{m+n}$. For more than two spaces, we consider product similarly. Let the zero vector be $\mathbf{0}$. A matrix of size $m \times n$ is denoted as $\mathbf{A} \in \mathbb{F}_{2}^{m \times n}$. The identity matrix is represented as $\mathbf{I}$ and the zero matrix as $\mathbf{O}$. The Hamming weight for $\mathbf{x}$ is denoted by $w t(\mathbf{x}):=$ $\left|\left\{i \mid x_{i}=1\right\}\right|$. Let $\mathcal{B}_{w}^{n}:=\left\{\mathbf{x} \in \mathbb{F}_{2}^{n} \mid \mathrm{wt}(\mathbf{x})=w\right\}$ be the set of all binary vectors of length $n$ and Hamming weight $w$. The SDP is defined as follows.

Definition 2.1 (Syndrome Decoding Problem: SDP). Let $n, k, w \in \mathbb{N}$ such that $k \leq n$ and $w \leq n$. Given $\mathbf{H} \in \mathbb{F}_{2}^{(n-k) \times n}$ and $\mathbf{s} \in \mathbb{F}_{2}^{n-k}$, find a vector $\mathbf{e} \in \mathbb{F}_{2}^{n}$ of $\mathbf{w t}(\mathbf{e})=w$ such that $\mathbf{H e}=\mathbf{s}$.

This problem has been shown to be in the NP-hard class [7]. In this paper, we consider the case that an SDP has a unique solution, i.e., $\binom{n}{w} \ll 2^{n-k}$.

### 2.2 Information Set Decoding

ISD is a probabilistic algorithm that can be used to solve an SDP in exponential time, as originated in Prange [33]. We provide a brief overview of a common framework for ISD algorithms.

Algorithm 1 below provides the pseudo-code for an ISD algorithm. Until Line 5 , column permutation and Gaussian elimination are applied to the parity-check matrix and syndrome, resulting in a systematic form $\overline{\mathbf{H}}$ and a corresponding syndrome $\overline{\mathbf{s}}$. We denote a set of all permuted solutions as $\mathcal{E}_{0}=\mathcal{B}_{w}^{n}$, and a set of obtainable permuted solutions as $\mathcal{E}$, which varies across ISD algorithm. A matrix $\mathbf{P}$ is referred to as a good permutation when $\mathbf{P e}$ is an element of $\mathcal{E}$. For $q:=\operatorname{Pr}[\mathbf{P}$ is good $]=|\mathcal{E}| /\left|\mathcal{E}_{0}\right|$, we utilize a specific SEARCH component for $(\overline{\mathbf{H}}, \overline{\mathbf{s}})$, producing a permuted solution $\overline{\mathbf{e}}$ with probability $q$. By repeating the above procedure $q^{-1}$ times, it is expected that one solution $\mathbf{P} \overline{\mathbf{e}}$ is obtained.

An ISD algorithm exhibits an average time complexity given by

$$
\begin{equation*}
q^{-1}\left(T_{\mathrm{ge}}+T_{\text {search }}\right) \tag{1}
\end{equation*}
$$

where $T_{\mathrm{ge}}$ is the time complexity for Gaussian elimination and $T_{\text {search }}$ is the time complexity required for the SEARCH component.

For instance, in the case of Prange's algorithm, the SEarch component checks whether $\mathbf{w t}(\overline{\mathbf{s}})$ is $w$ or not. If it holds, the algorithm returns $\overline{\mathbf{e}}=(\overline{\mathbf{s}}, \mathbf{0})$. A crucial observation regarding this algorithm is that when, fortunately, $\operatorname{wt}(\overline{\mathbf{s}})=w$,

```
Algorithm 1: Information Set Decoding
    Input: \(\mathbf{H} \in \mathbb{F}_{2}^{(n-k) \times n}, \mathbf{s} \in \mathbb{F}_{2}^{n-k}, w \in \mathbb{N}\)
    Output: \(\mathbf{e} \in \mathbb{F}_{2}^{n}\) of weight \(w\) s.t. \(\mathbf{H e}=\mathbf{s}\)
    \(q:=\operatorname{Pr}[\mathbf{P}\) is good \(]\)
    repeat /* \(q^{-1}\) times in expectation */
        Pick random permutation matrix \(\mathbf{P}\)
        \(\overline{\mathbf{H}}=\left[\mathbf{I}_{n-k} \mid \hat{\mathbf{H}}\right]=\mathbf{G H P}\)
        \(\overline{\mathbf{s}}=\mathbf{G} \mathbf{s}\)
        \(\overline{\mathbf{e}}=\operatorname{SEARCH}(\overline{\mathbf{H}}, \overline{\mathbf{s}})\)
        if \(w t(\overline{\mathbf{e}})=w\) and \(\overline{\mathbf{H}} \overline{\mathbf{e}}=\overline{\mathbf{s}}\) then
            return \(\mathbf{P e ̄}\)
```

we have that $\overline{\mathbf{H}}(\overline{\mathbf{s}}, \mathbf{0})=\overline{\mathbf{s}}$, which satisfies both conditions for a solution. It can be stated that $\mathcal{E}=\mathcal{B}_{w}^{n-k} \times \mathcal{B}_{0}^{k}$, and $q$ is given by

$$
\begin{equation*}
q=\frac{\binom{n-k}{w}}{\binom{n}{w}} \tag{2}
\end{equation*}
$$

Eq. (1) is instantiated with substitutions from Eq. (2), $T_{\text {ge }}=(n-k)^{2} n$ and $T_{\text {search }}=1$.

To date, many efforts have been made to develop more efficient ISD algorithms that minimize Eq. (1) from an asymptotic perspective. However, relying solely on asymptotic analysis has resulted in a gap between theoretical results and actual time complexity.

## 3 Depth-2 Becker-Joux-May-Meurer Algorithm

The BJMM algorithm is a generalization of the MMT algorithm. In this paper we focus on the depth-2 variant. The inputs to the SEARCH component in the BJMM algorithm are a semi-systematic form $\overline{\mathbf{H}}$ of the parity-check matrix and the syndrome $\overline{\mathbf{s}}$ :

$$
\overline{\mathbf{H}}=\left(\begin{array}{cc}
\mathbf{I}_{n-k-\ell} & \mathbf{H}_{1}  \tag{3}\\
\mathbf{O} & \mathbf{H}_{2}
\end{array}\right)=\mathbf{G H P}, \overline{\mathbf{s}}=\left(\mathbf{s}_{1}, \mathbf{s}_{2}\right)=\mathbf{G} \mathbf{s} \in \mathbb{F}_{2}^{n-k-\ell} \times \mathbb{F}_{2}^{\ell}
$$

where $\mathbf{H}_{1} \in \mathbb{F}_{2}^{(n-k-\ell) \times(k+\ell)}$ and $\mathbf{H}_{2} \in \mathbb{F}_{2}^{\ell \times(k+\ell)}$. This transformation can be achieved by applying a column permutation $\mathbf{P}$ and Gaussian elimination $\mathbf{G}$ with early abort. In the SEARCH component, it performs the merging and filtering of several lists, each consisting of a fraction of the candidates for a solution $\overline{\mathbf{e}}$. The BJMM algorithm outputs a permuted solution $\overline{\mathbf{e}} \in\left(\mathcal{B}_{w-p^{\prime}}^{n-k-\ell} \times \mathcal{B}_{p^{\prime}}^{k+\ell}\right)$.

### 3.1 Tree-based List Construction

We describe the list construction process in the depth-2 BJMM algorithm. The output list is $L^{(0)}$ consisting of $\mathbf{z}^{\prime}$, which satisfies $\mathrm{wt}\left(\mathbf{z}^{\prime}\right)=p^{\prime} \leq 2 p$ and $\mathbf{H}_{2} \mathbf{z}^{\prime}=\mathbf{s}_{2}$.


Fig. 1. Tree-based list construction of the depth-2 BJMM algorithm.

We traverse seven lists from the bottom (depth-2) to the top (depth 0), as depicted in Figure 1. First, four depth-2 base lists are prepared as follows:

$$
\begin{aligned}
& L_{1}^{(2)}=L_{3}^{(2)}=\left\{\left.\mathbf{z}_{1}^{(2)} \in \mathbb{F}_{2}^{\frac{k+\ell}{2}} \times 0^{\frac{k+\ell}{2}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{1}^{(2)}\right)=p / 2\right\}, \\
& L_{2}^{(2)}=L_{4}^{(2)}=\left\{\left.\mathbf{z}_{2}^{(2)} \in 0^{\frac{k+\ell}{2}} \times \mathbb{F}_{2}^{\frac{k+\ell}{2}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{2}^{(2)}\right)=p / 2\right\} .
\end{aligned}
$$

Then, we merge $L_{1}^{(2)}$ with $L_{2}^{(2)}\left(L_{3}^{(2)}\right.$ with $\left.L_{4}^{(2)}\right)$ to yield a depth-1 list $L_{1}^{(1)}\left(L_{2}^{(1)}\right)$ while filtering a pair $\left(\mathbf{z}_{1}^{(2)}, \mathbf{z}_{2}^{(2)}\right)$, based on the following condition with an integer $\ell_{1} \leq \ell$ and $\operatorname{a~map} \pi_{\ell_{1}}: \mathbb{F}_{2}^{\ell} \rightarrow \mathbb{F}_{2}^{\ell_{1}}, \pi_{\ell_{1}}\left(x_{1}, \ldots, x_{\ell}\right)=\left(x_{1}, \ldots, x_{\ell_{1}}\right):$

$$
\begin{aligned}
& L_{1}^{(1)}=\left\{\mathbf{z}_{1}^{(1)} \mid \mathbf{z}_{1}^{(2)} \in L_{1}^{(2)}, \mathbf{z}_{2}^{(2)} \in L_{2}^{(2)}, \mathbf{z}_{1}^{(1)}=\mathbf{z}_{1}^{(2)}+\mathbf{z}_{2}^{(2)}, \pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)=\mathbf{t}\right\} \\
& L_{2}^{(1)}=\left\{\mathbf{z}_{2}^{(1)} \mid \mathbf{z}_{1}^{(2)} \in L_{3}^{(2)}, \mathbf{z}_{2}^{(2)} \in L_{4}^{(2)}, \mathbf{z}_{2}^{(1)}=\mathbf{z}_{1}^{(2)}+\mathbf{z}_{2}^{(2)}, \pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{2}^{(1)}+\mathbf{s}_{2}\right)=\mathbf{t}\right\} .
\end{aligned}
$$

