

# Remarks on the Pocklington and Padró-Sáez Cube Root Algorithm in $\mathbb{F}_q$

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## Abstract

We clarify and generalize a cube root algorithm in  $\mathbb{F}_q$  proposed by Pocklington [1], and later rediscovered by Padró and Sáez [2]. We correct some mistakes in [2] and give a full generalization of the result in [1, 2] for the cube root algorithm. We also give the comparison of the implementation of Pocklington and Padró-Sáez algorithm with two most popular cube root algorithms, namely the Adleman-Manders-Miller algorithm and the Cipolla-Lehmer algorithm. To the authors' knowledge, our comparison is the first one which compares three fundamental algorithms together.

**Keywords** : cube root algorithm, finite field, Pocklington algorithm, Adleman-Manders-Miller algorithm, Cipolla-Lehmer algorithm

## 1 Introduction

Pocklington [1] proposed a new square and cube root algorithms in the finite field  $\mathbb{F}_q$  with prime  $q$ , which are different from the two most well-known algorithms nowadays; the Adleman-Manders-Miller algorithm [4, 5, 6, 7] and the Cipolla-Lehmer [8, 9, 10, 11] algorithm. Later, the algorithm of Pocklington is rediscovered by Peralta [3] for the case of the square root and by Padró and Sáez [2] for the case of the cube root.

Both Peralta and Padró-Sáez were unaware of the work of Pocklington at the time of their results (See also [12]). Padró and Sáez, knowing the result of Peralta [3], gave a cubic version of the Peralta square root algorithm, and their algorithm has a more general form (with the estimation of the success probability) than the original version of Pocklington. However it contains some flaws (in Proposition 3.5 of [2]) where some cases which cannot happen are considered. Moreover, no available literature including the review of [2] in MathSciNet [13] notices this error.

Our aim in this paper is to correct the errors in the result of Padró-Sáez [2] and to present a refinement of the cube root algorithm extending both the result of Pocklington and Padró-Sáez. We also give the result of the software implementations (using SAGE) of the Pocklington and Padró-Sáez algorithm and two other standard algorithms; the Adleman-Manders-Miller algorithm and the Cipolla-Lehmer algorithm. To the authors' knowledge, our comparison is the first one ever which compares all three algorithms together. Our result shows that the Pocklington and Padró-Sáez algorithm is consistently superior to the Cipolla-Lehmer algorithm, and is also superior to the Adleman-manders-Miller algorithm when  $s$  is large, where  $s$  is the largest integer satisfying  $3^s | q - 1$ .

## 2 Pocklington and Padró-Sáez Cube Root Method

Both Pocklington [1] and Padró-Sáez [2] considered the finite field  $\mathbb{F}_q$  with prime  $q$ . However their approaches are also good for the general finite field. Therefore we assume that  $q$  is a power of a prime and let  $\mathbb{F}_q$  be a finite field with  $q$  elements. Let  $a \neq 0 \in \mathbb{F}_q$  be a cubic residue in  $\mathbb{F}_q$ , i.e., there exists  $x \in \mathbb{F}_q$  such that  $x^3 = a$ .

Note that when  $q \equiv 2 \pmod{3}$ , a cube root of  $a$  is given as  $a^{\frac{2q-1}{3}}$ , and when  $q \equiv 0 \pmod{3}$  (i.e., when  $q = 3^s$ ), then a cube root of  $a \in \mathbb{F}_{3^s}$  is given as  $a^{3^{s-1}}$ . Therefore a cube root of  $a$  can be found easily when  $q \equiv 0, 2 \pmod{3}$ . When  $q \equiv 1 \pmod{3}$ , there exists a primitive cube root of unity  $\epsilon \in \mathbb{F}_q$  satisfying  $\epsilon^3 = 1$ . From now on, we will only consider the finite field  $\mathbb{F}_q$  with  $q \equiv 1 \pmod{3}$ , and a primitive cube root of unity  $\epsilon$  is fixed throughout this paper.

