

# On the round complexity of black-box constructions of commitments secure against selective opening attacks

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## Abstract

Selective opening attacks against commitment schemes occur when the commitment scheme is repeated in parallel and an adversary can choose depending on the commit-phase transcript to see the values and openings to some subset of the committed bits. Commitments are secure under such attacks if one can prove that the remaining, unopened commitments stay secret.

We prove the following black-box constructions and black-box lower bounds for commitments secure against selective opening attacks for parallel composition:

1. 3 (resp. 4) rounds are necessary to build computationally (resp. statistically) binding and computationally hiding commitments.
2. There is a black-box construction of  $(t+3)$ -round statistically binding commitments secure against selective opening attacks based on  $t$ -round stand-alone statistically hiding commitments.
3.  $O(1)$ -round statistically-hiding commitments are equivalent to  $O(1)$ -round statistically-binding commitments.

Our lower bounds improve upon the parameters obtained by the impossibility results of Bellare *et al.* (EUROCRYPT '09), and are proved in a fundamentally different way, by observing that essentially all known impossibility results for black-box zero-knowledge can also be applied to the case of commitments secure against selective opening attacks.

In addition to the impossibility results mentioned above, we also rule out the existence of commitments with zero statistical binding error and receiver public-coin commitments for parallel composition.

**Keywords:** commitments, black-box lower bounds, zero knowledge, selective opening attacks, parallel composition

# 1 Introduction

Commitment schemes have a wide array of applications in cryptography, one of the most notable being the construction of zero knowledge protocols [13, 4]. A problem that arises in the use of commitment schemes is whether their hiding property holds when composed in parallel: if some subset of the committed messages are opened, do the remaining unopened messages remain secure? This question arose early in the study of zero knowledge protocols, and is also natural in other cryptographic contexts where commitments are used as building blocks for protocols that might be then used in parallel (*e.g.* secure multi-party computation, etc.).

Although naively one might think that because commitments are hiding that no additional information should be leaked by composing them, nevertheless it is unknown how to prove that standard stand-alone commitments (*e.g.* [17]) remain hiding when composed.

More formally, a selective opening attack on a commitment scheme allows a cheating receiver to interact in  $k$  parallel commitments, and then ask the sender to open some subset  $I \subseteq [k]$  of the commitments. The question is whether the unopened messages remain hidden in the following sense: is there a simulator strategy for every cheating receiver strategy that outputs a commit-phase transcript, a set  $I \subset [k]$ , and decommitments to  $(b_i)_{i \in I}$  that is indistinguishable from the output of the cheating receiver with an honest sender?

In this paper we show that techniques both for constructions and lower bounds from the study of zero knowledge protocols can be applied to the study of commitments secure against selective opening attacks. We study the minimal round complexity needed to construct such commitments, and give solutions for commitments secure against selective opening attacks that are optimal or nearly optimal up to small factors.

## 1.1 Our results

Throughout this work we consider parallel composition, which we denote by PAR. We let CB (resp. SB, PB) denote computational (resp. statistical, perfect) binding and CH (resp. SH) denote computational (resp. statistical) hiding. We give the following construction:

**Theorem 1.1.** *There is a black-box construction that uses a  $t$ -round stand-alone SH commitments to build a  $(t + 3)$ -round PAR-SB commitments exist.*

In particular, this implies that collision-resistant hash functions (or even just 2-round statistically hiding commitments) suffice to construct 5-round PAR-SB commitments.

Assuming the proof of security for such a commitment scheme is given by a black-box simulator, we prove the following lower bounds:

**Theorem 1.2** (Impossibility results, informal). *The following hold relative to any oracle:*

1. *There is no 2-round PAR-CBCH commitment.*
2. *There is no 3-round PAR-SB commitment.*
3. *There is a black-box reduction that uses a  $O(1)$ -round PAR-SB commitment to build a  $O(1)$ -round statistically hiding commitment.*

We stress that besides the constraint that the simulator be black-box, these results are otherwise *unconditional*. Namely, [Theorem 1.2](#) implies that no such commitments exist in the plain model (without oracles), but also implies that such commitments do not exist even in say the random oracle model (or stronger oracle models), where *a priori* one might have hoped to bypass impossibility results in the plain model.

Combining the second item of [Theorem 1.2](#) with the main theorem of [\[14\]](#), which proves that there is no black-box reduction building a  $o(n/\log n)$ -round statistically hiding commitment from one-way permutations, we obtain the following corollary:

**Corollary 1.3.** *There is no black-box reduction that uses a one-way permutation to build a  $O(1)$ -round PAR-SB commitment.*

Wee [\[20\]](#) independently proved via different techniques a theorem similar to [Corollary 1.3](#) for the very closely related case of trapdoor commitments.

In addition to the above impossibility results, we also prove:

**Theorem 1.4** (Informal). *Relative to any oracle, there exists no PAR-PB commitments nor receiver public-coin PAR-CBCH commitments.*

## 1.2 Comparison to previous constructions

Notions related to security against selective opening attacks have previously been studied in the literature. Security against selective opening is closely related to chameleon blobs [\[5, 6\]](#), trapdoor commitments [\[11\]](#), and equivocable commitments [\[2, 9, 8\]](#). Roughly speaking, these notions all allow a simulator that can generate commit-phase transcripts that can be opened in many ways. Indeed, our construction will be based on the equivocable commitment of [\[8\]](#).

Security against selective opening may be weaker than the notions above, and was directly studied in [\[10, 3\]](#). Bellare *et al.* [\[3\]](#) give a construction of a scheme that is CC-SB secure, but this construction is non-black-box and requires applying a concurrent zero knowledge proof on a statement regarding the code implementing a one-way permutation. In contrast, our construction is fully black-box.

**Remark 1.5** (Equivalence of statistical hiding and statistical binding). In this work we only study commitments with computational hiding. [\[3\]](#) already noted that stand-alone SH commitments satisfy a notion of PAR-SH security based on indistinguishability (this notion is different from ours). [\[18\]](#) a construction of 3-round PAR-SH commitments that uses black-box simulation and assumes a (strong) version of trapdoor commitments that is realizable say from the discrete logarithm assumption.

With Item 2 of [Theorem 1.2](#), this implies that constant-round statistical hiding and constant-round statistical binding are *equivalent* via black-box reductions when security against selective opening attacks is required. This contrasts sharply with the stand-alone case, as 2-round statistically binding commitments are equivalent to one-way functions, but no black-box reduction can build  $o(n/\log n)$ -round statistically hiding commitment from one-way functions [\[14\]](#).

## 1.3 Comparison to previous lower bounds

Bellare *et al.* [\[3\]](#) proved that non-interactive commitments and perfectly binding commitments secure against selective opening attacks cannot be based on *any* black-box cryptographic assumption. Our lower bounds are stronger than theirs in that we can rule out 2- or 3-round rather than non-interactive commitments, as well as ruling out certain types of commitment with non-zero statistical binding error. However, our proof *technique* is incomparable to theirs.