Here, $\mathbf{t} \in \mathbb{F}_{2}^{\ell_{1}}$ is a randomly chosen vector. Note that $w t\left(\mathbf{z}_{1}^{(1)}\right)=w t\left(\mathbf{z}_{2}^{(1)}\right)=p$, since there is no overlap at the 1 's position between $\mathbf{z}_{1}^{(2)}$ and $\mathbf{z}_{2}^{(2)}$. Then, $L_{1}^{(1)}$ and $L_{2}^{(1)}$ are merged under a specific condition to yield a list $L^{(0)}$ :

$$
\begin{equation*}
L^{(0)}=\left\{\mathbf{z}^{\prime}=\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)} \mid \mathbf{z}_{1}^{(1)} \in L_{1}^{(1)}, \mathbf{z}_{2}^{(1)} \in L_{2}^{(1)}, \mathbf{z}^{\prime}, \mathbf{H}_{2} \mathbf{z}^{\prime}=\mathbf{s}_{2}, \mathbf{w t}\left(\mathbf{z}^{\prime}\right)=p^{\prime}\right\} \tag{4}
\end{equation*}
$$

Since we already have that $\pi_{\ell_{1}}\left(\mathbf{H}_{2}\left(\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)}\right)\right)=\pi_{\ell_{1}}\left(\mathbf{s}_{2}\right)$ and $\operatorname{wt}\left(\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)}\right) \leq 2 p$, we can obtain $L^{(0)}$ by checking the remaining $\ell-\ell_{1}$ indices of $\mathbf{H}_{2}\left(\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)}\right)$ and Hamming weight of $\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)}$. Now, if we set $\mathbf{z}^{\prime \prime}=\mathbf{H}_{1} \mathbf{z}^{\prime}+\mathbf{s}_{1}$, then the first condition of a solution, $\overline{\mathbf{H}}\left(\mathbf{z}^{\prime \prime}, \mathbf{z}^{\prime}\right)=\left(\mathbf{s}_{1}, \mathbf{H}_{2} \mathbf{z}^{\prime}\right)=\overline{\mathbf{s}}$ is satisfied. We need to verify that $\left(\mathbf{z}^{\prime \prime}, \mathbf{z}^{\prime}\right)$ has the desired weight distribution, i.e., $\left(\mathbf{z}^{\prime \prime}, \mathbf{z}^{\prime}\right) \in\left(\mathcal{B}_{w-p^{\prime}}^{n-k-\ell} \times \mathcal{B}_{p^{\prime}}^{k+\ell}\right)$. When $\operatorname{wt}\left(\mathbf{z}^{\prime \prime}\right)=w-p^{\prime}$, we observe that $\operatorname{wt}(\overline{\mathbf{z}})=w$ for $\overline{\mathbf{z}}=\left(\mathbf{z}^{\prime \prime}, \mathbf{z}^{\prime}\right)$. Thus, $\mathbf{P} \overline{\mathbf{z}}$ is the solution to the SDP.

### 3.2 Computational Complexity

We review the complexity analysis of the depth-2 BJMM algorithm. The time complexity per iteration of repeat in Algorithm 1 is dominated by the time complexity of Gaussian elimination $T_{\text {ge }}=(n-k)^{2} n$ and $T_{\text {search }}$ required for the SEARCH component. $T_{\text {search }}$ is the sum of the time complexities for base list construction and for the merging of lists at each depth. For $\left|L^{(2)}\right|=\binom{(\ell+k) / 2}{p / 2}$ and $\left|L^{(1)}\right|=\max \left(1,2^{-\ell_{1}}\left|L^{(2)}\right|^{2}\right)$, we obtain that

$$
\begin{equation*}
T_{\text {search }}=2\left|L^{(2)}\right|+2 \max \left(\left|L^{(2)}\right|, 2^{-\ell_{1}}\left|L^{(2)}\right|^{2}+\max \left(\left|L^{(1)}\right|, 2^{-\ell+\ell_{1}}\left|L^{(1)}\right|^{2}\right)\right. \tag{5}
\end{equation*}
$$

Note that we do not need space for $L^{(0)}$ since we can enumerate $\mathbf{z}^{\prime}$ on-the-fly from $L_{1}^{(1)}$ and $L_{2}^{(1)}$. The set of obtainable permuted solutions is $\mathcal{E}=\mathcal{B}_{w-p^{\prime}}^{n-k-\ell} \times \mathcal{B}_{p^{\prime}}^{k+\ell}$. The success probability $q$ is given by

$$
\begin{equation*}
q=\frac{\binom{n-k-\ell}{w-p^{\prime}}\binom{k+\ell}{p^{\prime}}}{\binom{n}{w}} \tag{6}
\end{equation*}
$$

The average time complexity is Eq. (1) with $T_{\text {ge }}=(n-k)^{2} n$, Eq. (5) and Eq. (6). The space complexity of the BJMM algorithm is

$$
\begin{equation*}
(n-k) n+2\left|L^{(2)}\right|+2 \max \left(1,2^{-\ell_{1}}\left|L^{(2)}\right|^{2}\right) \tag{7}
\end{equation*}
$$

In practice, we search for a valid integer parameter set $\left(p, \ell, \ell_{1}\right)$ to minimize the time complexity. To efficiently find it, several estimators have been proposed (e.g., $[17,19]$ ). The parameter $\ell_{1}$ must be chosen carefully as it is related to the representations.

A (split) representation of a weight- $\omega_{1}$ vector $\mathbf{z} \in \mathbb{F}_{2}^{n}$ is a pair of vectors $\left(\mathbf{z}_{1}, \mathbf{z}_{2}\right) \in \mathbb{F}_{2}^{n} \times \mathbb{F}_{2}^{n}$, satisfying $\mathbf{z}=\mathbf{z}_{1}+\mathbf{z}_{2}$ and $\mathrm{wt}\left(\mathbf{z}_{1}\right)=\mathrm{wt}\left(\mathbf{z}_{2}\right)=\omega_{2} \geq \omega_{1} / 2$. In the BJMM algorithm, the number of representations for a weight $-p^{\prime} \mathbf{z}^{\prime}$ as a sum of two weight- $p$ vectors $\mathbf{z}_{1}^{(1)}, \mathbf{z}_{2}^{(1)}$ is

$$
\begin{equation*}
R=\binom{p^{\prime}}{p^{\prime} / 2}\binom{k+\ell-p^{\prime}}{p-p^{\prime} / 2} \tag{8}
\end{equation*}
$$

In [25], valid parameters are searched under the condition that at least a single representation of a solution is expected to be contained in $L^{(0)}$, i.e., $\ell_{1} \leq \log _{2} R$. In [20], the authors consider the case where $\ell_{1}>\log _{2} R$. When $\ell_{1}>\log _{2} R$, the probability $\rho_{\text {repr }}$ of at least one representation being included in $L^{(0)}$ is given by

$$
\begin{equation*}
\rho_{\mathrm{repr}}:=1-\left(1-2^{-\ell_{1}}\right)^{R} \approx 2^{-\ell_{1}} R \tag{9}
\end{equation*}
$$

They demonstrate that the decrease in the number of representations can be compensated by repeating the SEARCH component $\rho_{\mathrm{repr}}{ }^{-1}$ times. The time complexity for the BJMM algorithm in the case of $\ell_{1}>\log _{2} R$ is given by

$$
q^{-1}\left(T_{\mathrm{ge}}+\rho_{\mathrm{repr}}{ }^{-1} T_{\mathrm{search}}\right)
$$

The advantage of setting $\ell_{1}>\log _{2} R$ is to show a time-memory trade-off for cases where $\ell_{1} \leq \log _{2} R$, which implies that a portion of the space complexity required in the SEARCH component can be offset by additional time complexity. Adopting a relatively large $\ell_{1}$ can also result in practical reductions in actual runtime, as indicated in $[20,30]$.

## 4 Improved Depth-2 BJMM Algorithm

In this section, we present the improved depth-2 BJMM algorithm and its concrete complexity analysis.

