# Construction of A New Class of Linear Multivariate Public Key Cryptosystem, K(I)SE(1)PKC

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**Abstract** In this paper, we present a new class of linear multivariate PKC referred to as K(I)SE(1)PKC. We shall show that K(I)SE(1)PKC, a linear multivariate PKC, can be sufficiently secure against any linear transformation attack due to the probabilistic structure. We show that the probabilistic structure can be successfully introduced by the use of the Chinese Remainder Theorem.

Key words Public Key Cryptosystem(PKC), Multivariate PKC, Linear PKC.

# 1. Introduction

Most of the multivariate PKC are constructed by the simultaneous equations of degree larger than or equal to 2  $[1] \sim [14]$ . In this paper, we shall try to construct a new class of multivariate PKC that is constructed by many sets of linear equations in a sharp contrast with the conventional multivariate PKC where a single set of simultaneous equations of degree more than or equal to 2 are used[15,16]. We show that our scheme is made invulnerable to Linear Transformation Attack (LT Attack) due to the probabilistic structure of choosing K sets of linear equations among N(>K) sets. We show that this probabilistic structure can be successfully introduced by the use of the Chinese Remainder Theorem. In the followings, we shall refer to the proposed linear multivariate PKC constructed on the basis of probabilistic structure as K(I)SE(1)PKC. We shall also present a more secure version of K(I)SE(1)PKC, referred to as  $K^*(I)SE(1)PKC$ .

In the followings, when the variable  $x_i$  takes on the actual value  $\tilde{x}_i$ , we shall denote the corresponding vector  $\boldsymbol{x} = (x_1, x_2, \cdots, x_n)$  as

$$\tilde{\mathbf{x}} = (\tilde{x}_1, \tilde{x}_2, \cdots, \tilde{x}_n). \tag{1}$$

The  $\tilde{y}, \tilde{z}(x)$  et al. will be defined in a similar manner.

#### 2. Construction of K(I)SE(1)PKC

#### 2.1 Generation of Set of Keys

Let the message vector  $\mathbf{m}$  over  $\mathbb{F}_2$  and the corresponding message polynomial m(x) be represented by

$$\boldsymbol{m} = (m_1, m_2, \cdots, m_n) \tag{2}$$

and

$$m(x) = m_1 + m_2 x + \dots + m_n x^{n-1},$$
 (3)

respectively.

Letting  $w_i(x)$  be the irreducible polynomial of degree  $\lambda$ , we define the following set of polynomials:

$$m(x) \equiv m_1(x) \mod w_1(x),$$
  

$$\equiv m_2(x) \mod w_2(x),$$

$$\vdots$$
  

$$\equiv m_N(x) \mod w_N(x).$$
(4)

We let  $m_i(x)$  be represented as

$$m_i(x) = m_{i1} + m_{i2}x + \dots + m_{i\lambda}x^{\lambda-1}.$$
 (5)

Letting  $G_i(x) = g_{i1} + g_{i2}x + \dots + g_{i\mu+1}x^{\mu}$  be a randomly generated primitive polynomial, we have

$$G_{i}(x)m_{i}(x) = u_{i}(x)$$
  
=  $u_{i1} + u_{i2}x + \dots + u_{i,\mu+\lambda}x^{\mu+\lambda-1}$ , (6)  
 $(i = 1, 2, \dots, N)$ .

Remark 1: As the  $G_i(x)$ 's are randomly generated and  $\mu$ , the degree of  $G_i(x)$  is made sufficiently large, we can safely assume that  $G_i(x)$ 's are mutually different. In the following we assume that the degree of  $G_i(x)$  is larger than or equal to  $\lambda$ , the degree of w(x).