For a given cube root  $x \in \mathbb{F}_q$  of  $a$ , the other two cube roots of  $a$  are given as  $\epsilon x$  and  $\epsilon^2 x$ , and we have the polynomial identity

$$X^3 - a = (X - x)(X - \epsilon x)(X - \epsilon^2 x) \in \mathbb{F}_q[X].$$

We also have the following isomorphism of rings

$$\mathbb{F}_q[X]/\langle X^3 - a \rangle \cong \mathbb{F}_q \times \mathbb{F}_q \times \mathbb{F}_q, \quad (1)$$

where the isomorphism is given as

$$\begin{aligned} \varphi : \mathbb{F}_q[X]/\langle X^3 - a \rangle &\longrightarrow \mathbb{F}_q \times \mathbb{F}_q \times \mathbb{F}_q \\ \alpha + \beta X + \gamma X^2 &\mapsto (\alpha + \beta x + \gamma x^2, \alpha + \beta \epsilon x + \gamma \epsilon^2 x^2, \alpha + \beta \epsilon^2 x + \gamma \epsilon x^2) \end{aligned} \quad (2)$$

For a detailed explanation, see [2]. We also need the norm of  $z = \alpha + \beta X + \gamma X^2 \in \mathbb{F}_q[X]/\langle X^3 - a \rangle$ ,  $N(z)$ , defined as the product of all the conjugates of  $z$ ,

$$N(z) = z \bar{z} \bar{\bar{z}} \in \mathbb{F}_q,$$

where  $\bar{z} = \alpha + \beta \epsilon X + \gamma \epsilon^2 X^2$ . Then the following is well-known;

$$\begin{aligned} N(z) &= (\alpha + \beta X + \gamma X^2)(\alpha + \beta \epsilon X + \gamma \epsilon^2 X^2)(\alpha + \beta \epsilon^2 X + \gamma \epsilon X^2) \\ &= (\alpha + \beta x + \gamma x^2)(\alpha + \beta \epsilon x + \gamma \epsilon^2 x^2)(\alpha + \beta \epsilon^2 x + \gamma \epsilon x^2) \end{aligned} \quad (3)$$

Define the set of invertible elements as  $\mathbb{F}_q^\times$  and  $(\mathbb{F}_q[X]/\langle X^3 - a \rangle)^\times$ . Then from the equations (2) and (3), we have

$$N(z) \neq 0 \iff \varphi(z) \in \mathbb{F}_q^\times \times \mathbb{F}_q^\times \times \mathbb{F}_q^\times,$$

which implies that we also have the isomorphism between the sets of invertible elements;

$$(\mathbb{F}_q[X]/\langle X^3 - a \rangle)^\times \cong \mathbb{F}_q^\times \times \mathbb{F}_q^\times \times \mathbb{F}_q^\times \quad (4)$$

For a given  $z = \alpha + \beta X + \gamma X^2 \in \mathbb{F}_q[X]/\langle X^3 - a \rangle$ , the norm of  $z$  is the determinant of the linear transformation  $\ell_z : \mathbb{F}_q[X]/\langle X^3 - a \rangle \rightarrow \mathbb{F}_q[X]/\langle X^3 - a \rangle$  with  $\ell_z(w) = wz$ , and it can be computed as follows.

**Lemma 1.** *One has*

$$N(z) = \begin{vmatrix} \alpha & \beta & \gamma \\ a\gamma & \alpha & \beta \\ a\beta & a\gamma & \alpha \end{vmatrix} = \alpha^3 + a\beta^3 + a^2\gamma^3 - 3a\alpha\beta\gamma. \quad (5)$$

*Proof.* By expanding the product in the equation (3), and using the properties  $x^3 = a$  and  $1 + \epsilon + \epsilon^2 = 0$ , one gets the right side of the equation (5), which can also be written as a determinant form.  $\square$

Note that the cost of computing  $N(z)$  is 11 multiplications in  $\mathbb{F}_q$  and is negligible compared with the cost of the exponentiation  $z^t$  when  $t$  is large.

Now we are ready to present the original version of the Proposition given in [2].