### 4.1 Algorithm Detail

```
Algorithm 2: Improved Depth-2 BJMM
    Input: \(\mathbf{H} \in \mathbb{F}_{2}^{(n-k) \times n}, \mathbf{s} \in \mathbb{F}_{2}^{n-k}, w \in \mathbb{N}\)
    Output: \(\mathbf{e} \in \mathbb{F}_{2}^{n}\) of weight \(w\) s.t. \(\mathbf{H e}=\mathbf{s}\)
    Choose optimal \(\ell, \ell_{1}, p\)
    repeat
        Pick random permutation matrix \(\mathbf{P}\)
        \(\overline{\mathbf{H}}=\left(\begin{array}{cc}\mathbf{I}_{n-k-\ell} & \mathbf{H}_{1} \\ \mathbf{O} & \mathbf{H}_{2}\end{array}\right)=\mathbf{G H P}\)
        \(\overline{\mathbf{s}}=\left(\mathbf{s}_{1}, \mathbf{s}_{2}\right)=\mathbf{G} \mathbf{s}\)
        Compute
            \(\bar{L}_{1}^{(2)}=\bar{L}_{3}^{(2)}=\left\{\left.\mathbf{z}_{1}^{(2)} \in \mathbb{F}_{2}^{\frac{k+\ell}{2}} \times 0^{\frac{k+\ell}{2}} \right\rvert\, 0 \leq \mathrm{wt}\left(\mathbf{z}_{1}^{(2)}\right) \leq p / 2\right\}\)
            \(\bar{L}_{2}^{(2)}=\bar{L}_{4}^{(2)}=\left\{\left.\mathbf{z}_{2}^{(2)} \in 0^{\frac{k+\ell}{2}} \times \mathbb{F}_{2}^{\frac{k+\ell}{2}} \right\rvert\, 0 \leq \mathrm{wt}\left(\mathbf{z}_{2}^{(2)}\right) \leq p / 2\right\}\)
        Compute
            \(\bar{L}_{1}^{(1)}=\left\{\mathbf{z}_{1}^{(1)}=\mathbf{z}_{1}^{(2)}+\mathbf{z}_{2}^{(2)} \mid \pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)=\mathbf{t}\right\}\) from \(\bar{L}_{1}^{(2)}\) and \(\bar{L}_{2}^{(2)}\)
            \(\bar{L}_{2}^{(1)}=\left\{\mathbf{z}_{2}^{(1)}=\mathbf{z}_{1}^{(2)}+\mathbf{z}_{2}^{(2)} \mid \pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}+\mathbf{s}_{2}\right)=\mathbf{t}\right\}\) from \(\bar{L}_{3}^{(2)}\) and \(\bar{L}_{4}^{(2)}\)
        Compute \(\bar{L}^{(0)}=\left\{\mathbf{z}^{\prime}=\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)} \mid \mathbf{H}_{2} \mathbf{z}^{\prime}=\mathbf{s}_{2}\right\}\) from \(\bar{L}_{1}^{(1)}\) and \(\bar{L}_{2}^{(1)}\)
        for \(\mathbf{z}^{\prime} \in \bar{L}^{(0)}\) do
            \(\overline{\mathbf{z}}=\left(\mathbf{H}_{1} \mathbf{z}^{\prime}+\mathbf{s}_{1}, \mathbf{z}^{\prime}\right)\)
            if \(w t(\overline{\mathbf{z}})=w\) then
                return \(\mathrm{P} \bar{z}\)
```

Algorithm 2 describes the pseudo-code of the improved depth-2 BJMM algorithm. Line 6 and 11 in Algorithm 2 are different compared with the standard depth-2 BJMM algorithm. In Line 6, we enumerate all vectors whose weights are
less than or equal to $p / 2$, instead of specifically enumerating weight $-p / 2$ vectors,

$$
\begin{aligned}
& \bar{L}_{1}^{(2)}=\bar{L}_{3}^{(2)}=\left\{\left.\mathbf{z}_{1}^{(2)} \in \mathbb{F}_{2}^{\frac{k+\ell}{2}} \times 0^{\frac{k+\ell}{2}} \right\rvert\, 0 \leq \mathrm{wt}\left(\mathbf{z}_{1}^{(2)}\right) \leq p / 2\right\}, \\
& \bar{L}_{2}^{(2)}=\bar{L}_{4}^{(2)}=\left\{\left.\mathbf{z}_{2}^{(2)} \in 0^{\frac{k+\ell}{2}} \times \mathbb{F}_{2}^{\frac{k+\ell}{2}} \right\rvert\, 0 \leq \mathrm{wt}\left(\mathbf{z}_{2}^{(2)}\right) \leq p / 2\right\} .
\end{aligned}
$$

This approach leads to an slight increase in the base list size,

$$
\left|\bar{L}^{(2)}\right|=\sum_{0 \leq i \leq p / 2}\binom{(k+\ell) / 2}{p / 2-i} .
$$

However, this also increases the number of permuted solutions in the final list $\bar{L}^{(0)}$. In Line 11, we check $\mathrm{wt}(\overline{\mathbf{z}})=w$ instead of the original way $\mathrm{wt}\left(\mathbf{H}_{1} \mathbf{z}^{\prime}+\mathbf{s}_{1}\right)=$ $w-2 p$, as suggested in [8]. This corresponds to removing the constraint on the weight distribution of the solution. In [8], the authors showed that this replacement increases the success probability to find the solution with no additional cost. We show that combining Line 6 with Line 11 maximizes the success probability with a slight increase in computational complexity.

### 4.2 Concrete Complexity Analysis

To obtain the concrete complexity of the improved BJMM algorithm, we first show the probability that a permuted solution with a specific weight distribution is included in the final list $\bar{L}^{(0)}$.


Fig. 2. An example of $\mathbb{F}_{2}$-addition that yields a weight- $i$ vector $\mathbf{c}$ from a weight- $p_{1}$ vector a and a weight- $p_{2}$ vector $\mathbf{b}$. We have $\left(p_{1}+p_{2}-i\right) / 2$ positions of 1 's duplicated between $\mathbf{a}$ and $\mathbf{b}$. In this example, we have $i-a$ ones on the left side of $\mathbf{c}$ and $a$ ones on the right side.

Proposition 4.1. Let $i, j \in \mathbb{N}$ such that $0 \leq i, j \leq p$. Assuming that $\boldsymbol{P}$ permutes the solution as $\boldsymbol{P} \boldsymbol{e}=\left(\boldsymbol{e}^{\prime \prime}, \boldsymbol{e}^{\prime}\right)$ so that $\boldsymbol{e}^{\prime \prime} \in \mathcal{B}_{w-i-j}^{n-k-\ell}$ and $\boldsymbol{e}^{\prime} \in\left(\mathcal{B}_{i}^{(k+\ell) / 2} \times \mathcal{B}_{j}^{(k+\ell) / 2}\right)$, Then, we say

$$
\begin{equation*}
\operatorname{Pr}\left[e^{\prime} \in \bar{L}^{(0)}\right]=\rho_{i, j} \tag{10}
\end{equation*}
$$

where

$$
\begin{align*}
\rho_{i, j} & :=\left\{\begin{array}{l}
1-\left(1-2^{-2 \ell_{1}}\right)^{R_{0}^{2}} \quad(i=j=0), \\
1-\left(1-2^{-\ell_{1}}\right)^{R_{i} R_{j}} \quad(\text { otherwise }),
\end{array}\right. \\
R_{i} & :=\sum_{\left(p_{1}, p_{2}\right) \in \mathcal{P}_{i}}\binom{i}{\lfloor i / 2\rfloor}\binom{(k+\ell) / 2-i}{\left(p_{1}+p_{2}-i\right) / 2},  \tag{11}\\
\mathcal{P}_{i} & :=\left\{\left(p_{1}, p_{2}\right)\left|p_{1}, p_{2} \leq \frac{p}{2},\left|p_{1}-p_{2}\right| \leq i \leq p_{1}+p_{2}, p_{1}+p_{2} \equiv i \bmod 2\right\} .\right. \tag{12}
\end{align*}
$$

Proof. First, we derive a set $\mathcal{P}_{i}$, which consists of feasible weight splits $\left(p_{1}, p_{2}\right)$ of $i$, i.e., we enumerate possible weight pair $(w t(\mathbf{a}), w t(\mathbf{b}))$ for $\mathbb{F}_{2^{-}}$-addition $\mathbf{c}=\mathbf{a}+\mathbf{b}$ with $\operatorname{wt}(\mathbf{c})=i$, where $\mathrm{wt}(\mathbf{a}) \leq p / 2$ and $\mathrm{wt}(\mathbf{b}) \leq p / 2$. Let $\epsilon$ be the number of duplicates in 1 's position between $\mathbf{a}$ and $\mathbf{b}$. Then, we can enumerate $\left(p_{1}, p_{2}\right)$ satisfying $i=p_{1}+p_{2}-2 \epsilon$ for $0 \leq 2 \epsilon \leq \min \left(p_{1}, p_{2}\right)$, which corresponds to $\mathcal{P}_{i}$.

We can count the number of representations for $\mathbf{e}^{\prime}=\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)}$. Let $R_{i, p_{1}, p_{2}}$ be the number of representations of a vector $\mathbf{a}=\mathbf{b}+\mathbf{c}$, where $\mathbf{a} \in \mathcal{B}_{i}^{(k+\ell) / 2}$, $\mathbf{b} \in \mathcal{B}_{p_{1}}^{(k+\ell) / 2}$ and $\mathbf{c} \in \mathcal{B}_{p_{2}}^{(k+\ell) / 2}$, as depicted in Figure 2. The set of 1-coordinates in a can be split in $\binom{i}{\lfloor i / 2\rfloor}$ ways as $1=1+0$ or $1=0+1$, where $\lfloor\cdot\rfloor$ is required to account for the case where $i$ is an odd integer. For each split representation, the set of 0 -coordinates can be split in $\binom{(k+\ell) / 2-i}{\left(p_{1}+p_{2}-i\right) / 2}$ ways by $0=1+1$. In total, a has $R_{i, p_{1}, p_{2}}=\binom{i}{\lfloor i / 2\rfloor}\binom{(k+\ell) / 2-i}{\left(p_{1}+p_{2}-i\right) / 2}$ representations. Hence, for $\mathbf{e}^{\prime}=\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)}$ we have $\sum_{\left(p_{1}, p_{2}\right) \in \mathcal{P}_{i}} R_{i, p_{i}, p_{2}} \sum_{\left(p_{1}, p_{2}\right) \in \mathcal{P}_{j}} R_{j, p_{1}, p_{2}}$ representations, which corresponds to $R_{i} R_{j}$ in Eq. (11).

When $\mathbf{e}^{\prime} \neq \mathbf{0}$ for a representation $\mathbf{e}^{\prime}=\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)}$ satisfying $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)=$ $\mathbf{t}$, then $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{2}^{(1)}+\mathbf{s}_{2}\right)=\mathbf{t}$ automatically holds. Since the probability of $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)=\mathbf{t}$ is $2^{-\ell_{1}}$ and we have $R_{i} R_{j}$ representations for $\mathbf{e}^{\prime}$, Eq. (10) is $1-\left(1-2^{-\ell_{1}}\right)^{R_{i} R_{j}}$. Note that each representation has a random value for $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)$ and we consider the probability that at least one out of $R_{i} R_{j}$ representations satisfies $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)=\mathbf{t}$. When $\mathbf{e}^{\prime}=\mathbf{0}$, both $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)=\mathbf{t}$ and $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{2}^{(1)}+\mathbf{s}_{2}\right)=\mathbf{t}$ hold only when $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)=\mathbf{t}$ and $\pi_{\ell_{1}}\left(\mathbf{s}_{2}\right)=\mathbf{t}$ hold. Hence, Eq. (10) is $1-\left(1-2^{-2 \ell_{1}}\right)^{R_{0}^{2}}$.