**Ways in which our lower bounds are stronger:** first, the lower bounds of [\[3\]](#) assume black-box access to a cryptographic primitive, and therefore do not apply to constructions based on *concrete assumptions* (e.g. factoring, discrete log, lattice problems) where one might hope to exploit the specific structure of those problems to achieve security. In contrast, our results immediately rule out all constructions in the plain model.

Second, the lower bounds of [3] prove that non-interactive and perfectly binding commitments secure against selective opening attacks are impossible with respect to a very specific message distribution *that is defined in terms of a random oracle*. One could argue that the message distribution they consider is artificial and would not arise in applications of these commitments. In particular, it may suffice for applications to build commitments that are secure only for particular natural message distributions, such as the uniform distribution or the distributions encountered when using commitments to build zero knowledge proofs for **NP**. [3] does not rule out the existence of commitments that are secure only for these message distributions, while our impossibility results do and in fact apply simultaneously to all message distributions satisfying what we argue are very natural constraints (see Definition 2.5). In particular, the results of [3] also use the assumptions in Definition 2.5.

**Ways in which our lower bounds are weaker:** our results are weaker because they only apply to constructions with black-box simulators, *i.e.* we require that there exists a single simulator that works given black-box access to any cheating receiver. The results of [3] hold even for slightly non-black-box simulation techniques: they only require that for every cheating receiver oracle algorithm  $(\text{Rec}')^{(\cdot)}$  that accesses the underlying crypto primitive as a black-box, there exists an efficient oracle algorithm  $\text{Sim}^{(\cdot)}$  that accesses the underlying crypto primitive as a black box that generates an indistinguishable transcript.<sup>1</sup>

#### 1.4 Our techniques

**Our construction** for parallel composition is based on the equivocal commitment scheme of [8].

**Our lower bounds** are proven by observing that most known lower bounds for zero knowledge (*e.g.* [12, 16, 7, 15, 19]) extend naturally to the case of commitment schemes. Lower bounds for zero knowledge show that if a zero knowledge proof for  $L$  satisfies certain restrictions (*e.g.* 3 rounds, constant-round public coin [12], etc.), then  $L \in \mathbf{BPP}$ .

As was observed by [10, 3], plugging a  $t$ -round PAR-CBCH commitment into the GMW zero knowledge protocol for **NP** allows the zero knowledge property to be preserved under parallel repetition, thus allowing one to reduce soundness error while preserving zero knowledge and without increasing round complexity. Furthermore, the resulting protocol has  $t + 2$  rounds, and has a black-box simulator if the commitment had a black-box simulator. This immediately implies the following:

**Proposition 1.6** ([12], weak impossibility of PAR-CBCH, informal). *In the plain model, there exist no black-box simulator non-interactive or constant-round public-coin PAR-CBCH commitment schemes.*

To see why, suppose there were such a scheme, then by the above discussion one would obtain either a 3-round or constant-round public-coin zero knowledge argument for **NP** with a black-box simulator that remains zero knowledge under parallel repetition. By [12], this implies that  $\mathbf{NP} = \mathbf{BPP}$ . But this contradicts the existence of a PAR-CBCH commitment scheme, since by the Cook-Levin reduction we can use an algorithm solving **NP** to break any commitment.

Our results improve upon Proposition 1.6 as they apply to broader categories of commitments (*e.g.* 2-round vs. non-interactive). In addition, Proposition 1.6 uses the Cook-Levin reduction

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<sup>1</sup>Because it still requires that the crypto primitive be treated as an oracle, [3] do *not* rule out techniques such as Barak’s simulator for constant-round public-coin zero-knowledge [1], because the simulator there includes a PCP encoding of the code of the underlying cryptographic primitive, and thus treats the *crypto primitive itself* (and not just the receiver algorithm calling the crypto primitive) in a non-black-box way.

and therefore does not apply when considering schemes that might use random oracles. In contrast, [Theorem 1.2](#) does hold relative to any oracle, and in the case of Item 3 of [Theorem 1.2](#), is *black-box*. This is important for two reasons: first, [Proposition 1.6](#) does not say whether such constructions are possible in the random oracle model, which is often used to prove the security of schemes for which we cannot prove security in the plain model. Second, if we want to compose our impossibility result with other black-box lower bounds, then our impossibility result had better also be black-box. For example, in order to obtain [Corollary 1.3](#) we must combine Item 3 of [Theorem 1.2](#) with the black-box lower bound of Haitner *et al.*. This is only possible if Item 3 of [Theorem 1.2](#) is a black-box reduction, which would not be true using the approach of the weak impossibility result [Proposition 1.6](#).

To prove [Theorem 1.2](#), we construct what we call “equivocal senders”: senders that run the commit phase without knowing the bits that must be revealed. We show that the existence of such equivocal senders implies that binding can be broken. We then construct equivocal senders for various kinds of protocols by applying the proof strategy for zero knowledge lower bounds originally outlined by Goldreich and Krawczyk [12]. By arguing directly, we avoid the Cook-Levin step in [Proposition 1.6](#) and therefore our results hold relative to any oracle.

## 1.5 Subsequent work

The original version of this paper [21] claimed stronger versions of the results that were subsequently shown to be incorrect Ostrovsky et al. [18]. In particular, the original version claimed that 4 rounds (resp. 5 rounds) are necessary for PAR-CBCH (resp. PAR-SH), but this implicitly assumed that the sender sends the last message of the commit phase. As was shown in [18], one can reduce the number of rounds by allowing the receiver to speak last in the commit phase. Namely, it was proved in [18] that 3 rounds suffice for computational binding, and it was subsequently shown by the author in [22] that 4 rounds suffice for statistical binding.

The original version claimed  $\omega(t \log n)$  concurrently-secure commitments under a strong definition of concurrent selective-opening attack security, but it was shown in [18] that this notion is not achievable. The original also claimed lower bounds for concurrent security, but these are superseded by the impossibility result of [18].

The original version of this paper claimed a construction of 4-round PAR-CBCH commitments, but a problem in the proof of binding leaves open whether the construction works (essentially, the protocol was potentially vulnerable to a malleability attack). This problem with the binding of the PAR-CBCH protocol was also discovered independently by the authors of [18].