**Proposition 1** (Proposition 3.5 in [2]). *Let  $a \neq 0 \in \mathbb{F}_q$  be a cubic residue and  $z = \alpha + \beta X + \gamma X^2$  be an element of  $\mathbb{F}_q[X]/\langle X^3 - a \rangle$  where at least two of the coefficients  $\alpha, \beta$  and  $\gamma$  are nonzero. Then*

- (1) *If  $z^3 = \alpha'$  with  $\alpha' \in \mathbb{F}_q^\times$ , then*
  - (1a) *if  $\beta$  and  $\gamma$  are nonzero, then  $\sqrt[3]{a} = \frac{\alpha}{\beta}$ ,*
  - (1b) *if  $\beta = 0$  and  $\alpha, \gamma$  are nonzero, then  $\sqrt[3]{a} = \frac{1}{a}(\frac{\alpha}{\gamma})^2$ ,*
  - (1c) *if  $\gamma = 0$  and  $\alpha, \beta$  are nonzero, then  $\sqrt[3]{a} = -\frac{\alpha}{\beta}$ ,*
- (2) *If  $z^3 = \beta' X$  with  $\beta' \in \mathbb{F}_q^\times$ , then  $\sqrt[3]{a} = \frac{N(z)}{\beta'}$*
- (3) *If  $z^3 = \gamma' X^2$  with  $\gamma' \in \mathbb{F}_q^\times$ , then  $\sqrt[3]{a} = \frac{N(z)^2}{\gamma'^2 a}$*

### 3 New Refined Algorithm

As a result of the various mathematical softwares (such as MAPLE and SAGE) implementations, we found out that the cases (1b) and (1c) of Proposition 3.5 in [2] never appear in practice, and neither  $\frac{1}{a}(\frac{\alpha}{\gamma})^2$  nor  $-\frac{\alpha}{\beta}$  is a cube root of  $a$ . We also found out that the cases (2) and (3) do happen only when  $q \equiv 1 \pmod{9}$ . These contradicting implementation results can be explained rigorously by the following mathematical analysis.

**Lemma 2.** *Assuming the same conditions in Proposition 3.5 of [2],*

- (1) *The cases (1b) and (1c) cannot happen. In other words, the assumption of (1b) [ $\beta = 0$  and  $\alpha, \gamma$  are nonzero] or the assumption of (1c) [ $\gamma = 0$  and  $\alpha, \beta$  are nonzero] imply  $z^3 \notin \mathbb{F}_q$ .*
- (2) *The cases (2) and (3) do happen only when  $q \equiv 1 \pmod{9}$ .*

*Proof.* (1) Our proof relies on the following identity in  $\mathbb{F}_q[X]/\langle X^3 - a \rangle$ ,

$$\begin{aligned} z^3 &= (\alpha + \beta X + \gamma X^2)^3 \\ &= (\alpha^3 + a\beta^3 + a^2\gamma^3 + 6a\alpha\beta\gamma) + 3(\alpha\gamma^2a + \beta^2\gamma a + \alpha^2\beta)X + 3(\alpha^2\gamma + \alpha\beta^2 + \beta\gamma^2a)X^2. \end{aligned} \quad (6)$$

From the above identity, letting  $\beta = 0$ , one has

$$z^3 = (\alpha + \gamma X^2)^3 = \alpha^3 + \gamma^3 a^2 + 3\alpha\gamma^2 a X + 3\alpha^2 \gamma X^2. \quad (7)$$

Therefore  $\alpha \neq 0, \gamma \neq 0$  implies  $z^3 \notin \mathbb{F}_q$ , which contradicts the assumption of (1) of Proposition 3.5 saying  $z^3 = \alpha' \in \mathbb{F}_q$ . In the same way, letting  $\gamma = 0$  in the equation (6), we have

$$z^3 = (\alpha + \beta X)^3 = \alpha^3 + \beta^3 a + 3\alpha^2 \beta X + 3\alpha \beta^2 X^2. \quad (8)$$

Therefore  $\alpha \neq 0, \beta \neq 0$  implies  $z^3 \notin \mathbb{F}_q$ , which also contradicts the assumption of (1) of Proposition 3.5 in [2].