From the above proposition, we can derive the concrete complexity of the BJMM algorithm. The set of obtainable permuted solutions $\mathcal{E}$ for the proposed algorithm is given by

$$
\mathcal{E}=\bigcup_{0 \leq i, j \leq p} \mathcal{C}_{i, j}
$$

where $\mathcal{C}_{i, j}:=\mathcal{B}_{w-i-j}^{n-k-\ell} \times \mathcal{B}_{i}^{(k+\ell) / 2} \times \mathcal{B}_{j}^{(k+\ell) / 2}$ and $\left|\mathcal{C}_{i, j}\right|=\binom{n-k-\ell}{w-i-j}\binom{(k+\ell) / 2}{i}\binom{(k+\ell) / 2}{j}$. From Proposition 4.1, we expect to have

$$
\left|\mathcal{C}_{i, j}\right| \cdot \operatorname{Pr}\left[\mathbf{e}^{\prime} \in \bar{L}^{(0)} \mid \mathbf{e}^{\prime} \in\left(\mathcal{B}_{i}^{(k+\ell) / 2} \times \mathcal{B}_{j}^{(k+\ell) / 2}\right)\right]
$$

obtainable permuted solutions for each pair $(i, j)$. Hence, $q:=\operatorname{Pr}[\mathbf{P}$ is good $]$ is given by

$$
\begin{equation*}
q=\frac{\sum_{0 \leq i, j \leq p}\left|\mathcal{C}_{i, j}\right| \rho_{i, j}}{\binom{n}{w}} \tag{13}
\end{equation*}
$$

For the time complexity, we obtain

$$
\begin{equation*}
T_{\text {search }}=2\left|\bar{L}^{(2)}\right|+2 \max \left(\left|\bar{L}^{(2)}\right|, 2^{-\ell_{1}}\left|\bar{L}^{(2)}\right|^{2}\right)+\max \left(\left|\bar{L}^{(1)}\right|, 2^{-\ell+\ell_{1}}\left|\bar{L}^{(1)}\right|^{2}\right) \tag{14}
\end{equation*}
$$

where, $\left|\bar{L}^{(2)}\right|=\sum_{0 \leq i \leq p / 2}\binom{(\ell+k) / 2}{p / 2-i}$ and $\left|\bar{L}^{(1)}\right|=\max \left(1,2^{-\ell_{1}}\left|\bar{L}^{(2)}\right|^{2}\right)$. The average time complexity of the improved BJMM algorithm is described by Eq. (1) with Eq. (13), Eq. (14) and $T_{\mathrm{ge}}=(n-k)^{2} n$. The space complexity of the algorithm is

$$
\begin{equation*}
(n-k) n+2\left|\bar{L}^{(2)}\right|+2 \max \left(1,2^{-\ell_{1}}\left|\bar{L}^{(2)}\right|^{2}\right) \tag{15}
\end{equation*}
$$

From Eq. (14) and Eq. (15), the increase ratios for both time and space complexities from original BJMM are dominated by $\left|\bar{L}^{(2)}\right|$, given that $\ell_{1} \approx \log _{2}\left|\bar{L}^{(2)}\right|$. The increase ratio of the base list is

$$
\frac{\left|\bar{L}^{(2)}\right|}{\left|L^{(2)}\right|}=1+\frac{p}{k+\ell-p+2}+O\left(p^{2} k^{-2}\right) .
$$

Since $p \ll k$, it is dominated by $p k^{-1}$, which is negligible for large $k$.
The improved BJMM algorithm also provides time-memory trade-offs by selecting a large $\ell_{1}$. A larger $\ell_{1}$ reduces both the space complexity and the expected number of obtainable solutions $\sum_{0 \leq i, j \leq p}\left|\mathcal{C}_{i, j}\right| \rho_{i, j}$, which is directly compensated for by increasing the number of outer loops.

## 5 Asymptotic Analysis for Schroeppel-Shamir ISD

This section provides asymptotic complexity analysis of Dumer's algorithm and the time-memory trade-off MMT algorithm with the Schroeppel-Shamir technique.

### 5.1 The Schroeppel-Shamir Technique

The Schroeppel-Shamir technique [34] can reduce the memory complexity of a standard meet-in-the-middle (MITM) attack for the 2-list matching problem. Assume that we aim to find a pair $\left(\mathbf{x}_{1}, \mathbf{x}_{2}\right) \in L_{1} \times L_{2}$ such that $\mathbf{x}_{1}=\mathbf{x}_{2}$ on certain $\ell$ coordinates. This can be solved by the MITM in time $O(|D|)$ and memory $O(|D|)$, where $|D|=\left|L_{1}\right|=\left|L_{2}\right|$ and $\ell=\log _{2}|D|$. When employing the Schroeppel-Shamir technique, it is known that this problem can be solved with the same time complexity and reduced memory complexity of $O\left(|D|^{1 / 2}\right)$.

The algorithm decomposes $L_{1}=L_{1,1} \times L_{1,2}$ and $L_{2}=L_{2,1} \times L_{2,2}$, where $\left|L_{i, j}\right|=|D|^{1 / 2}$. We set $r=\log _{2}|D|^{1 / 2}=\ell / 2$. Then, we create a list $\tilde{L}_{1}$ from $L_{1,1}$
and $L_{1,2}$, which is a $2^{-r}$-fraction of $L_{1}$ consisting of $\mathbf{x}_{1}=\mathbf{x}_{1,1}+\mathbf{x}_{1,2}$ s.t. $\pi_{r}\left(\mathbf{x}_{1,1}\right)+$ $\pi_{r}\left(\mathbf{x}_{1,2}\right)=\mathbf{t}$ for some $\mathbf{t} \in \mathbb{F}_{2}^{r}$, where $\mathbf{x}_{1,1} \in L_{1,1}$ and $\mathbf{x}_{1,2} \in L_{1,2}$. The list $\tilde{L}_{1}$ is constructed in time and memory of $|D|^{1 / 2}$. Analogously, $\tilde{L}_{2}$ is constructed from $L_{2,1}$ and $L_{2,2}$ consisting of $\mathbf{x}_{2}=\mathbf{x}_{2,1}+\mathbf{x}_{2,2}$, s.t. $\pi_{r}\left(\mathbf{x}_{2,1}\right)+\pi_{r}\left(\mathbf{x}_{2,2}\right)=\mathbf{t}$. We obtain a $2^{-r}$-fraction of solution pairs in time $\left|\tilde{L}_{1}\right|\left|\tilde{L}_{2}\right| / 2^{\ell-r}=|D|^{1 / 2}$ and memory $|D|^{1 / 2}$. Note that for all pairs $\left(\mathbf{x}_{1}, \mathbf{x}_{2}\right) \in \tilde{L}_{1} \times \tilde{L}_{2}, \mathbf{x}_{1}=\mathbf{x}_{2}$ is satisfied on $r$ coordinates. Therefore, we need to find a pair matching the remaining $\ell-r=\ell / 2$ coordinates. The above procedure is iterated $|D|^{1 / 2}$ times for all $\mathbf{t} \in \mathbb{F}_{2}^{r}$. In total, we obtain all solution pairs $\left(\mathbf{x}_{1}, \mathbf{x}_{2}\right) \in L_{1} \times L_{2}$ in time $O\left(|D|^{1 / 2}|D|^{1 / 2}\right)=O(D)$ and memory $O\left(|D|^{1 / 2}\right)$.

### 5.2 Dumer's Algorithm with Schroeppel-Shamir Technique

We review the asymptotic complexity analysis of Dumer's algorithm shown in [24] and provide a numerical optimization result. Assume we have the semisystematic form $\overline{\mathbf{H}}$ and the syndrome $\overline{\mathbf{s}}$ as shown in Eq. (3). In Dumer's algorithm, we aim to find a permuted solution $\overline{\mathbf{e}} \in\left(\mathcal{B}_{w-2 p}^{n-k-\ell} \times \mathcal{B}_{p}^{(k+\ell) / 2} \times \mathcal{B}_{p}^{(k+\ell) / 2}\right)$. To do so, we construct two base lists as follows:

$$
\begin{aligned}
& L_{1}^{(1)}=\left\{\left.\mathbf{z}_{1}^{(1)} \in \mathbb{F}_{2}^{\frac{k+\ell}{2}} \times 0^{\frac{k+\ell}{2}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{1}^{(1)}\right)=p\right\} \\
& L_{2}^{(1)}=\left\{\left.\mathbf{z}_{2}^{(1)} \in 0^{\frac{k+\ell}{2}} \times \mathbb{F}_{2}^{\frac{k+\ell}{2}} \right\rvert\, \operatorname{wt}\left(\mathbf{z}_{2}^{(1)}\right)=p\right\} .
\end{aligned}
$$

We then enumerate all pairs $\left(\mathbf{z}_{1}^{(1)}, \mathbf{z}_{2}^{(1)}\right) \in L_{1}^{(1)} \times L_{2}^{(1)}$ s.t. $\mathbf{H}_{2} \mathbf{z}^{\prime}=\mathbf{s}_{2}$, where $\mathbf{z}^{\prime}=\mathbf{z}_{1}^{(1)}+\mathbf{z}_{2}^{(1)}$. For $\mathbf{z}^{\prime \prime}=\mathbf{H}_{1} \mathbf{z}^{\prime}+\mathbf{s}_{1}$, if $\mathbf{w t}\left(\mathbf{z}^{\prime \prime}\right)=w-2 p$, then, $\mathbf{P}\left(\mathbf{z}^{\prime \prime}, \mathbf{z}^{\prime}\right)$ is the solution. There is no representation in Dumer's algorithm. The asymptotic time complexity of Dumer's algorithm is

$$
\begin{equation*}
q^{-1} \max \left(|D|, 2^{-\ell}|D|^{2}\right) \tag{16}
\end{equation*}
$$

where $q=\binom{k+\ell}{2 p}\binom{n-k-\ell}{w-2 p}\binom{n}{w}^{-1}$ and $|D|=\binom{(k+\ell) / 2}{p}$. For readability, we omit Stirling's approximation from the asymptotic complexity. We employ the approximation $\binom{k+\ell}{2 p} \approx\binom{(k+\ell) / 2}{p}^{2}$, which is equivalent asymptotically. The space complexity is $|D|$ when we ignore polynomial factors.