## 2 Preliminaries

For a random variable  $X$ , we let  $x \leftarrow_{\mathbb{R}} X$  denote a sample drawn according to  $X$ . We let  $U_k$  denote the uniform distribution over  $\{0, 1\}^k$ . For a set  $S$ , we let  $x \leftarrow_{\mathbb{R}} S$  denote a uniform element of  $S$ . Let  $2^S$  denote the set of all subsets of  $S$ . All security definitions in this paper are with respect to non-uniform circuits. We say that an event occurs with overwhelming probability if it occurs with probability  $1 - n^{-\omega(1)}$ , and that it occurs with negligible probability if it occurs with probability  $n^{-\omega(1)}$ . Two families of random variables  $(X_n)_{n \in \mathbb{N}}, (Y_n)_{n \in \mathbb{N}}$  over  $\{0, 1\}^n$  are computationally indistinguishable, or equivalently  $X \approx_c Y$ , if for all circuits  $C$  of size  $\text{poly}(n)$  it holds that  $|\Pr[C(X) = 1] - \Pr[C(Y) = 1]| \leq n^{-\omega(1)}$ .

### 2.1 Commitment schemes

A commitment scheme is a two-phase interactive protocol between a sender and a receiver. They are a digital analogue of locked safes: in the *commit phase*, the sender puts his message

inside the safe, locks the safe, and sends it to the receiver without the key. Thus, after the commit phase the sender can only reveal the message he committed to (the commitment is binding), but without the key the receiver has no idea what that message is (the commitment is hiding). In the *opening* or *decommit* phase, the sender reveals the key to the receiver who can then learn the value of the message and be assured that it was exactly what the sender originally committed to. It is well-known that a commitment can be statistically binding or statistically hiding (*i.e.* secure even against unbounded adversaries), but not both.

We formally define commitments for single-bit messages; since we will be concerned with commitments that are composable, multi-bit messages can be handled by just repeating the single-bit protocol in parallel.

**Definition 2.1.** A  $t$ -round (stand-alone) commitment protocol is a pair of efficient algorithms **Send** and **Rec**. Given a sender input  $b \in \{0, 1\}$ , we define:

1. The *commit phase* transcript is  $\tau = \langle \text{Send}(b; \omega_{\text{Send}}), \text{Rec}(\omega_{\text{Rec}}) \rangle$  where  $\omega_{\text{Send}}, \omega_{\text{Rec}}$  are the random coins of the sender and receiver, respectively. Exactly  $t$  messages are exchanged in the commit phase  $t$ .
2. The *decommit phase* transcript consists of **Send** sending  $(b, \text{open})$  to **Rec**.  $\text{Rec}(\tau, b, \text{open}) = 1$  if  $\text{open}$  is a valid opening, and outputs 0 otherwise.

**Notation and variable definitions:** We assume that a commitment scheme is put in a canonical form, where each party alternates speaking. We assume the number of rounds is even and the receiver speaks first. If the number of rounds is  $2t$ , then we label the sender's messages  $\alpha_1, \dots, \alpha_t$  and the receiver's messages  $\beta_1, \dots, \beta_t$ , and we let  $\alpha_{[i]} = (\alpha_1, \dots, \alpha_i)$  and likewise for  $\beta_{[i]}$ . For a commitment protocol  $(\text{Send}, \text{Rec})$ , we write that the receiver's  $i$ 'th response  $\beta_i$  is given by computing  $\beta_{[i]} = \text{Rec}(\alpha_{[i-1]}; \omega)$  where  $\alpha_{[i-1]}$  are the first  $i - 1$  sender messages, and  $\omega$  are the receiver's random coins. We let  $\text{Rec}(\perp; \omega) = \beta_1$  denote the first receiver message.

Let  $k$  denote the number of parallel repetitions of a commitment protocol. Let  $n$  denote the security parameter of the protocol. Given a stand-alone commitment  $(\text{Send}, \text{Rec})$ , let  $\text{Send}^k$  denote the  $k$ -fold repeated sender. Let  $\text{Rec}^k$  denote the  $k$ -fold parallel receiver. Underlined variables denote vectors of message bits (*e.g.*  $\underline{b} \in \{0, 1\}^k$ ) and plain letters with indices the bit at each coordinate (*e.g.*  $b_i$  is the  $i$ 'th bit of  $\underline{b}$ ).

### 2.1.1 Binding

**Definition 2.2** (Binding). A commitment scheme  $(\text{Send}, \text{Rec})$  is computationally (resp. statistically) binding if for all polynomial-time (resp. unbounded) sender strategies  $\text{Send}'$ , only with negligible probability can  $\text{Send}'$  interact with an honest  $\text{Rec}$  to generate a commit-phase transcript  $\tau$  and then produce  $\text{open}, \text{open}'$  such  $\text{Rec}(\tau, 0, \text{open}) = 1$  and  $\text{Rec}(\tau, 1, \text{open}') = 1$ . A scheme is *perfectly* binding if the above probability of cheating is 0.

It is straight-forward to prove that all the variants of the binding property are preserved under parallel composition.

### 2.1.2 Hiding under selective opening attacks

We only study the case of computational hiding (see [Remark 1.5](#)). In the following,  $\mathcal{I} \subseteq 2^{[k]}$  is a family of subsets of  $[k]$ , which denotes the set of legal subsets of commitments that the receiver is allowed to ask to be opened.

**Definition 2.3** (Hiding under selective opening;  $k$ -fold parallel composition security game). Sender input:  $\underline{b} \in \{0, 1\}^k$ . Let  $\text{Rec}'$  be the (possibly cheating) sender.

1.  $\text{Send}^k, \text{Rec}'$  run  $k$  executions of the commit phase in parallel using independent random coins, obtaining  $k$  commit-phase transcripts  $\tau^k = (\tau_1, \dots, \tau_k)$ .
2.  $\text{Rec}'$  chooses a set  $I \leftarrow_{\mathcal{R}} \mathcal{I}$  and sends it to  $\text{Send}^k$ .
3.  $\text{Send}^k$  sends  $(b_i, \omega_i)$  for all  $i \in I$ , where  $\omega_i$  is an opening of the  $i$ 'th commitment.

In [Item 2](#), the honest receiver is defined to pick  $I \in \mathcal{I}$  uniformly, while a malicious receiver may pick  $I$  adversarially.