(2) Now we will show that the cases (2) and (3) of Proposition 3.5 can happen only when  $q \equiv 1 \pmod{9}$ . Since  $q \equiv 1 \pmod{3}$ , we may write

$$q = 3(3k + m) + 1 = 9k + 3m + 1, \quad \text{for some } k \in \mathbb{Z} \text{ and } m \in \{0, 1, 2\}.$$

From the isomorphism in the equation (4), we have  $z^{q-1} = 1$  for all  $z \in (\mathbb{F}_q[X]/\langle X^3 - a \rangle)^\times$ . Therefore the case (2)  $z^3 = \beta'X$  implies that

$$1 = z^{q-1} = (z^3)^{\frac{q-1}{3}} = (\beta'X)^{3k+m} = (\beta')^{3k+m} a^k X^m \in \mathbb{F}_q.$$

Consequently we get  $m = 0$  and  $q = 9k + 1$ . In the same way, the case (3)  $z^3 = \gamma'X^2$  implies that

$$1 = z^{q-1} = (z^3)^{\frac{q-1}{3}} = (\gamma'X^2)^{3k+m} = (\gamma')^{3k+m} a^{2k} X^{2m} \in \mathbb{F}_q.$$

Since the possible values of  $X^{2m}$  are  $1, X^2, X^4 = aX$ , we also get  $m = 0$  and  $q = 9k + 1$ .  $\square$

Because of this observation, Proposition 3.5 in [2] should be modified, and the corrected and extended version is given here.

**Proposition 2** (Corrected and Extended Version of Proposition 3.5 in [2]). *Let  $a \neq 0 \in \mathbb{F}_q$  be a cubic residue and let  $z = \alpha + \beta X + \gamma X^2$  be an element of  $\mathbb{F}_q[X]/\langle X^3 - a \rangle$ .*

(1) *If  $z^3 = \alpha'$  with  $\alpha' \in \mathbb{F}_q^\times$  where at least two of  $\alpha, \beta, \gamma$  are nonzero, then all three  $\alpha, \beta, \gamma$  are nonzero and all three distinct cube roots of  $a$  are given as  $\frac{\alpha}{\beta}, \frac{\beta}{\gamma}$  and  $\frac{\alpha\gamma}{\alpha}$ .*

(2) *If  $z^3 = \beta'X$  or  $z^3 = \gamma'X^2$  for some  $\beta', \gamma' \in \mathbb{F}_q^\times$ , then all three  $\alpha, \beta, \gamma$  are nonzero and*

(2a) *if  $z^3 = \beta'X$ , then  $\sqrt[3]{a} = -\frac{9\alpha\alpha\beta\gamma}{\beta'}$ .*

(2b) *if  $z^3 = \gamma'X^2$ , then  $\sqrt[3]{a} = -\frac{\gamma'}{9\alpha\beta\gamma}$ .*

*Proof.* (1) By Lemma 2–(1), we know that two nonzero coefficients  $\alpha, \gamma$  with  $\beta = 0$  or  $\alpha, \beta$  with  $\gamma = 0$  imply  $z^3 \notin \mathbb{F}_q$ . The remaining case where  $\beta, \gamma$  are nonzero and  $\alpha = 0$  can be understood from the following identity derived from the equation (6),

$$z^3 = (\beta X + \gamma X^2)^3 = \gamma^3 a^2 + \beta^3 a + 3a\beta^2\gamma X + 3a\beta\gamma^2 X^2, \quad (9)$$

which shows  $z^3 \notin \mathbb{F}_q$ . Therefore, if at least two of  $\alpha, \beta$  and  $\gamma$  are nonzero and if  $z^3 \in \mathbb{F}_q$ , then one must have all nonzero  $\alpha, \beta$  and  $\gamma$ . The fact that  $a = \left(\frac{\alpha}{\beta}\right)^3$  is already shown both in [1] and [2]. Since  $z^3 = \alpha' \in \mathbb{F}_q$ , from the equation (6), we get

$$\alpha\gamma^2 a + \beta^2\gamma a + \alpha^2\beta = 0, \quad (10)$$

$$\alpha^2\gamma + \alpha\beta^2 + \beta\gamma^2 a = 0. \quad (11)$$

Then  $\gamma \times (10) - \beta \times (11) = \alpha(\gamma^3 a - \beta^3) = 0$ , from which we get  $a = \left(\frac{\beta}{\gamma}\right)^3$ . Also  $\alpha \times (10) - \gamma a \times (11) = \beta(\alpha^3 - \gamma^3 a^2) = 0$ , from which we have  $a = \left(\frac{\gamma\alpha}{\alpha}\right)^3$ . Also notice that  $\beta \times (10) - \alpha \times (11) =$