One can apply the Schroeppel-Shamir technique in Dumer's algorithm by decomposing $L_{1}^{(1)}=L_{1}^{(2)} \times L_{2}^{(2)}$ and $L_{2}^{(1)}=L_{3}^{(2)} \times L_{4}^{(2)}$ :

$$
\begin{aligned}
& L_{1}^{(2)}=\left\{\left.\mathbf{z}_{1}^{(2)} \in \mathbb{F}_{2}^{\frac{k+\ell}{4}} \times 0^{\frac{3(k+\ell)}{4}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{1}^{(2)}\right)=p / 2\right\}, \\
& L_{2}^{(2)}=\left\{\left.\mathbf{z}_{2}^{(2)} \in 0^{\frac{k+\ell}{4}} \times \mathbb{F}_{2}^{\frac{(k+\ell)}{4}} \times 0^{\frac{k+\ell}{2}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{2}^{(2)}\right)=p / 2\right\}, \\
& L_{3}^{(2)}=\left\{\left.\mathbf{z}_{3}^{(2)} \in 0^{\frac{k+\ell}{2}} \times \mathbb{F}_{2}^{\frac{(k+\ell)}{4}} \times 0^{\frac{k+\ell}{4}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{3}^{(2)}\right)=p / 2\right\}, \\
& L_{4}^{(2)}=\left\{\left.\mathbf{z}_{4}^{(2)} \in 0^{\frac{3(k+\ell)}{4}} \times \mathbb{F}_{2}^{\frac{k+\ell}{4}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{4}^{(2)}\right)=p / 2\right\} .
\end{aligned}
$$

We create a $2^{-r}$-fraction list $\tilde{L}_{1}^{(2)} \subset L_{1}^{(2)} \times L_{2}^{(2)}$, whose element is $\mathbf{z}_{1}^{(1)}=\mathbf{z}_{1}^{(2)}+\mathbf{z}_{2}^{(2)}$, s.t. $\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(2)}\right)+\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{2}^{(2)}\right)=\mathbf{t}$, where $r=|D|^{1 / 2} \leq \ell$ is a parameter and $\mathbf{t} \in \mathbb{F}_{2}^{r}$ is some vector. The time and memory complexities required to construct $\tilde{L}_{1}^{(1)}$ are $|D|^{1 / 2}$. Analogously, we create a $2^{-r}$ - fraction list $\tilde{L}_{2}^{(1)} \subset L_{3}^{(2)} \times L_{4}^{(2)}$, whose element is $\mathbf{z}_{2}^{(1)}=\mathbf{z}_{3}^{(2)}+\mathbf{z}_{4}^{(2)}$, s.t. $\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{3}^{(2)}\right)+\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{4}^{(2)}\right)=\pi_{r}\left(\mathbf{s}_{2}\right)+\mathbf{t}$.

We want to find $2^{-r}$-fraction of $\ell$-matched pairs $\left(\mathbf{z}_{1}^{(1)}, \mathbf{z}_{2}^{(1)}\right) \in L_{1}^{(1)} \times L_{2}^{(1)}$ s.t. $\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}+\mathbf{H}_{2} \mathbf{z}_{2}^{(1)}=\mathbf{s}_{2}$. Since we already have a $2^{-r}$-fraction of $r$-matched pairs $\left(\mathbf{z}_{1}^{(1)}, \mathbf{z}_{2}^{(1)}\right) \in \tilde{L}_{1}^{(1)} \times \tilde{L}_{2}^{(1)}$ s.t. $\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)+\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{2}^{(1)}\right)=\pi_{r}\left(\mathbf{s}_{2}\right)$, this can be obtained in time $\max \left(|D|^{1^{1 / 2}}, 2^{r-\ell}|D|^{1 / 2}|D|^{1 / 2}\right)$ and memory $|D|^{1 / 2}$. We iterate the above procedure for all $\mathbf{t}$. Therefore, the asymptotic time complexity is

$$
\begin{equation*}
q^{-1} 2^{r} \max \left(|D|^{1 / 2}, 2^{r-\ell}|D|\right) . \tag{17}
\end{equation*}
$$

When $\ell \geq r / 2$, Eq. (17) is equivalent to Eq. (16). The space complexity is reduced to $|D|^{1 / 2}$.

Numerical Optimization We implement Dumer's ISD with the SchroeppelShamir technique using the library developed by Esser ${ }^{3}$ [16], and perform numerical optimization in the full distance decoding setting. In the optimization, binomial coefficients are approximated by Stirling's approximation. For each parameters $o_{i}$ used in ISD algorithms, let $o_{i}=\tilde{o}_{i} \cdot n$, where $0 \leq \tilde{o}_{i} \leq 1$. We denote $\tilde{k}=k / n$ as the code rate. During optimization, we search for parameters that yield minimal time complexity $T_{\min }^{\tilde{k}}$ for each code rate $\tilde{k}$. In full distance decoding, we set $\tilde{w}=H^{-1}(1-\tilde{k})$. The asymptotic time complexity is $\max _{\tilde{k}} T_{\min }^{\tilde{k}}$.

The asymptotic complexity of Dumer's algorithm is

$$
T=2^{0.116 n} \text { and } S=2^{0.0177 n},
$$

at $\tilde{k}=0.43$ and $\tilde{w}=0.1273$, with optimal parameters of

$$
\tilde{p}=0.005088, \tilde{r}=0.01766, \tilde{\ell}=0.03532 .
$$

The Schroeppel-Shamir technique reduces the asymptotic space complexity from the original value $S=2^{0.0353 n}$ to its square root $S=2^{0.0177 n}$ while maintaining the same time complexity, where $r=\left|L^{(2)}\right|$ and $\ell=2 r$.

### 5.3 MMT Algorithm with Schroeppel-Shamir Technique

We provide an analysis of the time-memory trade-off MMT algorithm with the Schroeppel-Shamir technique, which is initially introduced in [20]. First, we create depth-1 lists $L_{1}^{(1)}$ and $L_{2}^{(1)}$ via Schroeppel-Shamir. Since $\mathbf{z}_{1}^{(1)}$ is constructed from a pair $\left(\mathbf{z}_{1}^{(2)}, \mathbf{z}_{2}^{(2)}\right)$, where $\mathbf{z}_{1}^{(1)}=\mathbf{z}_{1}^{(2)}+\mathbf{z}_{2}^{(2)}$, we can consider a $2^{-r}$ fraction of the set of pairs by imposing $\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(2)}\right)=\mathbf{t}_{1}$ and $\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{2}^{(2)}\right)=\mathbf{t}_{1}$ for $r \leq \ell_{1}$

[^0]and some $\mathbf{t}_{1} \in \mathbb{F}_{2}^{r}$. Analogously, $\mathbf{z}_{2}^{(1)}$ is formed from a pair $\left(\mathbf{z}_{3}^{(2)}, \mathbf{z}_{4}^{(2)}\right)$, where $\mathbf{z}_{2}^{(1)}=\mathbf{z}_{3}^{(2)}+\mathbf{z}_{4}^{(2)}$. A $2^{-r}$ fraction is obtained by imposing $\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{3}^{(2)}\right)=\mathbf{t}_{2}$ and $\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{4}^{(2)}\right)=\pi_{r}\left(\mathbf{s}_{2}\right)+\mathbf{t}_{2}$ for some $\mathbf{t}_{2} \in \mathbb{F}_{2}^{r}$. There are $2^{2 r}$ combinations for a pair $\left(\mathbf{t}_{1}, \mathbf{t}_{2}\right)$, as $\mathbf{t}_{1}$ is independent of $\mathbf{t}_{2}$. Therefore, we have $2^{-2 r}$ fraction of $\left(\mathbf{z}_{1}^{(1)}, \mathbf{z}_{2}^{(1)}\right) \in L_{1}^{(1)} \times L_{2}^{(1)}$ for some pair $\left(\mathbf{t}_{1}, \mathbf{t}_{2}\right)$, i.e., for depth-2 SchroeppelShamir, we require $2^{-2 r}$ iterations as compensation for reducing the list size to $2^{-r}$.