**Definition 2.4** (Hiding under selective opening, parallel composition). Let  $\mathcal{I} \subseteq 2^{[k]}$  be a family of subsets and  $\underline{\mathcal{B}}$  be a family of message distributions over  $\{0, 1\}^k$  for all  $k$ . Let  $(\text{Send}, \text{Rec})$  be a commitment and  $\text{Sim}_k$  be a simulator. We say that  $(\text{Send}, \text{Rec})$  is secure against selective opening attacks for  $(\mathcal{I}, \underline{\mathcal{B}})$  if for all  $k \leq \text{poly}(n)$ :

- Let  $\langle \text{Send}^k(\underline{b}), \text{Rec}' \rangle = (\tau^k, I, \{(b_i, \omega_i)\}_{i \in I})$  be the complete interaction between  $\text{Rec}'$  and the honest sender, including the commit-phase transcript  $\tau^k$ , the subset  $I$  of coordinates to be opened and the openings  $(b_i, \omega_i)_{i \in I}$ .
- Let  $(\text{Sim}_k^{\text{Rec}'} \mid \underline{b})$  denote the following: first,  $\text{Sim}_k^{\text{Rec}'}$  interacts with  $\text{Rec}'$  (without knowledge of  $\underline{b}$ ) and outputs a subset  $I$  of bits to be opened. Then  $\text{Sim}_k$  is given  $\{b_i\}_{i \in I}$ . Using this,  $\text{Sim}_k$  interacts with  $\text{Rec}'$  some more and outputs a commit-phase transcript  $\tau^k$ , the set  $I$ , and the openings  $\{(b_i, \omega_i)\}_{i \in I}$ .
- It holds that  $(\text{Sim}_k^{\text{Rec}'} \mid \underline{b}) \approx_c \langle \text{Send}^k(\underline{b}), \text{Rec}' \rangle$  where  $\underline{b} \leftarrow_{\mathcal{R}} \underline{\mathcal{B}}$ .

**Definition 2.5.** We say that  $(\mathcal{I}, \underline{\mathcal{B}})$  is *non-trivial* if (the uniform distribution over)  $\mathcal{I}, \underline{\mathcal{B}}$  are efficiently samplable, it holds that (1)  $|\mathcal{I}| = n^{\omega(1)}$  and (2)  $\Pr_{I \leftarrow_{\mathcal{R}} \mathcal{I}}[H_{\infty}(\underline{\mathcal{B}}_I) \geq 1/\text{poly}(n)] \geq 1/\text{poly}(n)$ .

Here  $\underline{\mathcal{B}}_I$  is the joint distribution of bits  $\underline{\mathcal{B}}_i$  for  $i \in I$ . Property 1 says that if the receiver asks for a random set in  $\mathcal{I}$  to be opened, then the sender cannot guess the set with noticeable probability. This restriction is natural because in many contexts if the sender can guess the set to be opened then it can cheat in the larger protocol where the commitment is being used (*e.g.* in a zero knowledge proof). Property 2 says that with noticeable probability over the choice of  $I$ , there is non-negligible entropy in the bits revealed. This is very natural as otherwise any receiver is trivially simulable since it always sees the same constant bits. This non-triviality condition suffices for all our lower bounds except [Item 3](#) of [Theorem 1.2](#); see [Section 4](#) for further discussion.

**Stronger definitions of hiding** Our definitions are chosen to be as weak as possible in order to make our lower bounds stronger. Nevertheless, our positive results also satisfy a stronger definition of security, where security holds simultaneously for all  $\mathcal{I}, \underline{\mathcal{B}}$ . For such a notion, we prepend STR to the name of the security property (*e.g.* STR-PAR-SB).

## 2.2 Inaccessible entropy

All our definitions here are taken from [\[15\]](#), and we refer the reader there for motivation, intuition, and lemmas regarding how they are manipulated. Let  $\mathsf{A}, \mathsf{B}$  denote interactive TM's, and let  $A_i, B_i$  be the random variable describing  $i$ 'th message sent by  $\mathsf{A}, \mathsf{B}$  respectively. We note that [\[15\]](#) denote “smoothed” versions of entropy that take into account  $\mathsf{A}, \mathsf{B}$  that can abort; for simplicity we define our notions without this subtlety.

**Definition 2.6.** Given a  $2t$ -round interactive protocol  $(A, B)$ , we define the sample-entropy of a transcript  $\tau = \langle A, B \rangle = (a_1, b_1, \dots, a_t, b_t)$  from  $A$ 's point of view to be

$$\text{RealH}_A(\tau) = \sum_{i=1}^t -\log(\Pr[A_i = a_i \mid A_1 = a_1, B_1 = b_1, \dots, A_{i-1} = a_{i-1}, B_{i-1} = b_{i-1}])$$

We say that the  $A$  has real min-entropy  $k$  if

$$\Pr_{\tau=\langle A, B \rangle} [\text{RealH}_A(\tau) \geq k] \geq 1 - n^{-\omega(1)}$$

In our setting, typically  $A$  will be the receiver and  $B$  will be the sender. We write  $A$  before  $B$  as this is the convention used in [15].

To define accessible entropy for interactive protocols, we first need to define a failure-insensitive measure of entropy as follows:

**Definition 2.7.** For random variables  $X, Y$  where  $X$  may be a special failure symbol  $\perp$ , we define for each  $x \in \text{supp}(X), y \in \text{supp}(Y)$ :

$$H_X^*(x) = \begin{cases} \log \frac{1}{\Pr[X=x \mid X \neq \perp]} & \text{if } x \neq \perp \\ 0 & \text{if } x = \perp \end{cases}$$

$$H_{X|Y}^*(x \mid y) = \begin{cases} \log \frac{1}{\Pr[X=x \mid Y=y, X \neq \perp]} & \text{if } x \neq \perp \\ 0 & \text{if } x = \perp \end{cases}$$

**Definition 2.8.** Let  $(A, B)$  be a  $2t$ -round interactive protocol. Let  $A^*$  be an interactive TM, which tosses random coins  $s_i$  in round  $i$ .  $A^*$  expects queries  $(a_{[i-1]}, b_{[i-1]})$  from  $B$ , and replies with  $(a_i, w_i)$  where  $a_{[i]} = A(q; w_i)$  is consistent with the  $a_{[i-1]}$  contained inside  $q$ . Define a view  $v = (s_0, b_1, a_1, w_1, s_1, \dots, b_t, a_t, w_t, s_t)$ . Define

$$\Gamma_i^{A, A^*}(v, s_i) = \begin{cases} a_i & \text{if } A^*(s_0, b_1, a_1, w_1, s_1, \dots, b_{i-1}, a_{i-1}, w_{i-1}, s_{i-1}, b_i; s_i) = (a_i, w_i) \text{ and} \\ & w_i \text{ is an } A\text{-consistent witness for } (b_1, a_1, s_1, \dots, b_i, a_i) \\ \perp & \text{else} \end{cases}$$

Define the accessible sample-entropy of a view  $v$  as follows:

$$\text{AccH}_{A, A^*}(v) = \sum_{i=1}^t H_{A, A^*}^*(\Gamma_i^{A, A^*}(v, S_i))$$

We say that  $A$  has context-independent accessible max-entropy at most  $k$  if there is no efficient  $A^*$  and efficient predicate success such that:

1. For any view  $v$ ,  $\text{success}(v)$  implies that  $v$  is consistent with  $A$  (i.e. for all  $i$ ,  $A(b_{[i]}; w_i) = a_{[i]}$ ).
2.  $\Pr_{v=\langle A^*, B \rangle} [\text{success}(v)] \geq 1/\text{poly}(n)$ .
3. For all (possibly inefficient)  $B^*$ , it holds that

$$\Pr_{v=\langle A^*, B^* \rangle} [-\text{success}(v) \text{ or } \text{AccH}_{A, A^*}(v) > k] > 1 - n^{-\omega(1)}$$



### 3 Constructions

Di Crescenzo and Ostrovsky [8] (see also [9]) showed how to build an *equivocal* commitment scheme. Equivocal means that for every cheating receiver  $\text{Rec}'$ , there exists a simulator that generates a commit-phase transcript that is computationally indistinguishable from a real transcript, but which the simulator can decommit to both 0 and 1. Equivocation seems even stronger than STR-PAR-CBCH security, except that STR-PAR-CBCH explicitly requires security to hold in many parallel sessions. Although it is not clear how to generically convert any stand-alone equivocal commitment to an equivocal commitment that is composable in parallel, the particular construction of Di Crescenzo and Ostrovsky can be composed by using a suitable preamble.