$\gamma(\beta^3 a - \alpha^3) = 0$ , which says  $a = \left(\frac{\alpha}{\beta}\right)^3$ . All three cube roots  $\frac{\alpha}{\beta}, \frac{\beta}{\gamma}, \frac{\alpha\gamma}{\alpha}$  are different because  $\frac{\alpha}{\beta} + \frac{\beta}{\gamma} + \frac{\alpha\gamma}{\alpha} = \frac{1}{\alpha\beta\gamma}(\alpha^2\gamma + \alpha\beta^2 + \beta\gamma^2 a) = 0$  from the equation (11).

(2) In view of Lemma 1, the constant term of the equation (6) is  $N(z) + 9a\alpha\beta\gamma$ . Therefore one has  $N(z) = -9a\alpha\beta\gamma$  if  $z^3 = \beta'X$  or  $z^3 = \gamma'X^2$ . For the case (2a), by taking norm to  $\beta'X = z^3$ , we get  $\beta'^3 a = N(z)^3 = (-9a\alpha\beta\gamma)^3$  and thus  $a = \left(-\frac{9a\alpha\beta\gamma}{\beta'}\right)^3$ . For the case (2b), by taking norm to  $z^3 X = \gamma'a$ , we get  $N(z)^3 a = \gamma'^3 a^3$  and thus  $a = \left(-\frac{\gamma'}{9\alpha\beta\gamma}\right)^3$ . Note that  $\alpha\beta\gamma \neq 0$ , because one gets  $a = 0$  if  $\alpha\beta\gamma = 0$ .  $\square$

The fact  $\frac{\beta}{\gamma}$  is a root of  $X^3 - a = 0$  is also noticed in [1], but the fact that  $\frac{\alpha\gamma}{\alpha}$  is the other root of  $X^3 - a = 0$  different from  $\frac{\alpha}{\beta}$  and  $\frac{\beta}{\gamma}$  are not mentioned in both [1] and [2]. Also note that computing  $a\alpha\beta\gamma$  requires 3 multiplications while computing  $N(z)$  requires 11 multiplications.

**Proposition 3.** *Let  $q$  be a prime power with  $q - 1 = 3^s t$  and  $\gcd(3, t) = 1$ . Let  $0 \leq m \leq s - 1$ . Then the probability that a randomly chosen invertible  $z \in \mathbb{F}_q[X]/\langle X^3 - a \rangle$  satisfies  $z^{3^m t} = \alpha' + \beta'X + \gamma'X^2$  with exactly 2 zero coefficients is  $\frac{1}{3^{2s-2m-1}}$ .*

*Proof.* We have to find the probability that  $z^{3^m t} = \alpha'$  or  $z^{3^m t} = \beta'X$  or  $z^{3^m t} = \gamma'X^2$ . Note that these three cases are independent cases.

*Case 1.*  $z^{3^m t} = \alpha'$ : Due to the isomorphism in the equation (4), we may assume  $\varphi(z) = (a, b, c) \in \mathbb{F}_q^\times \times \mathbb{F}_q^\times \times \mathbb{F}_q^\times$  and  $(\alpha', \alpha', \alpha') = \varphi(z^{3^m t}) = \varphi(z)^{3^m t} = (a^{3^m t}, b^{3^m t}, c^{3^m t})$ . Thus from  $a^{3^m t} = b^{3^m t} = c^{3^m t} \in \mathbb{F}_q^\times$ , we get  $\left(\frac{b}{a}\right)^{3^m t} = 1$  and  $\left(\frac{c}{a}\right)^{3^m t} = 1$ . Therefore such  $(a, b, c)$  can be parameterized as  $(a, b, c) = (a, a\zeta, a\zeta')$  with  $a \in \mathbb{F}_q^\times$  and  $\zeta, \zeta' \in C$ , where  $C$  is a unique (cyclic) subgroup of order  $3^m t$  in  $\mathbb{F}_q^\times$ . Consequently the number of such  $(a, b, c)$  is  $(q - 1)3^{2m} t^2$ .