In the followings we let  $\mu + \lambda$  be  $\eta$ , for simplicity. It is easy to see that  $u_{ij}$  is a linear equation with n message variables,  $m_1, m_2, \dots, m_n$ . Let  $u_i = (u_{i1}, u_{i2}, \dots, u_{i,\eta})$  be transformed as

$$(u_{i1}, u_{i2}, \cdots, u_{i,\eta}) \mathbf{A} = (k_{i1}, k_{i2}, \cdots, k_{i,\eta}),$$
 (7)

where A is a non-singular random matrix given by

$$\mathbf{A} = \begin{bmatrix} a_{11} & a_{21} & \cdots & a_{\eta 1} \\ a_{12} & a_{22} & \cdots & a_{\eta 2} \\ \vdots & \vdots & \ddots & \vdots \\ a_{1\eta} & a_{2\eta} & \cdots & a_{\eta\eta} \end{bmatrix}.$$
 (8)

Table 1 Examples of K(I)SE(1)PKC

	N	K	$_NC_K$	$\lambda$	$\mu$	$\eta$	$S_{PK}$ (KB)	c  bit
Ι	64	32	$1.8\times10^{18}$	64	64	128	2097	2048
П	64	32	$1.8\times10^{18}$	128	128	256	8389	8192
Ш	80	40	$1.1\times 10^{23}$	80	80	160	5120	3200
VI	100	50	$1.0\times 10^{29}$	100	100	200	12500	5000

Let  $\{k_{ij}\}$  be denoted by  $S_i$ . We now have the following set of keys.

Public key	:	$\{S_i\}.$
Secret key	:	$\{w_i(x)\}, \{G_i(x)\}, \{u_{ij}\}, A.$

#### 2.2 Example of K(I)SE(1)PKC

Let us define the following symbols:

 $f(\boldsymbol{m})$  : Polynomial over  $\mathbb{F}_2$ ,  $f_1m_1 + f_2m_2 + \cdots + f_nm_n$ .  $|f(\boldsymbol{m})|$  : Ambiguity (Entropy, information amount or size)

of  $f(\boldsymbol{m})$  (in bit), n. Let the sizes of  $m_i(x)$  and  $u_i(x)$  be denoted as  $|m_i(x)|$  and  $|u_i(x)|$  respectively. From Eqs. (5) and (6), these are given by

$$|m_i(x)| = \lambda |f(\mathbf{m})| = \lambda n \quad \text{(bit)} \tag{9}$$

and

$$|u_i(x)| = \eta |f(\boldsymbol{m})| = \eta n \quad \text{(bit)}. \tag{10}$$

Letting n be given by  $n = K\lambda$ , the size of the public key,  $S_{PK}$ , is given by

$$S_{PK} = n\eta N = K\lambda\eta N \quad \text{(bit)}.$$
 (11)

We assume here that an integer K satisfies

$$K < N - 1. \tag{12}$$

From the standpoint of security, it is recommended that  ${}_{N}C_{K}$  be made sufficiently large. For example, when N = 64, K = 32,  ${}_{64}C_{32}$  takes on  $1.83 \times 10^{18}$ , a sufficiently large value. We see that our scheme becomes invulnerable as  ${}_{N}C_{K}$  increases.

Several examples of K(I)SE(1)PKC is shown in Table 1. The coding rate is 0.5. The |c| is the size of the ciphertext.

## 2.3 Encryption and Decryption

Let us assume that for any K sets of linear equations,  $\{S_{i1}\}, \{S_{i2}, \}, \dots, \{S_{iK}\}$ , the least common multiple of the corresponding polynomials,  $w_{i1}(x), w_{i2}(x), \dots, w_{iK}(x)$ , LCM $\{w_{i1}(x), w_{i2}(x), \dots, w_{iK}(x)\}$ , satisfies

$$\operatorname{Deg}\left[\operatorname{LCM}\left\{w_{i1}(x), w_{i2}(x), \cdots, w_{iK}(x)\right\}\right] > \operatorname{Deg} m(x),$$
(13)

where  $deg\{A\}$  denotes the degree of A.

**Encryption Process:** 

#### Step 1:

Sender chooses K sets of linear simultaneous equations,  $S_i$ 's, from the N sets of linear equations in a totally random manner. Let these K sets of linear equations be denoted as  $S_1, S_2, \dots, S_K$ , for simplicity.

# Step 2:

Message values  $\tilde{m}_1, \tilde{m}_2, \dots, \tilde{m}_n$  are substituted into the equations in  $S_1, S_2, \dots, S_K$ , yielding the ciphertext,  $C_1, C_2, \dots, C_K$ .