The DO construction consists of a preamble, which is a coin-flipping scheme that outputs a random string, followed by running Naor's commitment based on OWF [17] using the random string of the preamble as the receiver's first message.

**Protocol 3.1** ([8, 9, 17]). Sender's bit:  $b$ . Let  $G : \{0, 1\}^n \rightarrow \{0, 1\}^{3n}$  be a PRG.

**Preamble:** Use a coin-flipping protocol to obtain  $\sigma \leftarrow_{\text{R}} \{0, 1\}^{3n}$ .

**Commit phase:** The sender picks random  $s \leftarrow_{\text{R}} \{0, 1\}^n$  and sends  $c = (\sigma \wedge b) \oplus G(s)$  (where we use the notation  $(\sigma \wedge b)_i = \sigma_i \wedge b$ ).

**Decommit phase:** The sender sends  $b, s$ . Receiver checks that  $c = (\sigma \wedge b) \oplus G(s)$ .

We now present a preamble that when used in the protocol above, produces a STR-PAR-SB commitment.

**Protocol 3.2** ([8]). Preamble:

1. Using a  $t$ -round stand-alone SH commitment, the receiver sends a commitment to  $\beta \leftarrow_{\text{R}} \{0, 1\}^{3n}$ .
2. The sender replies with  $\alpha \leftarrow_{\text{R}} \{0, 1\}^{3n}$ .
3. The receiver opens  $\beta$ .
4. Output  $\sigma = \alpha \oplus \beta$ .

**Theorem 3.3.** ([8]) *Protocol 3.1 with the preamble of Protocol 3.2 gives a STR-PAR-SB commitment.*

*Proof of Theorem 3.3.* The binding properties are easy to verify, given the fact that Naor's commitment scheme is statistically binding.

The simulator given in Algorithm 3.4 proves security against selective opening attacks. The analysis uses a simulation strategy similar to the analysis given in [22]. Since the simulation strategy is essentially the same as [22] and that result supersedes ours by improving the round complexity by 1, we omit the proof here and refer the reader to [22]. ■

### 4 Lower bounds on round complexity

We now define our main tool for proving lower bounds, *equivocal senders*. Intuitively, an equivocal sender must run its commit phase without knowing what it is committing to, so if it can cause the receiver to accept with non-negligible probability, then it must be able to open its commitments in many ways.

Given oracle access to a cheating  $k$ -fold receiver  $\text{Rec}^*$ :

1. Initialize  $X, Y = \emptyset$ . Define variables  $\beta_1, \dots, \beta_k$  and set them to initially be empty. Define a counter variable  $t$  initialized to 0 and a timeout variable  $T$  initialized to 0.
2. Sample random coins for  $\text{Rec}^*$  and fix them. Sample coins for the honest sender and execute the initial commitment in the coin-flipping protocol with  $\text{Rec}^*$ . Write  $\text{Rec}^*$ 's random coins and the initial commitment phase transcript to the output.
3. Let  $\Sigma \subseteq [k]$  denote the set of sessions in which  $\text{Rec}^*$  does not abort in the initial commitment. In the following, only continue interaction in the sessions in  $\Sigma$ .
4. In the following, if  $\text{Rec}^*$  ever outputs an invalid opening of a commitment in some session  $j$ , the simulator interprets this as the receiver aborting in session  $j$ . The simulator also checks the value of each opening and if  $\text{Rec}^*$  ever successfully opens a commitment that was already opened in a previous iteration, but to a different value, then the simulator outputs "binding broken" and halts.
5. *First loop*: Repeat the following:
  - (a) Sample  $\alpha_j \leftarrow_{\mathbb{R}} \{0, 1\}^{3n}$  for  $j \in \Sigma$  and send them to  $\text{Rec}^*$ .
  - (b) Read  $\text{Rec}^*$ 's response, call this  $s$ . Let  $S \subseteq \Sigma$  be the set of non-aborting sessions in  $s$ . Do the following:
    - i. If  $S = X = Y = \emptyset$  (this can only occur in the first iteration), write the  $\alpha_j$  and  $s$  to the output and halt.
    - ii. If  $S \subseteq Y$ , continue the loop.
    - iii. If  $S \not\subseteq Y$  and  $S \subseteq X$  then break the loop.
    - iv. If  $S \not\subseteq X$  then update variables: set  $Y \leftarrow X$ ,  $X \leftarrow X \cup S$ , and for all  $j \in S \setminus X$ , set  $\beta_j$  to be the value that was opened by  $\text{Rec}^*$ . Continue the loop.
6. *Calculate timeout*: Repeat the following trial until  $(nk)^2$  successes occur: sample  $\alpha_j \leftarrow_{\mathbb{R}} \{0, 1\}^{3n}$  for  $j \in \Sigma$  and send them to  $\text{Rec}^*$ , and let  $S'$  denote the set of sessions in  $\text{Rec}^*$ 's response that are not aborted; the trial is a success if  $S' \not\subseteq Y$  and  $S' \subseteq X$ . Let  $\ell$  denote the number of repetitions that were used to obtain  $(nk)^2$  successes. Set  $T = \min(\frac{\ell}{nk}, nk2^{n^k})$  and set  $t = 0$ .
7. *Second loop*: Repeat the following while  $t \leq T$ 
  - (a) For  $j \in \Sigma$ , construct and send  $\alpha_j$  to the receiver, where the  $\alpha_j$  are defined as:
    - i. For each  $j \in \Sigma \setminus X$ , sample  $\alpha_j \leftarrow_{\mathbb{R}} \{0, 1\}^{3n}$ .
    - ii. For  $j \in X$ , sample  $r_j^0, r_j^1 \leftarrow_{\mathbb{R}} \{0, 1\}^n$  and set  $\alpha_j = G(r_j^0) \oplus G(r_j^1) \oplus \beta_j$ .
  - (b) Let  $s$  be  $\text{Rec}^*$ 's response and  $S$  the set of non-aborted sessions in  $s$ .
    - i. If  $S \subseteq Y$  or  $S \not\subseteq X$  then increment  $t$  and continue the loop.
    - ii. Otherwise, it must be that  $S \not\subseteq Y$  and  $S \subseteq X$ . Write all the  $\alpha_j$  and  $s$  to the output. Complete the simulation as follows:
      - A. For each  $j \in S$ , the simulator sends  $G(r_j^0)$  to  $\text{Rec}^*$  as the  $j$ 'th commitment. Write  $G(r_j^0)$  to the output.
      - B. If  $\text{Rec}^*$  aborts, then the simulator halts. Otherwise,  $\text{Rec}^*$  picks a subset  $I \in \mathcal{I}, I \subseteq S$  to be revealed and the simulator asks for the values  $\{b_i\}_{i \in I}$ . Write  $I$  to the output.
      - C. For each  $i \in I$ , the simulator writes  $r_i^{b_i}$  to the output as the opening of the  $i$ 'th session.
      - D. Halt.
8. We exceeded the timeout, so output "timeout".