*Case 2.*  $z^{3^m t} = \beta'X$ : In the same way, we may assume  $\varphi(z) = (a, b, c) \in \mathbb{F}_q^\times \times \mathbb{F}_q^\times \times \mathbb{F}_q^\times$  and  $(\beta'x, \beta'x\epsilon, \beta'x\epsilon^2) = \varphi(z^{3^m t}) = \varphi(z)^{3^m t} = (a^{3^m t}, b^{3^m t}, c^{3^m t})$ . Thus we get  $\left(\frac{b}{a}\right)^{3^m t} = \epsilon$  and  $\left(\frac{c}{a}\right)^{3^m t} = \epsilon^2$ . Since  $m + 1 \leq s$  (i.e.,  $3^{m+1}t|q - 1 = 3^s t$ ), there is a primitive  $3^{m+1}t$ -th root of unity  $\mu$  such that either  $\mu^{3^m t} = \epsilon$  or  $\epsilon^2$ . Therefore letting  $(\theta, \theta') = (\mu, \mu^2)$  or  $(\mu^2, \mu)$ , one has  $(\theta^{3^m t}, \theta'^{3^m t}) = (\epsilon, \epsilon^2)$  which implies  $\left(\frac{b}{a\theta}\right)^{3^m t} = 1$  and  $\left(\frac{c}{a\theta'}\right)^{3^m t} = 1$ . Similarly as in the Case 1, such  $a, b, c$  can be parametrized as  $(a, b, c) = (a, a\theta\zeta, a\theta'\zeta')$  where  $a \in \mathbb{F}_q^\times$ ,  $\zeta, \zeta' \in C$ , and the number of such  $(a, b, c)$  is also  $(q - 1)3^{2m} t^2$ .

*Case 3.*  $z^{3^m t} = \gamma'X^2$ : This case can be dealt in the same manner with the Case 2 so that the number of possible cases of  $z$  is  $(q - 1)3^{2m} t^2$ .

Therefore the desired probability is  $\frac{3 \cdot 3^{2m} t^2 (q-1)}{(q-1)^3} = \frac{3 \cdot 3^{2m} t^2}{(q-1)^2} = \frac{3 \cdot 3^{2m} t^2}{3^{2s} t^2} = \frac{1}{3^{2s-2m-1}}$ .  $\square$

As a special case, when  $m = 0$ , we get the probability that  $z^t = \alpha'$  or  $\beta'X$  or  $\gamma'X^2$  as  $\frac{1}{3^{2s-1}}$ , which is the result of Proposition 3.7 in [2]. Also note that this result does not contradict Lemma 2-(2), because  $z^t = \beta'X, \gamma'X^2$  are possible since  $3 \nmid t$ .

Our observations on Proposition 2 and 3 lead to a cube root algorithm shown in Algorithm 1, whose complexity is  $O(\log^3 q)$  since the cost of the algorithm is several exponentiations in  $\mathbb{F}_q$ . In the algorithm, we try random invertible  $z \in \mathbb{F}_q[X]/\langle X^3 - a \rangle$  until we find  $z^t$  with at least two nonzero coefficients. Then, we apply repeated cubings to  $z^t$  until we have  $z^{3^m t} \in \mathbb{F}_q$  or  $\mathbb{F}_q \cdot X$  or  $\mathbb{F}_q \cdot X^2$  for some  $1 \leq m \leq s$ . Note that, since  $z^{3^s t} = z^{q-1} = 1$  when  $z$  is invertible, such  $m$  always exists once we have  $z^t$  with at least two nonzero coefficients. Because of Proposition 3, the probability of having only one nonzero coefficient in Step 6 is  $\frac{1}{3^{2s-1}}$ , and the probability of finding a cube root exactly after  $m$ -th iteration of the while-loop is  $\frac{1}{3^{2s-(2m+1)}} - \frac{1}{3^{2s-(2m-1)}}$

for  $1 \leq m \leq s - 1$ . The probability of finding a cube root after full iterations (i.e., after  $s$ -th iteration) is  $\frac{2}{3}$ . Therefore the expected number of iterations of the while-loop is

$$\sum_{m=1}^{s-1} m \left( \frac{1}{3^{2s-(2m+1)}} - \frac{1}{3^{2s-(2m-1)}} \right) + s \left( 1 - \frac{1}{3} \right) = s - \sum_{m=1}^s \frac{1}{3^{2m-1}} = s - \frac{3}{8} \left( 1 - \frac{1}{9^s} \right).$$