Concretely, we first create depth-3 lists by decomposing $L_{i}^{(2)}=L_{2 i-1}^{(3)} \times L_{2 i}^{(3)}$ for $1 \leq i \leq 4$.

$$
\begin{aligned}
& L_{1}^{(3)}=L_{5}^{(3)}=\left\{\left.\mathbf{z}_{1}^{(3)} \in \mathbb{F}_{2}^{\frac{k+\ell}{4}} \times 0^{\frac{3(k+\ell)}{4}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{1}^{(3)}\right)=p / 4\right\}, \\
& L_{2}^{(3)}=L_{6}^{(3)}=\left\{\left.\mathbf{z}_{2}^{(3)} \in 0^{\frac{k+\ell}{4}} \times \mathbb{F}_{2}^{\frac{(k+\ell)}{4}} \times 0^{\frac{k+\ell}{2}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{2}^{(3)}\right)=p / 4\right\}, \\
& L_{3}^{(3)}=L_{7}^{(3)}=\left\{\left.\mathbf{z}_{3}^{(3)} \in 0^{\frac{k+\ell}{2}} \times \mathbb{F}_{2}^{\frac{(k+\ell)}{4}} \times 0^{\frac{k+\ell}{4}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{3}^{(3)}\right)=p / 4\right\}, \\
& L_{4}^{(3)}=L_{8}^{(3)}=\left\{\left.\mathbf{z}_{4}^{(3)} \in 0^{\frac{3(k+\ell)}{4}} \times \mathbb{F}_{2}^{\frac{k+\ell}{4}} \right\rvert\, \mathrm{wt}\left(\mathbf{z}_{4}^{(3)}\right)=p / 4\right\},
\end{aligned}
$$

where $\left|L_{i}^{(3)}\right|=\binom{(k+\ell) / 4}{p / 4} \approx|D|^{1 / 2}$ for $|D|=\binom{(k+\ell) / 2}{p / 2}$. For a parameter $r \leq \ell_{1}$, we create $2^{-r}$-fraction lists $\tilde{L}_{i}^{(2)} \subset L_{2 i-1}^{(3)} \times L_{2 i}^{(3)}$ for $1 \leq i \leq 4$, where each element is defined as follows:

$$
\begin{aligned}
& \mathbf{z}_{1}^{(2)}=\mathbf{z}_{1}^{(3)}+\mathbf{z}_{2}^{(3)} \text { s.t. } \pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(3)}\right)+\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{2}^{(3)}\right)=\mathbf{t}_{1} \\
& \mathbf{z}_{2}^{(2)}=\mathbf{z}_{3}^{(3)}+\mathbf{z}_{4}^{(3)} \text { s.t. } \pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{3}^{(3)}\right)+\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{4}^{(3)}\right)=\mathbf{t}_{1} \\
& \mathbf{z}_{3}^{(2)}=\mathbf{z}_{1}^{(3)}+\mathbf{z}_{2}^{(3)} \text { s.t. } \pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(3)}\right)+\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{2}^{(3)}\right)=\mathbf{t}_{2} \\
& \mathbf{z}_{4}^{(2)}=\mathbf{z}_{3}^{(3)}+\mathbf{z}_{4}^{(3)} \text { s.t. } \pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{3}^{(3)}\right)+\pi_{r}\left(\mathbf{H}_{2} \mathbf{z}_{4}^{(3)}\right)=\pi_{r}\left(\mathbf{s}_{2}\right)+\mathbf{t}_{2},
\end{aligned}
$$

where $\mathbf{t}_{1}, \mathbf{t}_{2} \in \mathbb{F}_{2}^{r}$. The time complexity for depth-2 lists is $\max \left(|D|^{1 / 2}, 2^{-r}|D|\right)$. The size of a depth-2 list is $\left|\tilde{L}^{(2)}\right|=\max \left(1,2^{-r}|D|\right)$. For depth 1-lists, we obtain $2^{-r}$-fraction lists $\tilde{L}_{i}^{(1)} \subset \tilde{L}_{2 i-1}^{(2)} \times \tilde{L}_{2 i}^{(2)}$ for $i=1,2$ with time $\max \left(\left|\tilde{L}^{(2)}\right|, 2^{r-\ell_{1}}\left|\tilde{L}^{(2)}\right|^{2}\right)$ and space $\left|\tilde{L}^{(1)}\right|=\max \left(1,2^{r-\ell_{1}}\left|\tilde{L}^{(2)}\right|^{2}\right)$. Finally, we merge $\tilde{L}_{1}^{(1)}$ and $\tilde{L}_{2}^{(1)}$ in time $\max \left(\left|\tilde{L}^{(1)}\right|, 2^{\ell_{1}-\ell}\left|\tilde{L}^{(1)}\right|^{2}\right)$ and obtain a fraction of the permuted solution with probability $\rho_{\mathrm{repr}}=\min \left(1,2^{-\ell_{1}-2 r} R\right)$, where, $R=\binom{2 p}{p} \approx\binom{p}{p / 2}^{2}$. When $2^{-\ell_{1}-2 r} R<1$, we need to iterate the SEARCH component $2^{\ell_{1}+2 r} R^{-1}$ times to yield one permuted solution expectedly under a good permutation. The asymptotic time complexity is

$$
q^{-1} \rho_{\mathrm{repr}}{ }^{-1} \max \left(\left|L^{(3)}\right|,\left|\tilde{L}^{(2)}\right|,\left|\tilde{L}^{(1)}\right|, 2^{\ell_{1}-\ell}\left|\tilde{L}^{(1)}\right|^{2}\right)
$$

where $q=\binom{k+\ell}{2 p}\binom{n-k-\ell}{w-2 p}\binom{n}{w}^{-1}$. The space complexity is $\max \left(\left|L^{(3)}\right|,\left|\tilde{L}^{(2)}\right|,\left|\tilde{L}^{(1)}\right|\right)$.

Numerical Optimization The asymptotic complexity of the time-memory trade-off MMT algorithm for full distance decoding is

$$
T=2^{0.111 n} \text { and } S=2^{0.0376 n}
$$

at $\tilde{k}=0.44$ and $\tilde{w}=0.1273$, with optimal parameters of

$$
\tilde{p}=0.01073, \tilde{r}=0, \tilde{\ell}_{1}=0.03764, \tilde{\ell}=0.07527
$$

We confirm that the Schroeppel-Shamir technique cannot reduce memory without sacrificing time complexity for the MMT algorithm. Nevertheless, it still results in almost the same space complexity as $2^{0.0375 n}$, as derived in [20]. This implies that the time-memory trade-off term $\rho_{\text {repr }}$ introduced in [20] plays a crucial role in reducing space complexity. Note that $T$ is minimized when $\ell_{1}=\log _{2}\binom{(k+\ell) / 2}{p / 2}$, which leads to $S=\left|L^{(2)}\right|=\left|L^{(1)}\right|$.

Asymptotic Complexity of the Improved BJMM Algorithm The asymptotic complexity of the improved BJMM algorithm is the same as the depth-2 BJMM algorithm. This is because a specific weight distribution pair $(i, j)$, which maximizes $\left|\mathcal{C}_{i, j}\right| \rho_{i, j}$ in Eq. (13), dominates over all weight distributions. The asymptotic complexity of the depth-2 BJMM algorithm is

$$
T=2^{0.105 n} \text { and } S=2^{0.0659 n}
$$

at $\tilde{k}=0.43$ and $\tilde{w}=0.1273$, with optimal parameters

$$
\tilde{p}^{\prime}=0.01076, \tilde{p}=0.01812, \tilde{\ell}_{1}=0.06588, \tilde{\ell}=0.1318
$$

Note that $T$ is minimized when $2^{\ell_{1}}=S=\left|L^{(2)}\right|=\left|L^{(1)}\right|=R$, where $\left|L^{(2)}\right|=$ $\binom{(k+\ell) / 2}{p^{\prime}}$ and $R \approx\binom{2 p}{p}\binom{k+\ell-2 p}{2 p^{\prime}-p}$.

## 6 Cryptanalysis

In this section, we present security estimates of Classic McEliece, BIKE, and HQC for existing ISD algorithms. We use CryptographicEstimators ${ }^{4}$, which is the latest cryptanalysis library developed by Esser et al. [19]. The SDP parameter sets we target are listed in Table 2.

### 6.1 Classic McEliece

First, we present the estimated bit time complexity and its corresponding space complexity for all parameter sets of Classic McEliece in Table 3.

In our cryptanalysis, we consider eight ISD algorithms including MMTтмто and BJMM-тмTO, which are time-memory trade-off variants of the MMT

[^1]Table 2. Parameter sets for Classic McEliece, BIKE and HQC proposals.

| Scheme | Category | $n$ | $k$ | $w$ |
| :---: | :---: | :---: | :---: | :---: |
| Classic McEliece | 1 | 3488 | 2720 | 64 |
|  | 3 | 4608 | 3360 | 96 |
|  | 5 | 6688 | 5024 | 128 |
|  | 5 | 6960 | 5413 | 119 |
|  | 5 | 8192 | 6528 | 128 |
| BIKE (message) | 1 | 24646 | 12323 | 134 |
|  | 3 | 49318 | 24659 | 199 |
|  | 5 | 81946 | 40973 | 264 |
| BIKE (key) | 1 | 24646 | 12323 | 142 |
|  | 3 | 49318 | 24659 | 206 |
|  | 5 | 81946 | 40973 | 274 |
| HQC | 1 | 35338 | 17669 | 132 |
|  | 3 | 71702 | 35851 | 200 |
|  | 5 | 115274 | 57637 | 262 |

and the BJMM algorithm [20]. BJMM ${ }^{+}$represents the improved BJMM algorithm. To derive the estimated complexity for Sieving ISD, we use open-source code provided by the authors ${ }^{5}$. The bold font indicates the minimal bit time complexity (T) or bit space complexity (M). Among these ISD algorithms, BJMM ${ }^{+}$ achieves the smallest time complexity across all categories when assuming unlimited memory capacity and constant memory access cost. Additionally, BJMM ${ }^{+}$ reduced bit security for Classic McEliece 3 by 11 bits from MMT-тмto, and 3 bits from BJMM-тмто.

In [18], the authors confirm that the assumption of the logarithmic memory access cost model aligns well with actual implementation. We also verify the validity of this assumption for our implementation. We also evaluate the security of NIST-PQC candidates under realistic memory constraints, considering both the logarithmic access model and a maximum memory capacity of $2^{43}$ or $2^{60}$ bits (equivalent to 1 terabyte and 155 petabytes), denoted as $\mathrm{BJMM}_{M \leq 43}^{+}$and $\mathrm{BJMM}_{M \leq 60}^{+}$in each table.

As a result, the security levels of Classic McEliece for Categories 1, 5a, 5b, and 5 c have sufficiently large security margins from the security requirements ( 128 bits for Category 1, 192 bits for Category 3, and 256 bits for Category 5) when memory constraints are assumed. However, for Category 3, the security level remains below the desired security level for the BJMM ${ }^{+}$algorithm.