**Algorithm 3.4.** Simulator  $\text{Sim}_k$  for [Theorem 3.3](#)

## 4.1 Equivocal senders

For a pair of algorithms  $T = (T_{com}, T_{decom})$ , define the following game:

1.  $\langle T_{com}, \text{Rec}^k \rangle = (\tau^k, I, \text{state}_{com})$ . Here,  $\text{state}_{com}$  is the internal state of  $T_{com}$  to be transmitted to  $T_{decom}$ .  $I$  is the set  $\text{Rec}^k$  asks to be opened. Notice  $T_{com}$  runs without knowledge of  $\underline{b}$ , hence  $T$  is “equivocal” during the commit phase.
2.  $T_{decom}(\underline{b}, \tau^k, I, \text{state}_{com}) = \{(b_i, \text{open}_i)\}_{i \in I}$ .

The overall transcript is  $(\langle T, \text{Rec}^k \rangle \mid \underline{b}, \text{NoAbort}_T) = (\tau^k, I, \{(b_i, \text{open}_i)\}_{i \in I})$ , where  $\text{NoAbort}_T$  denotes the event that  $T$  does not abort. Say that  $(\tau^k, I, \text{state}_{com})$  is  $\delta$ -openable if with probability at least  $\delta$  over the choice of  $\underline{b}$ ,  $\text{Rec}^k$  accepts  $(\tau^k, I, \{(b_i, \text{open}_i)\}_{i \in I})$ , where  $\{(b_i, \text{open}_i)\}_{i \in I} = T_{decom}(\underline{b}, \tau^k, I, \text{state}_{com})$ .

**Definition 4.1** (Equivocal sender). We say that  $T = (T_{com}, T_{decom})$  is a  $(k, \varepsilon, \delta)$ -equivocal sender for  $(\text{Send}, \text{Rec}, \text{Sim}_k)$  if it holds that

$$\Pr[(\tau^k, I, \text{state}_{com}) = \langle T_{com}, \text{Rec}^k \rangle \text{ is } \delta\text{-openable} \wedge \text{NoAbort}_T] \geq \varepsilon$$

We say  $T$  is a  $k$ -equivocal sender if it is a  $(k, 1/\text{poly}(n), 1 - n^{-\omega(1)})$ -equivocal sender.

**Using equivocal senders to break binding.** Here we show that secure commitments cannot admit equivocal senders. In the next few sections, we will show that certain kinds of commitments (e.g. 2-round) must admit equivocal senders, which, combined with the following theorem, imply that those kinds of commitments cannot be secure. All of these theorems are proven via black-box reductions.

**Theorem 4.2.** *Fix any non-trivial  $(\mathcal{I}, \mathcal{B})$  and  $k$ -fold repeated commitment scheme  $(\text{Send}^k, \text{Rec}^k)$  with a simulator  $\text{Sim}_k$  that proves computational hiding. If this commitment has a  $k$ -equivocal sender  $T = (T_{com}, T_{decom})$  for any  $k \leq \text{poly}(n)$ , then this commitment cannot be statistically binding. If furthermore  $T$  is efficient, then this commitment cannot be computationally binding.*

*Proof.* The idea is to convert a  $k$ -equivocal  $T$  sender into a sender  $\text{Send}'$  that breaks binding in a single execution of the commitment,  $\text{Send}'$  emulates  $T$  internally and chooses one of the  $k$  parallel instances to insert its interaction with the real receiver  $\text{Rec}$ . By the non-triviality of  $(\mathcal{I}, \mathcal{B})$ , with high probability over  $I \leftarrow_{\mathcal{R}} \mathcal{I}$  the coordinates in  $I$  have significant min-entropy, and in particular some coordinate must have significant min-entropy. Therefore if  $\text{Send}'$  picks this coordinate, then since  $T$  is able to open its commitment with non-trivial probability for  $I \leftarrow_{\mathcal{R}} \mathcal{I}$  and  $\underline{b} \leftarrow_{\mathcal{R}} \mathcal{B}$ , it follows that  $\text{Send}'$  can open its commitment to both 0 and 1 with non-negligible probability.

We now proceed formally by constructing a malicious sender  $\text{Send}'$  and proving that this sender breaks binding.

### Algorithm 4.3.

Malicious sender  $\text{Send}'$ , interacting with a single honest receiver  $\text{Rec}$ :

1. Pick a random  $j$ . For each  $j' \neq j$ , sample random coins  $\omega^{(j')}$  to run an honest receiver.
2. Respond to the  $i$ 'th message  $\beta_i$  from  $\text{Rec}$  as follows.
  - (a) If  $i > 1$ , let  $(\alpha_{[i-1]}^{(1)}, \dots, \alpha_{[i-1]}^{(k)})$  be  $T_{com}$ 's response from previous queries.
  - (b) For  $j' \neq j$ , compute  $\beta_i^{(j')} = \text{Rec}(\alpha_{[i-1]}^{(j')}; \omega^{(j')})$ . Set  $\beta_i^{(j)} = \beta_i$ .