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**Algorithm 1** Refined Pocklington and Padró-Sáez Cube Root Algorithm

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**Input :** A cube  $a$  in  $\mathbb{F}_q$  with  $q - 1 = 3^s t$ ,  $\gcd(3, t) = 1$

**Output :**  $x$  satisfying  $x^3 = a$  in  $\mathbb{F}_q$

- 1: **if**  $q \equiv 4 \pmod{9}$  **then**  $x \leftarrow a^{\frac{2q+1}{9}}$  **and return**  $x$
  - 2: **if**  $q \equiv 7 \pmod{9}$  **then**  $x \leftarrow a^{\frac{q+2}{9}}$  **and return**  $x$
  - 3: Choose random  $\alpha, \beta, \gamma \in \mathbb{F}_q$  and let  $z := \alpha + \beta X + \gamma X^2 \in \mathbb{F}_q[X]/\langle X^3 - a \rangle$
  - 4: **if**  $N(z) = 0$  **then** go to STEP 3
  - 5:  $z \leftarrow z^t$
  - 6: **if**  $\alpha = \beta = 0$  or  $\beta = \gamma = 0$  or  $\gamma = \alpha = 0$  **then** go to STEP 3
  - 7: **while**  $\alpha\beta \neq 0$  or  $\beta\gamma \neq 0$  or  $\gamma\alpha \neq 0$  **do** //while at least two of  $\alpha, \beta, \gamma$  are nonzero//
  - 8:      $z_0 := \alpha_0 + \beta_0 X + \gamma_0 X^2 \leftarrow z$  (i.e.,  $\alpha_0 \leftarrow \alpha$ ,  $\beta_0 \leftarrow \beta$ ,  $\gamma_0 \leftarrow \gamma$ )
  - 9:      $z \leftarrow z^3$
  - 10: **if**  $\beta = \gamma = 0$  **then**  $x \leftarrow \frac{\alpha_0}{\beta_0}$
  - 11: **else if**  $\gamma = \alpha = 0$  **then**  $x \leftarrow -\frac{9\alpha\alpha_0\beta_0\gamma_0}{\beta}$
  - 12: **else** **then**  $x \leftarrow -\frac{\gamma}{9\alpha_0\beta_0\gamma_0}$
  - 13: **return**  $x$
- 

In the given algorithm, the probability that a randomly chosen  $z \in \mathbb{F}_q[X]/\langle X^3 - a \rangle$  is invertible (i.e.,  $N(z) \neq 0$ ) is  $\left(1 - \frac{1}{q}\right)^3$ . Therefore when the finite field  $\mathbb{F}_q$  is very large, one may safely assume  $N(z) \neq 0$ , and thus the STEP 4 in the algorithm may be omitted with error probability  $1 - \left(1 - \frac{1}{q}\right)^3 \approx \frac{3}{q}$ . In the event of the extremely unlucky case  $N(z) = 0$ , omitting the STEP 4 gives endless while-loop because one has  $z^{q-1} \neq 1$  if and only if  $N(z) = 0$ . Any way, the computational cost of the STEP 4 is just 11 multiplications in  $\mathbb{F}_q$  and is negligible compared with the total cost of the algorithm. Also, the probability that one may go back to the STEP 3 in the STEP 6 is  $\frac{1}{3^{2s-1}} \leq \frac{1}{27}$ , since one reaches the STEP 6 only if  $s \geq 2$  (i.e., if  $q \equiv 1 \pmod{9}$ ).

## 4 Comparison Results

We compared our proposed algorithm with two most well-known cube root algorithms in the finite field  $\mathbb{F}_q$ ; the AMM (Adleman-Manders-Miller) algorithm [4, 5, 6, 7] and the CM (Cipolla-Lehmer) algorithm [8, 9, 10, 11]. The complexity of the AMM cube root algorithm is  $O(\log^3 q + s^2 \log^2 q)$  where  $q - 1 = 3^s t$  with  $\gcd(3, t) = 1$ , and the complexity of the CM cube root algorithm is  $O(\log^3 q)$  which is same to the Pocklington and Padró-Sáez algorithm.