### 6.2 BIKE and HQC

Since both BIKE and HQC use a quasi cyclic code, it is known that the time complexities of several ISD algorithms can be decreased by leveraging the cyclic

[^2]Table 3. Estimated bit security and bit space complexity for Classic McEliece. Underlines indicate a deficiency in meeting the specified security requirements ( 128 bits for Category 1, 192 bits for Category 3, and 256 bits for Category 5).

| Category | $\begin{gathered} 1 \\ (n=3488) \end{gathered}$ |  | $\begin{gathered} 3 \\ (n=4608) \end{gathered}$ |  | $(n=6688)$ |  | $\begin{gathered} 5 \mathrm{~b} \\ (n=6960) \end{gathered}$ |  | $\begin{gathered} (n=8192) \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | T | M | T | M | T | M | T | M | T | M |
| BJMM ${ }^{+}$ | 140 | 98 | 179 | 116 | 245 | 146 | $\underline{245}$ | 169 | 275 | 174 |
| Prange | 173 | 22 | 217 | 23 | 296 | 24 | 297 | 24 | 334 | 24 |
| Dumer | 151 | 58 | 193 | 60 | 268 | 89 | 268 | 90 | 303 | 109 |
| MMT-тмто | 148 | 59 | 190 | 70 | 261 | 90 | 261 | 91 | 294 | 102 |
| BJMM-тмто | 142 | 98 | $\underline{182}$ | 122 | $\underline{248}$ | 162 | $\underline{248}$ | 160 | 277 | 189 |
| May-Ozerov | 141 | 87 | $\underline{180}$ | 115 | $\underline{246}$ | 165 | $\underline{246}$ | 160 | 276 | 194 |
| Вотн-May | 142 | 88 | $\underline{181}$ | 113 | $\underline{248}$ | 143 | $\underline{247}$ | 145 | 279 | 149 |
| Sieving ISD | 143 | 58 | $\underline{184}$ | 65 | 257 | 91 | 257 | 92 | 291 | 95 |
| BJMM ${ }_{M \leq 43}^{+}$ | 147 | 43 | 191 | 43 | 267 | 43 | 268 | 43 | 304 | 43 |
| $\mathrm{BJMM}_{M \leq 60}^{+}$ | 143 | 60 | $\underline{186}$ | 55 | 261 | 58 | 261 | 59 | 297 | 60 |

nature of the code. We present the results of our security estimations for BIKE and HQC in Table 4 and 5 , respectively.

For the key security of BIKE, the time complexities of all ISD algorithms are reduced by a factor of $k$ without any additional effort. To attack the secret key of BIKE, we need to solve the quasi cyclic SDP, where the syndrome is the zero vector. This SDP contains $k$ different solutions, which decrease the expected number of loops required for any ISD by a factor of $k$. For the bit security, we present the results with $\log _{2} k$ subtracted from the estimations.

In the case of message security for BIKE and HQC, several ISD algorithms can reduce time complexity by implementing the Decoding-One-Out-of-Many (DOOM) strategy, as described in [35]. For Dumer, MMT-tmto, BJMMTMTO, and $\mathrm{BJMM}^{+}$, we can reduce the time complexity by $\Omega(\sqrt{k})$, where $k=n / 2$, by utilizing the asymmetrical base list construction technique, as shown in [18].

For May-Ozerov and Both-May, concrete algorithms for realizing DOOM speedups have not yet been developed. In this paper, a common assumption of $\sqrt{k}$ speedup is applied to them, as in [17]. For SiEving ISD, the authors claim $k$ times speedups by leveraging the rotations of the syndrome while enlarging vectors in the search phase.

From Tables 4 and 5, both BIKE and HQC meet the desired level of bit security across all categories. The difference in time complexity between sieving ISD and other ISDs for quasi-cyclic codes stems mainly from discrepancies in the speedup gains of DOOM. To our knowledge, there is currently no practical evidence for the sieving ISD for quasi-cyclic SDP instances. Hence, in this paper, we also employ the improved BJMM algorithm for memory-constrained estimations. The verification of the sieving ISD for quasi-cyclic codes remains a future

Table 4. Estimated bit security and bit space complexity for BIKE.

|  | Category | $\begin{gathered} 1 \\ (n=24646) \end{gathered}$ |  | $\begin{gathered} 3 \\ (n=49318) \end{gathered}$ |  | $\begin{gathered} 5 \\ (n=81946) \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | T | M | T | M | T | M |
|  | $\mathrm{BJMM}^{+}$ | 146 | 54 | 210 | 59 | 277 | 62 |
|  | Prange | 168 | 28 | 234 | 30 | 304 | 32 |
|  | Dumer | 148 | 40 | 211 | 43 | 279 | 45 |
|  | MMT-тмто | 148 | 38 | 211 | 40 | 279 | 41 |
|  | BJMM-тмто | 147 | 55 | 211 | 57 | 278 | 61 |
|  | May-Ozerov | 147 | 55 | 210 | 57 | 278 | 61 |
|  | Вотн-May | 147 | 55 | 210 | 57 | 278 | 61 |
|  | Sieving ISD | 141 | 46 | 204 | 50 | 271 | 53 |
|  | $\mathrm{BJMM}_{M \leq 43}^{+}$ | 146 | 42 | 211 | 43 | 280 | 41 |
|  | $\mathrm{BJMM}_{M \leq 60}^{+}$ | 146 | 44 | 210 | 47 | 277 | 50 |
| 危U000000000 | BJMM ${ }^{+}$ | 145 | 46 | 210 | 59 | 275 | 63 |
|  | Prange | 167 | 28 | 235 | 30 | 301 | 32 |
|  | Dumer | 146 | 41 | 211 | 44 | 276 | 46 |
|  | MMT-тмто | 146 | 38 | 211 | 40 | 276 | 41 |
|  | BJMM-тмто | 146 | 38 | 211 | 40 | 276 | 61 |
|  | May-Ozerov | 146 | 55 | 211 | 57 | 276 | 61 |
|  | Воth-May | 146 | 55 | 211 | 57 | 276 | 61 |
|  | Sieving ISD | 135 | 46 | 198 | 50 | 262 | 53 |
|  | $\mathrm{BJMM}_{M \leq 43}^{+}$ | 145 | 42 | 212 | 40 | 278 | 41 |
|  | $\mathrm{BJMM}_{M \leq 60}^{+}$ | 145 | 43 | 210 | 46 | 275 | 48 |

challenge. When assuming a logarithmic memory access cost and constrained memory capacity, both BIKE and HQC still have sufficiently large security margins.

## 7 Experiments

This section describes details regarding our GPU implementation of the improved BJMM algorithm and the record computation for the McEliece-1409 instance.

### 7.1 A GPU Implementation of the BJMM Algorithm

We provide the improved BJMM algorithm as a Compute Unified Device Architecture (CUDA) implementation (cuBJMM). Our implementation is developed as an improved variant of the CUDA MMT implementation (cuMMT [30] ${ }^{6}$ ). In

[^3]Table 5. Estimated bit security and bit space complexity for HQC.

| Category | $\begin{gathered} 1 \\ (n=35338) \end{gathered}$ |  | $\begin{gathered} 3 \\ (n=71702) \end{gathered}$ |  | $\begin{gathered} 5 \\ (n=115274) \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | T | M | T | M | T | M |
| BJMM ${ }^{+}$ | 145 | 48 | 213 | 52 | 275 | 55 |
| Prange | 166 | 29 | 236 | 31 | 300 | 33 |
| Dumer | 145 | 43 | 213 | 46 | 275 | 48 |
| MMT-тмto | 145 | 38 | 213 | 40 | 275 | 42 |
| BJMM-тмто | 145 | 38 | 213 | 40 | 275 | 42 |
| May-Ozerov | 146 | 39 | 214 | 42 | 276 | 44 |
| Both-May | 146 | 39 | 214 | 42 | 276 | 44 |
| Sieving ISD | 141 | 46 | 204 | 50 | 271 | 53 |
| $\mathrm{BJMM}_{M \leq 43}^{+}$ | 145 | 43 | 214 | 40 | 276 | 42 |
| $\mathrm{BJMM}_{M \leq 60}^{+}$ | 145 | 43 | 213 | 47 | 275 | 49 |

cuBJMM, only two lists $\bar{L}_{1}^{(2)}$ and $\bar{L}_{1}^{(1)}$, are constructed as simple one-dimensional integer arrays during the list construction phase. These arrays function as hash maps, with keys corresponding to $\pi_{\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(2)}\right)$ or $\pi_{\ell-\ell_{1}}\left(\mathbf{H}_{2} \mathbf{z}_{1}^{(1)}\right)$. When merging two lists, GPU threads virtually construct the other list by enumerating elements meeting the list constraint. We utilize asynchronous concurrent writing technique in list merging to enhance the effectiveness of parallel list merging. In addition, we deployed several improvements as listed below.

1. (CPU-GPU parallelism) The outer loop (permutation) in the improved BJMM algorithm is parallelized by multi-threading on CPU, i.e., we run $N$-BJMM procedures independently for $N$ different permutations, where $N$ is the number of CPU thread. Then, each BJMM routine borrows a memory segment on a GPU and executes parallel list construction.
2. (Fast Gaussian elimination) We use an optimized implementation of the Method of Four Russians for Inversion (M4RI) [1], improved by Esser, May and Zweydinger ${ }^{7}$.
3. (Memory optimization) We transform the non-variable values into constants by using the constexpr feature in C++ to reduce memory access costs.

With these improvements, cuBJMM achieved a $23.4 \times$ faster expected runtime compared to cuMMT for the McEliece-1409 instance.