- (c) Feed  $(\beta_i^{(1)}, \dots, \beta_i^{(k)})$  to  $T_{com}$  to obtain response  $(\alpha_{[i]}^{(1)}, \dots, \alpha_{[i]}^{(k)})$  (assuming  $T_{com}$  does not abort).
  - (d) Forward  $\alpha_i^{(j)}$  back to Rec.
3. If  $T_{com}$  does not abort,  $\text{Send}'$  successfully generates a commit-phase transcript distributed according to  $\langle T_{com}, \text{Rec}^k \rangle$ .  $\text{Send}'$  picks a random  $I \leftarrow_{\mathcal{R}} \mathcal{I}$  to be opened.
  4. If  $j \notin I$ ,  $\text{Send}'$  aborts. Otherwise, it independently picks two  $\underline{b}, \underline{b}' \leftarrow_{\mathcal{R}} \underline{\mathcal{B}}$ , and runs  $T_{decom}(\underline{b}, I)$  to obtain a decommitment for  $(b_i)_{i \in I}$  and runs  $T_{decom}(\underline{b}', I)$  to obtain openings for  $(b'_i)_{i \in I}$ . In particular, the malicious sender obtains openings for  $b_j$  and  $b'_j$ .

**Analyzing  $\text{Send}'$ :** By hypothesis,  $T$  is a  $(k, \varepsilon, 1 - n^{-\omega(1)})$ -equivocal server for some  $\varepsilon = 1/\text{poly}(n)$ . This implies that with probability at least  $\varepsilon$ ,  $\langle T_{com}, \text{Rec}^k \rangle$  produces an  $(1 - n^{-\omega(1)})$ -openable  $(\tau^k, I, \text{state}_{com})$ . Therefore, since the probability of producing an accepting opening for a random  $\underline{b}$  at least  $(1 - n^{-\omega(1)})$ , it holds with probability at least  $\varepsilon(1 - n^{-\omega(1)})^2$  that  $\text{Rec}^k$  accepts both openings  $T_{decom}(\underline{b}, \tau^k, I, \text{state}_{com})$  and  $T_{decom}(\underline{b}', \tau^k, I, \text{state}_{com})$ .

Since  $(\mathcal{I}, \underline{\mathcal{B}})$  is non-trivial, a straightforward calculation implies that  $\Pr_{\underline{b}, \underline{b}', I}[\forall i \in I, b_i = b'_i] \leq n^{-\omega(1)}$ . Therefore with probability  $\varepsilon(1 - n^{-\omega(1)})^2 - n^{-\omega(1)}$ ,  $T$  produces accepting openings for  $\underline{b}$  and  $\underline{b}'$  and furthermore there exists  $i$  such that  $b_i \neq b'_i$ . Since the sender picked at random the coordinate  $j$  that contains the real interaction, with probability  $1/k$  it chooses  $j = i$  and therefore with non-negligible probability produces decommitments for both 0 and 1 in an interaction with the real receiver, breaking binding.  $\blacksquare$

#### 4.1.1 Strong non-triviality

Item 3 of [Theorem 1.2](#) requires the following stronger notion of non-triviality.

**Definition 4.4.**  $(\mathcal{I}, \underline{\mathcal{B}})$  is strong non-trivial if:

1.  $\mathcal{I}$  is a product of  $\sqrt{k}$  large sets: formally, there exists some partition  $\Pi = (\Pi_1, \dots, \Pi_{\sqrt{k}})$  of  $[k]$  into  $\sqrt{k}$  subsets, and  $\mathcal{I} = \mathcal{I}_1 \times \dots \times \mathcal{I}_{\sqrt{k}}$  and for each  $i$ , it holds that  $|\mathcal{I}_i| \subseteq 2^{\Pi_i}$  and  $|\mathcal{I}_i| = n^{\omega(1)}$ .
2. For each  $i \in [\sqrt{k}]$ , let  $I_i$  be the projection of  $I$  onto the coordinates in  $\Pi_i$ . It holds that

$$\Pr_{I \leftarrow_{\mathcal{R}} \mathcal{I}}[\forall i, H_{\infty}(\underline{\mathcal{B}}_{I_i}) \geq \omega(\log n)] \geq 1/\text{poly}(n)$$

This definition strengthens the non-triviality condition on  $(\mathcal{I}, \underline{\mathcal{B}})$  in two ways: first we require that  $\mathcal{I}$  be a product of  $\sqrt{k}$  sets, each of which is large. (Here,  $\sqrt{k}$  is arbitrary, any  $n^{\varepsilon}$  would be equivalent for our purposes.) Second, we require the amount of entropy in  $\underline{\mathcal{B}}_{I_i}$  to be large ( $\omega(\log n)$  rather than just  $1/\text{poly}(n)$ ) simultaneously for all  $i$ . Notice that it is still satisfied by natural  $(\mathcal{I}, \underline{\mathcal{B}})$ , for instance  $\mathcal{I} = 2^{[k]}$  the set of all subsets of  $[k]$ , and  $\underline{\mathcal{B}} = U_k$  the uniform distribution over  $\{0, 1\}^k$ .

**Theorem 4.5.** *Fix any strong non-trivial  $(\mathcal{I}, \underline{\mathcal{B}})$  and  $k$ -fold repeated commitment scheme  $(\text{Send}^k, \text{Rec}^k)$  with a simulator  $\text{Sim}_k$  that proves computational hiding. If this commitment has a  $(k, 1/\text{poly}(n), 1/\text{poly}(n))$ -equivocal sender  $T = (T_{com}, T_{decom})$  for any  $k = \omega(\log n)$ , then this commitment cannot be statistically binding. If furthermore  $T$  is efficient, then this commitment cannot be computationally binding.*

*Proof sketch.* The proof is identical to [Theorem 4.5](#), the only additional observation is that because  $T$  only guarantees with noticeable probability that the commit-phase  $(\tau^k, I, \text{state}_{com})$  is  $1/\text{poly}(n)$ -openable (rather than  $(1 - n^{-\omega(1)})$ -openable), we need the stronger non-trivial guarantee to say that even sampling only from the  $1/\text{poly}(n)$  fraction of the message distribution  $\underline{\mathcal{B}}$  that causes  $\text{Rec}^k$  to accept, still we will find  $\underline{b}, \underline{b}'$  that differ on the subset  $I$  of bits to be opened. ■

We construct equivocal senders using the strategy of Goldreich and Krawczyk [12]. Intuitively, the idea is to construct a sender  $T$  whose output distribution is the same as  $\text{Sim}_k^{\text{Rec}_h}$ . Here,  $\text{Rec}_h$  is intuitively a cheating receiver that, for each sender message, uses its hash function  $h$  to generate a response that looks completely random, and therefore  $\text{Sim}_k$  gains no advantage by rewinding  $\text{Rec}_h$ . From this cheating property, we will be able to conclude that  $T$  satisfies [Definition 4.1](#)

Goldreich and Krawczyk [12] observe that we can make the following simplifying assumptions *w.l.o.g.*: **(1)**  $\text{Sim}_k$  makes exactly  $p(n) = \text{poly}(n)$  queries to its receiver black box, **(2)** all queries made by  $\text{Sim}_k$  are distinct, and **(3)**  $\text{Sim}_k$  always outputs a transcript  $\tau^k$  that consists of queries it made to the receiver and the corresponding receiver responses.