## **Decryption Process:**

Step 1:

(

Letting the elements of ciphertext  $C_i$  be denoted as  $\tilde{k}_{i1}, \tilde{k}_{i2}, \cdots, \tilde{k}_{i,\eta}$ , we obtain

$$\tilde{k}_{i1}, \tilde{k}_{i2}, \cdots, \tilde{k}_{i,\eta}$$
 A<sup>-1</sup>  
=  $(\tilde{u}_{i1}, \tilde{u}_{i2}, \cdots, \tilde{u}_{i,\eta}).$  (14)

Step 2:

When  $G_j(x)|\tilde{u}_i(x)$ , for  $i = 1, 2, \dots, N$ , we decide that

$$\tilde{u}_i(x) = G_j(x)\tilde{m}_j(x). \tag{15}$$

Step 3:

We obtain  $\tilde{m}_j(x)$  as  $\tilde{u}_i(x)/G_j(x)$ .

Step 4

From  $\tilde{m}_1(x), \tilde{m}_2(x), \dots, \tilde{m}_K(x)$ , the original message  $\tilde{m}(x)$  is obtained based on the Chinese Remainder Theorem.

We have the following straight-forward theorem.

Theorem 1: Assuming that  $G_i(x)$ 's are mutually different, the signal spaces over  $\mathbb{F}_2$  generated by  $G_1(x), G_2(x), \dots, G_N(x)$  are disjoint, when  $\mu \geq \lambda$ .

## 3. Security Consideration

## 3.1 Attack based on estimating $\{G_i(x)\}$

Proof : Assuming that the following relation holds :

$$G_i(x)m_i(x) = G_j(x)m_j(x), \text{ for } j \neq i.$$
(16)

The  $G_i(x)$  and  $G_j(x)$  are mutually different irreducible polynomials of degree  $\mu$  and the degree  $\mu$  is larger than  $\lambda - 1$ , the degree of  $m_i(x)$  and  $m_j(x)$ . This fact is contradictory to Eq.(16), yielding the proof.

From Theorem 1, we see that in order to circumvent an exhaustive attack using a decoding table, the degrees of  $G_i(x)$  and w(x) should be sufficiently large. As a toy example, the decoding table for  $m(x) = m_1 + m_2 x + m_3 x^2$  and  $G(x) = x^2 + x + 1$  is shown in Table 2, where  $\mu = 2$ ,  $\lambda = 3$  and  $\eta = 5$ . We see that the size of table in the toy example is given by  $2^{\lambda}(\lambda + \eta) = 64$  bit.

The  $G_i(x)$  is an irreducible polynomial of dgree  $\mu$  randomly generated. For  $\lambda = \mu \gtrsim 64$ , it would become hard to estimate  $G_i(x)$  for the given  $S_i$ . It should be noted that

Table 2 An example of decoding table

$m_1$	$m_2$	$m_3$	$\mu_1$	$\mu_2$	$\mu_3$	$\mu_4$	$\mu_5$
0	0	0	0	0	0	0	0
0	0	1	0	0	1	1	1
0	1	0	0	1	1	1	0
0	1	1	0	1	0	0	1
1	0	0	1	1	1	0	0
1	0	1	1	1	0	1	1
1	1	0	1	0	0	1	0
1	1	1	1	0	1	0	1

 $\lambda = \mu \simeq 60$ , an exhaustive attack is required to use the tables of 22.5 EB. It should be also noted that for  $\lambda = \mu \simeq 40$ , the exhaustive attack can be realized using the reasonable size of tables of about 15 TB. Thus in K(I)·SE(1)PKC, the relation  $\mu \gtrsim 60$  is strictly required.

# **3.2** Attack on $\{G_i(x)\}$ and A

The transformation A is performed on  $\boldsymbol{u}_i = (u_{i1}, u_{i2}, \cdots, u_{i,\eta})$ . The sender chooses K sets of linear equations from  $\{S_i\}$ . Thus the estimating of the chosen K sets correctly becomes hard when  ${}_NC_K$  takes on a sufficiently large value.

However it should be noted that the secret Keys  $\{G_i(x)\}$ and A can be disclosed by the attack based on Gröbner bases calculation which will be referred to as GB attack. In the followings, we shall show that, in K(I)SE(1)PKC, the invulnerability of the secret key  $\{G_i(x)\}$  and A against the GB attack can be guaranteed.