### 7.2 Decoding McEliece-1409 Challenge

We estimated the bit complexity and optimal parameters for McEliece-1409 using CryptographicEstimators, under constraints of $M \leq 33$ (1 gigabyte) and the logarithmic access model in Table 6. It is observed that BJMM ${ }^{+}$is $3.3 \times$

[^4]faster than BJMM-TMTO and still $1.9 \times$ faster than May-Ozerov, which yields the smallest time complexity for McEliece instances among the ISD algorithms in [17]. Note that there is no practical implementation for May-Ozerov and Both-May due to the low efficiency of the local sensitive hashing (LSH) technique. In our estimation the LSH cost is derived from the Indyk-Motwani nearest neighbor algorithm [23]. The space complexity of Prange corresponds to the size of parity-check matrix $\mathbf{H}$.

Table 6. Estimated complexity and optimal parameters for McEliece-1409 with $M \leq$ 33 (1 gigabyte) and the logarithmic memory access cost model.

| Algorithm | T | M | $p^{\prime}$ | $p$ | $\ell_{1}$ | $\ell$ | $w_{1}$ | $w_{2}$ | depth |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| BJMM $^{+}$ | $\mathbf{7 0 . 1}$ | 31.5 | - | 4 | 14 | 36 | - | - | 2 |
| Prange $^{8} 88.6$ | $\mathbf{1 8 . 6}$ | - | - | - | - | - | - | - |  |
| DUMER | 72.4 | 28.8 | - | 2 | - | 19 | - | - | 1 |
| MMT-TMTO | 71.8 | 32.3 | 2 | 4 | 13 | 36 | - | - | 2 |
| BJMM-TMTO | 71.8 | 32.3 | 2 | 4 | 13 | 36 | - | - | 2 |
| MAY-OZEROV | 71.0 | 32.2 | 2 | 4 | - | 13 | - | - | 2 |
| BOTH-MAY | 71.1 | 32.2 | 2 | 4 | - | 13 | 0 | 0 | 2 |



Fig. 3. Estimated runtime and memory consumption of cuBJMM for McEliece-1409 with varying the number of CPU threads on the desktop PC.

In practice, we use $p=4, \ell_{1}=14, \ell=35$ for our implementation to solve the McEliece-1409 instance, as it requires two large integer arrays of sizes $2^{\ell_{1}}$ and
$2^{\ell-\ell_{1}}$. Figure 3 shows the expected runtime and the memory consumption. The estimated runtime is given by $T_{\text {loop }} q^{-1}$, where $T_{\text {loop }}$ is the measured runtime for one iteration with cuBJMM. We parallelized 16 CPU threads in our experiments, requiring an expected 563 days and 822 megabytes ( $2^{32.6}$ bits) on one desktop PC to solve McEliece-1409. The maximal number of GPU threads is set to $2^{-\ell_{1}}\left|L^{(2)}\right|^{2}=1,684,900$ per CPU thread, resulting in a total of $26,958,400$ GPU threads per PC.

With 10 desktop PCs (5 each equipped with an RTX 4080 GPU and an Intel Core i9-12900 CPU, and 5 with an RTX 3090 GPU and the same CPU), we achieve an expected runtime of 65.3 days for McEliece-1409. As a result, we solved the McEliece-1409 instance in 29.6 hours.

### 7.3 Validation of the Result

There may be concerns regarding the disparity between the expected runtime and the actual runtime for the McEliece-1409 record. To verify the correctness, we conducted a comprehensive experiment for the SDP with parameters $n=808$, $k=647$, and $w=17$, whose bit complexity is $2^{49.5}$. The average number of iterations required to solve the instance is $q^{-1}=2^{19.17}$. We solved the instance $10^{3}$ times. Fig. 4 shows a histogram for the number of iterations.


Fig. 4. Histogram of the exponent $\alpha$ for the number of iterations $2^{\alpha} q^{-1}$ required to solve the McEliece-808 instance for $10^{3}$ trials. Here, $q^{-1}=2^{19.17}$ represents the average number of iterations to solve the instance. The vertical red line represents $\alpha=-6.3$, which corresponds to our McEliece-1409 record.

The number of successful trials maximizes when the number of iterations approaches the average. No successes are observed for iterations exceeding $2^{3}$ times the average. On the other hand, even for a number of iterations that is $2^{8}$ times smaller than the average, there are still successes. For the McEliece-1409 record, $\alpha=-6.3$ is obtained, which is also depicted in the figure.

We also compute the probability of finding a solution within a specified runtime. The probability density function for the $N$-th iteration at which the algorithm terminates is given by $f(N)=q(1-q)^{N-1}$, which is the geometric distribution. Since the total time complexity of an ISD algorithm up to the $N$-th iteration is $N\left(T_{\text {ge }}+T_{\text {search }}\right), f(N)$ can be extended to a map between the runtime and the probability of success: $f(t)=q(1-q)^{t / T-1}$, where $T=T_{\text {ge }}+T_{\text {search. }}$. The cumulative distribution function for $f(t)$ is $F(t)=1-(1-q)^{t / T}$. Our interest is to determine two positions $t_{\alpha}$ and $t_{1-\alpha}$, where $F\left(t_{\alpha}\right)=\alpha$ and $F\left(t_{1-\alpha}\right)=1-\alpha$, as illustrated in Figure 5.


Fig. 5. This figure illustrates $t_{\alpha} \approx q^{-1} T \alpha$ and $t_{1-\alpha} \approx q^{-1} T \ln \alpha^{-1}$ w.r.t. a parameter $0 \leq \alpha \leq 1 . f(t):=q(1-q)^{t / T-1}$ is a map from the runtime $t$ to the success probability $f(t)$ at which an ISD algorithm terminates. We draw $f(t)$ with $q=0.01$ for simplicity.

We can compute $t_{\alpha}$ by solving the following equation for $t$ : $1-(1-q)^{t / T}=\alpha$, which gives

$$
\begin{equation*}
t_{\alpha}=\left(q^{-1} \alpha+O\left(q^{-1} \alpha^{2}\right)\right) T \tag{18}
\end{equation*}
$$

by series expansion. Assuming $q \ll 1$ and $\alpha \ll 1$, Eq. (18) is approximated by

$$
\begin{equation*}
t_{\alpha} \approx q^{-1} T \alpha \tag{19}
\end{equation*}
$$

Similarly, $t_{1-\alpha}$ can be determined by solving the following equation for $t$ : $1-$ $(1-q)^{t / T}=1-\alpha$, which gives

$$
\begin{equation*}
t_{1-\alpha}=\left(q^{-1}-\frac{1}{2}+O(q)\right) T \ln \alpha^{-1} \tag{20}
\end{equation*}
$$

Assuming $q \ll 1$, then Eq. (20) is approximated by

$$
\begin{equation*}
t_{1-\alpha} \approx q^{-1} T \ln \alpha^{-1} \tag{21}
\end{equation*}
$$

It is noteworthy that Eq. (21) increases on a logarithmic scale with decreasing in $\alpha$, whereas Eq. (19) linearly decreases as $q \ll 1$ is satisfied in ISD algorithms.

### 7.4 Comparison with Latest Implementations

We compare the performance of cuBJMM with other ISD implementations. Figure 6 shows estimated runtimes and bit complexities of cuBJMM, along with computation results. Below, we describe recent record computations for McEliece instances.


Fig. 6. Bit complexities and estimated running times to solve each McEliece challenge with one desktop PC equipped with an Intel Core i9-12900 CPU and an RTX 4080 GPU. Instances that were successfully solved by our implementation are marked with small squares. The red dashed line represents the runtime $q^{-1} T \alpha$ and the blue dashed line represents the runtime $q^{-1} T \ln \alpha^{-1}$ with a probability $\alpha=2^{-8}$.

McEliece-1161 was solved in 15.66 days by Narisada, Fukushima, and Kiyomoto using a GPU implementation of Dumer's algorithm on an Intel Xeon E5-2686v4 server and an NVIDIA Tesla V100 [29].

Esser, May, and Zweydinger achieved the first records for McEliece-1223 and McEliece-1284 at 2.45 days and 31.43 days, respectively, using their fast implementation of the MMT/BJMM algorithm with 4 AMD EPYC 7742 CPUs [18]. Their implementation was later improved by introducing time-memory tradeoffs, achieving an expected runtime of 13.10 days for McEliece-1284 [20].

Recently, Bernstein, Lange, and Peters solved McEliece-1347 using software they developed on several clusters of computers [9]. According to the website ${ }^{8}$, it is stated that the expected runtime of their implementation for McEliece-1284 with 4 AMD EPYC 7742 CPUs is 31.56 days.

[^5]The expected runtimes of cuBJMM with one desktop PC under the memory constraints of $M \leq 33$ for McEliece-1284 and McEliece-1347 are 23.30 days and 108.39 days, respectively. For McEliece-1473 and McEliece-1536, expected runtime of our implementation with $M \leq 33$ are 2474 days and 11552 days, respectively.

## 8 Conclusion

In this paper, we propose an improved variant of the depth-2 BJMM algorithm. This algorithm offers the lowest bit security level for Classic McEliece among existing ISD algorithms. We also present the first publicly available GPU implementation of the improved BJMM algorithm. We solve McEliece-1409 for the first time in 30 hours using 10 desktop PCs. These results provide both theoretical and practical evidence for the reliability of code-based NIST-PQC round 4 candidates.

Future work should include concrete analysis for newer ISDs, such as the May-Ozerov, Both-May, and sieving ISD algorithms. It is important to verify the resilience of the remaining code-based NIST-PQC candidates against quantum ISD algorithms from both theoretical and practical perspectives.

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[^0]:    ${ }^{3}$ Available at https://github.com/Memphisd/Revisiting-NN-ISD.

[^1]:    ${ }^{4}$ https://github.com/Crypto-TII/CryptographicEstimators

[^2]:    ${ }^{5}$ https://github.com/vunguyen95/Review-ISD-Sieving

[^3]:    ${ }^{6}$ The reference implementation for cuMMT is available at https://www.jstage.jst.g o.jp/article/transfun/E106.A/3/E106.A_2022CIP0023/_pdf/

[^4]:    7 Available at https://github.com/FloydZ/cryptanalysislib.

[^5]:    ${ }^{8}$ https://isd.mceliece.org/1347.html, published on February 26, 2023.