We used a standard version in [7] for the AMM implementation. For the Cipolla-Lehmer implementation, we used two algorithms; the algorithm of H. C. Williams [10] and the algorithm of K. S. Williams and K. Hardy [11]. The algorithm in [10] is a generalization to the  $r$ -th root

Table 1: Running time (in seconds) for cube root computation with  $p \approx 2^{2000}$

$s$	50	100	150	200	250	300
AMM [6, 7]	0.082	0.148	0.297	0.498	0.781	1.084
CM [10]	0.495	0.495	0.498	0.497	0.488	0.492
CM [11]	0.282	0.284	0.284	0.285	0.276	0.282
Proposed Alg.	0.236	0.235	0.235	0.236	0.234	0.233

Table 2: Running time (in seconds) for cube root computation with  $p \approx 2^{3000}$

$s$	50	100	150	200	250	300
AMM [6, 7]	0.150	0.292	0.519	0.842	1.294	1.746
CM [10]	1.363	1.350	1.395	1.382	1.352	1.465
CM [11]	0.756	0.744	0.790	0.778	0.750	0.796
Proposed Alg.	0.655	0.655	0.654	0.651	0.651	0.648

extraction (with the recurrence relation technique) of the original Cipolla-Lehmer square root algorithm [8, 9], and the algorithm in [11], a refinement of the algorithm in [10], has a better complexity for small values of  $r$ . Tables 1 and 2 show the implementation results with SAGE of the above mentioned 3 algorithms and our proposed one. The implementation was performed on Intel Core i7-4770 3.40GHz with 8GB memory.

For convenience, we used prime fields  $\mathbb{F}_p$  with two different size of primes  $p$ : 2000 and 3000 bits. Average timings of the cube root computations for 5 different inputs of cubic residue  $a \in \mathbb{F}_p$  are computed for those cases  $s = 50, 100, 150, \dots$ , etc. As one can see in the tables, the timings of the AMM increase drastically as  $s$  becomes larger, while the timings of the CM algorithms and our algorithm are independent of  $s$ . The tables also show that our proposed algorithm is consistently faster than the Cipolla-Lehmer. For example, when  $p \approx 2^{3000}$ , the average timing of the Cipolla-Lehmer in [11] is 0.769 (seconds) which are 20% slower than the average timing 0.652 (seconds) of the proposed algorithm.

## 5 Conclusion

We corrected some errors in the Pocklington and Padró-Sáez cube root algorithm in [2], and proposed a refined algorithm. The implementation result shows that the proposed algorithm is faster than the Adleman-Manders-Miller algorithm for large values of  $s$ , and is also consistently faster than the Cipolla-Lehmer algorithm. The difference between the Pocklington and Padró-Sáez algorithm and the Cipolla-Lehmer algorithm is that, though they have the same arithmetic complexity, the Pocklington and Padró-Sáez algorithm relies on the ring arithmetic in  $\mathbb{F}_q[X]/\langle X^3 - a \rangle$  which is isomorphic to  $\mathbb{F}_q \times \mathbb{F}_q \times \mathbb{F}_q$ , while the Cipolla-Lehmer algorithm relies on the arithmetic in the extension field  $\mathbb{F}_{q^3}$ . Therefore, to find a cube root, essentially one only needs to compute  $z^{q-1}$  in the Pocklington and Padró-Sáez while one has to compute  $z^{\frac{q^3-1}{q-1}} = z^{q^2+q+1}$  in the Cipolla-Lehmer [10, 11]. This difference of the exponents (of  $z$ ) explains the superior performance of the Pocklington and Padró-Sáez over the Cipolla-Lehmer. For the

quadratic case, there is no such difference, i.e.,  $z^{q-1}$  in the Pocklington and Padró-Sáez versus  $z^{q+1}$  in the Cipolla-Lehmer. We finally remark that, as far as we know, our implementation of the 3 major algorithms (the Adleman-Manders-Miller, the Cipolla-Lehmer and the Pocklington and Padró-Sáez) is the first one available in the literature.

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