In  $\{\tilde{k}_{ij}\}$ , the element  $\tilde{k}_{ij}$  can be given by

$$\tilde{k}_{ij} = (a_{j1}g_{i1} + a_{j2}g_{i2} + \dots + a_{j,\mu+1}g_{i,\mu+1})\tilde{m}_{i1} 
+ (a_{j2}g_{i1} + a_{j3}g_{i2} + \dots + a_{j,\mu+2}g_{i,\mu+2})\tilde{m}_{i2}$$
(17)
  
:

+  $(a_{j\lambda}g_{i1}+a_{j,\lambda+1}g_{i2}+\cdots+a_{j,\eta}g_{i,\mu+1})\widetilde{m}_{i\lambda}.$ 

We see that  $\tilde{m}_{ij}$  is a linear combination of the original messages  $\tilde{m}_1, \tilde{m}_2, \dots, \tilde{m}_n$ . Consequently the given  $\tilde{k}_{ij}$  is a linear combination of the termss  $a_{ju}g_{iv}$ ,  $(u = 1, \dots, \eta; v = 1, \dots, \mu + 1)$ , for the given  $\tilde{m}_1, \tilde{m}_2, \dots, \tilde{m}_n$ .

The number of variables,  $N_v$  and the number of equations,  $N_E$ , are given by

$$N_v = \eta^2 + (\mu + 1)N \tag{18}$$

and

$$N_E = N\eta,\tag{19}$$

respectively.

For example when  $\mu = 64$ ,  $\eta = 128$ , and N = 64 the number of variables and the number of equations are given by

 $N_v = 20544$  (20)

and

$$N_E = 8192$$
 (21)

respectively.

When  $\mu = 64$ ,  $\eta = 128$  and N is larger than 256, the following relation holds:

$$N_E > N_v. \tag{22}$$

In order to circumvent the situation such that Eq.(20) may hold, it is recommended to use several transformation matrices,  $A_I, A_{II}, A_{III}, \cdots$ , instead of the use of only one transformation matrix A. It is evident that the use of more than one transformation matrices will effectively increase the number of variables.

We conclude that the secret key can be made sufficiently secure against the GB attack.

# 4. $K^*(I)SE(1)PKC$

In this section, we shall present a more secure version of K(I)SE(1)PKC, referred to as  $K^*(I)SE(1)PKC$ , by adding a random error vector on each member of  $\{S_i\}$ . We shall also see that  $K^*(I)SE(1)PKC$  is able to improve the coding rate.

In this section, for simplicity, we assume that K sets  $S_1, S_2, \dots, S_K$  are randomly chosen from the set  $\{S_i\}$  under the contridition that  ${}_N C_K$  takes on a large value ( $\gtrsim 10^{18}$ ). Let us define the several symbols.

- $\begin{aligned} \boldsymbol{C}_i &: \quad \text{Ciphertext vector corresponding to } S_i = \{k_{ij}\}, \\ & \quad \left(\tilde{k}_{i1}, \tilde{k}_{i2}, \cdots, \tilde{k}_{i\eta}\right). \end{aligned}$
- $e_i$  : Error vector that is added on  $C_i$ .
- $C_i A^{-1}(x)$  : Polynomial representation of  $C_i A^{-1}$ .
- $e_i A^{-1}(x)$  : Polynomial representation of  $e_i A^{-1}$ .

 $w(\boldsymbol{e}_i)$  : Hamming weight of  $\boldsymbol{e}_i$ .

We assume that the vector having errors is decoded using the decoding table for  $m_i(x)$ ,  $T_i$ . An example of a decoding table is shown in Table 3. In Table 3, G(x) is given by  $G(x) = 1 + x + x^3$  and u(x) is represented as u(x) = $u_1 + u_2x + u_3x^2 + u_4x^3u_5x^4$ . The remainder  $r_1 + r_2x + r_3x^2$ is given by  $u(x) \mod G(x)$ .

Table 3 Example of Decoding Table ( $\mu = 3, \eta = 6$ )

$r_1$	$r_2$	$r_3$	$u_1$	$u_2$	$u_3$	$u_4$	$u_5$	$u_6$
1	0	0	1	0	0	0	0	0
0	1	0	0	1	0	0	0	0
0	0	1	0	0	1	0	0	0
1	1	0	0	0	0	1	0	0
0	1	1	0	0	0	0	1	0
1	1	1	0	0	0	0	0	1

The size of the decoding table,  $|T_i(x)|$ , is given by

$$|T_i(x)| = (\mu + \eta)\Gamma, \tag{23}$$

where  $\Gamma$  is given by

$$\Gamma = {}_{\eta}C_0 + {}_{\eta}C_1 + \dots + {}_{\eta}C_t.$$
<sup>(24)</sup>

We assume that  $w(e_i)$  satisfies the following relations:

$$0 \le w(\boldsymbol{e}_i) \le t \tag{25}$$

and

$$(\mu + \eta)\Gamma \lesssim 2^{30}.$$
 (26)

The vector  $\mathbf{k}_i = (k_{i1}, k_{i2}, \cdots, k_{i\eta})$  with an error can be represented by

$$\boldsymbol{k}_{i}^{(\alpha)} = \boldsymbol{k}_{i} + \boldsymbol{e}_{i}^{(\alpha)}, \qquad (27)$$

where  $\boldsymbol{e}_{i}^{(\alpha)}$  is an error vector of weight t or less.

The  $\boldsymbol{k}_{i}^{(\alpha)}$  is transformed and then divided by  $G_{i}(x)$  as

$$\boldsymbol{k}_{i}^{(\alpha)} \mathbf{A}^{-1}(x) = G_{i}(x)Q_{i}(x) + r_{i}^{(\alpha)}(x), \qquad (28)$$

where  $Q_i(x)$  is the quotient and  $r_i^{(\alpha)}(x)$  is the remainder.

In the decoding process,  $\mathbf{k}_i^{(\alpha)}$  is divided by  $G_1(x)$ ,  $G_2(x)$ ,  $\cdots$ ,  $G_N(x)$ . Namely the following divisions are performed.

$$k_{i}^{(\alpha)} A^{-1}(x) = G_{1}(x)Q_{1}(x) + r_{i1}(x)$$

$$= G_{2}(x)Q_{2}(x) + r_{i2}(x)$$

$$\vdots$$

$$= G_{j}(x)Q_{j}(x) + r_{ij}(x)$$

$$\vdots$$

$$= G_{N}(x)Q_{N}(x) + r_{iN}(x)$$
(29)

where  $Q_j(x)$  is the quotient and  $r_{ij}(x)$  is the remainder  $(j = 1, 2, \dots, N)$ .

It should be remainded here that  $\{G_j(x)\}\$  is the set of primitive polynomial of degree  $\mu$ , and  $\lambda$  is chosen as  $\lambda = \mu$ .

Theorem 1: In Eq.(27), the relation,

$$r_{ij}(x) \neq r_i^{(\alpha)}(x) \tag{30}$$

holds for  $j \neq i$ , where we let  $r_{ii}(x)$  be  $r_i^{(\alpha)}(x)$ .

Proof : Assuming that  $r_{ij}(x) = r_i^{(\alpha)}(x)$  for  $j \neq i$ , we then have

$$G_i(x)Q_i(x) = G_j(x)Q_j(x), \qquad (31)$$

which is a contradiction, yielding the proof.

From Theorem 1, it is easy to see that the following relation holds:

$$\{r_i^{(\alpha)}(x)\} \cap \{r_j^{(\alpha)}(x)\} = \phi, \text{ for } i \neq j.$$
(32)

In decoding process of  $k_i^{(\alpha)} \mathbf{A}^{-1}(x)$ , if  $k_i^{(\alpha)} \mathbf{A}^{-1}(x) \mod G_j(x)$  coincides with  $r_i^{(\alpha)}(x)$ , then error correction can be successfully performed on  $\mathbf{e}_i^{(\alpha)}$ . From Theorem 1, we see that the probability of erroneously decoding  $m_j(x)$ ,  $(j \neq i)$ ,

for the given  $k_i^{(\alpha)} \mathbf{A}^{-1}(x)$ ,  $P[\epsilon]$ , is given by

$$P[\epsilon] = 0, \tag{33}$$

yielding the ideal value.

Theorem 2: As  $G_i(x)$  is randomly generated primitive polynomial, when  $w(e_{ij}) = 1$ , for j = 1, 2, the error  $e_i$  can be successfully corrected.

Proof: Let two single errors be denoted by  $e_{i1}(x)$  and  $e_{i2}(x)$  respectively. We then have

$$e_{i1}(x) = G_i(x)Q_{i1}(x) + R_{i1}(x)$$
(34)

and

$$e_{i2}(x) = G_i(x)Q_{i2}(x) + R_{i2}(x).$$
(35)

Assuming that  $R_{i1}(x) = R_{i2}(x)$ , then

$$e_{i1}(x) + e_{i2}(x) = G_i(x)(Q_{i1}(x) + Q_{i2}(x)),$$
(36)

which is a contradiction as the weight of  $e_{i1} + e_{i2}$  is 2, yielding proof.

Theorem 3: When  $2 \leq w(e_i) \leq t$ , the probability that  $e_i$  is correctly decoded, P[C], is given by

$$P[C] = (1 - \Gamma \cdot 2^{-\mu})^{\Gamma - 1}$$
(37)

where 
$$\Gamma = {}_{\eta}C_0 + {}_{\eta}C_1 + \dots + {}_{\eta}C_t$$
.

From Theorem 3, we see that when  $(\Gamma - 1)\Gamma \cdot 2^{-\mu} \ll 1$ , the error of Hamming weight t or less can be corrected with sufficiently large probability. For example for t = 4,  $\mu = 128$ ,  $\eta =$ 256, the probability P[C] is given by  $p[C] = 1 - 4.4 \times 10^{-24}$ . It should be noted that for such parameters as N = 16, K = 8,  $\lambda = 128$ ,  $\eta = 256$ , the size of the public key  $S_{PK}$  is given by

$$S_{PK} = 524 \text{ KB},$$
 (38)

sufficiently small size, compared with those in Table 1.

Remark 2: Let us suppose that K sets  $S_1, S_2, \dots, S_K$  are randomly chosen from the N sets of linear simultaneous equations  $\{S_i\}$ . The total number of choices of different Ksets,  $\binom{N}{K}$  can be made sufficiently small, because the random errors are added on the sets  $S_1, S_2, \dots, S_K$ . Assuming that single error is added on each set of  $S_1, S_2, \dots, S_K$ , the total number of different choices of K sets in addition to K single errors,  $N_E$ , is given by

$$N_E = \binom{N}{K} \eta^K. \tag{39}$$

For example, for N = 16, K = 8,  $\eta = 256$ ,  $N_E$  takes on the value of  $2 \times 10^{23}$ , sufficiently large value. It should be noted that in K<sup>\*</sup>(I)SE(1)PKC the size of public key can be made

Remark 3 : As the K single errors can be decoded correctly, these single errors can be used for the transmitting of the message. The number of different ways of choices of the set of simultaneous equations can be also used for the transmission of the message. The total number of these additional messages is evidently given by  $\log_2 N_E$ . For example, for N = 16, K = 8,  $\eta = 256$ , t = 1, the messages of  $\log_2 N_E \simeq 77$  bits can be transmitted. Thus the coding rate can be improved as follows:

$$\rho = \frac{125}{256} \rightarrow \rho = \frac{128 + 77}{256} = 0.80.$$
(40)

## 5. Conclusion

We have presented a new class of multivariate PKC. We have shown that our scheme is invulnerable to the various attack including LT(Linear Transformation) attack, due to the probabilistic structure that can be successfully introduced by the using of the Chinese Remainder Theorem.

We have shown that the K(I)SE(1)PKC has the following features.

(i) The ciphertext can be made secure against any attack provided that the degree of  $G_i(x)$  is made sufficiently large ( $\gtrsim 60$ ) and  ${}_N C_K$  is also made sufficiently large ( $\gtrsim 10^{18}$ ).

(ii) One of the possible attack on the secret key would be the GB attack, one of the most strong attack on the multivariate PKC. However in K(I)SE(1)PKC, the number of variables constituting the quadratic polynomial takes on the extemely large value of at least  $10^4$ , while the number of equations is about several thousands.

Thus we conclude that K(I)SE(1)PKC could be one of the candidates of the secure PKC's.

We have also presented a more secure version of K(I)SE(1)PKC,  $K^*(I)SE(1)PKC$ .

We have shown that the security of  $K^*(I)SE(1)PKC$ against the exhaustive attack can be improved due to the presence of error vector.

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