The following lemma from [12] says that simply by guessing uniformly at random, one can pick with some noticeable probability the queries/responses that the simulator outputs as its final transcript.

**Lemma 4.6** ([12]). *Fix a black-box simulator  $\text{Sim}_k$  for a protocol with  $t$  sender messages, and suppose  $\text{Sim}_k$  makes  $p(n)$  queries. Draw  $u_1, \dots, u_t \leftarrow_R [p(n)]$ , then with probability  $\geq 1/p(n)^t$ , the final transcript output by  $\text{Sim}_k$  consists of the  $u_1, \dots, u_t$ 'th queries (along with the corresponding receiver responses).*

#### 4.1.2 2-round commitments

**Theorem 4.7.** *For all non-trivial  $(\mathcal{I}, \underline{\mathcal{B}})$  and relative to any oracle, there exists no 2-round PAR-CBCH commitment protocol secure for  $(\mathcal{I}, \underline{\mathcal{B}})$ .*

*Proof.* We construct a polynomial-time  $k$ -equivocal sender for  $(\text{Send}, \text{Rec})$  for  $k = n$ . By [Theorem 4.2](#), this contradicts the binding property of the commitment. In fact, we prove a stronger statement: we rule out any 3-round commitment where the sender speaks last. This is strictly more general than 2-round commitments, since one can add dummy messages to a 2-round commitment to arrive at such a 3-round commitment.

#### Algorithm 4.8.

Equivocal sender  $T = (T_{com}, T_{decom})$  for 3-round commitments where the sender speaks last:

1.  $T_{com}$  picks  $u_1, u_2 \leftarrow_R [p(n)]$ .
2.  $T_{com}$  internally runs  $\text{Sim}_k$ , answering its queries as follows:
  - For the  $u_1, u_2$ 'th queries, if the  $u_1$ 'th query is a first sender message  $\alpha_1$  and the  $u_2$ 'th query is a second sender message  $\alpha_{[2]}$  that extends  $\alpha_1$ , then  $T_{com}$  forwards them to the real receiver and forwards the receiver's responses to the simulator. Otherwise,  $T_{com}$  aborts.
  - For all other queries: if the query is  $\alpha_1$ , then  $T_{com}$  returns  $\text{Rec}^k(\alpha_1; \omega)$  for uniform  $\omega$ . If the query is  $\alpha_{[2]}$  then  $T$  returns a random  $I \leftarrow_R \mathcal{I}$ .

3. When  $\text{Sim}_k$  requests that a subset  $I$  of bits be revealed,  $T_{com}$  checks to see if  $I$  equals the set that the real receiver asked to be opened. If not,  $T_{com}$  aborts.
4. In the opening phase,  $T_{decom}$  receives  $\underline{b}$  and feeds  $(b_i)_{i \in I}$  to the simulator and obtains  $(\tau^k, I, (b_i, \text{open}_i)_{i \in I})$ .  $T_{decom}$  checks that  $\tau^k$  and  $I$  consists of queries to/from the real receiver, and if not aborts. Otherwise it outputs these openings.

**Analyzing equivocal sender  $T$ .** It is clear that  $T$  runs in polynomial time.

**Lemma 4.6** implies that with probability  $1/p(n)^2$ ,  $\text{Sim}_k$  picks the set to be revealed  $I$  using the guessed queries  $u_1, u_2$ .

**Claim 4.9.** *The probability that  $\text{Sim}_k$  makes two queries  $\alpha_{[2]}, \alpha'_{[2]}$  that are both answered with the same  $I$  is negligible*

This claim holds because  $|\mathcal{I}| = n^{\omega(1)}$  and  $\text{Sim}_k$  makes at most  $p(n) = \text{poly}(n)$  queries. **Claim 4.9** implies that when  $T$  emulates  $\text{Sim}_k$ ,  $\text{Sim}_k$  cannot pick  $I$  using the real receiver's messages but then find a different commit-phase transcript that leads to the same set  $I$ . Therefore the probability that  $T$  does not abort and outputs the queries to and responses from the real receiver is at least  $1/p(n)^2 - n^{-\omega(1)} \geq 1/\text{poly}(n)$ .

**Claim 4.10.**  *$\text{Rec}^k$  accepts  $(\langle T, \text{Rec}^k \rangle \mid \underline{b}, \text{NoAbort}_T)$  with overwhelming probability.*

This claim combined with the above assertion that  $T$  does not abort with non-negligible probability implies that  $T$  satisfies **Definition 4.1**.

We now prove **Claim 4.10** by comparing the output of  $T$  to  $(\text{Sim}_k^{\text{Rec}_h} \mid \underline{b})$  where  $\text{Rec}_h$  is defined as follows:  $h$  is a  $p(n)$ -wise independent hash function, it responds to first sender queries  $\alpha_1$  by computing  $\beta_1 = \text{Rec}(\alpha_1; h(\alpha_1))$  and to second sender queries  $\alpha_{[2]}$  by sampling uniform  $I \leftarrow_{\text{R}} \mathcal{I}$  using  $h(\alpha_{[2]})$  as random coins.<sup>2</sup>

As observed by [12],  $(\langle T, \text{Rec} \rangle \mid \underline{b}, \text{NoAbort}_T) = (\text{Sim}_k^{\text{Rec}_h} \mid \underline{b})$  for a uniform choice of  $h$ . Since  $\text{Rec}_h$  is efficient, by the hiding property this is indistinguishable from  $(\text{Send}^k(\underline{b}), \text{Rec}_h)$ . This in turn is equal to a true interaction  $(\text{Send}^k(\underline{b}), \text{Rec}^k)$ , since by the definition of  $\text{Rec}_h$  the two receivers  $\text{Rec}_h$  and  $\text{Rec}^k$  behave identically when there is no rewinding. Since  $\text{Rec}^k$  always accepts a real interaction, therefore  $\text{Rec}^k$  accepts  $(\langle T, \text{Rec} \rangle \mid \underline{b}, \text{NoAbort}_T)$  with overwhelming probability. ■

### 4.1.3 3-round commitments

**Theorem 4.11.** *For all non-trivial  $(\mathcal{I}, \underline{\mathcal{B}})$  and relative to any oracle, there exists no 3-round PAR-SB commitment protocol secure for  $(\mathcal{I}, \underline{\mathcal{B}})$ .*

*Proof.* As before, it suffices to construct a  $k$ -equivocal sender for  $k = n$ . Also, as before, we rule out 4-round commitments where the sender speaks last, and this handles all 3-round commitments because we can add dummy messages.

**Algorithm 4.12.**

Equivocal sender  $T = (T_{com}, T_{decom})$  for 4-round PAR-SB commitments where the sender speaks last:

1.  $T_{com}$  picks  $u_1, u_2 \leftarrow_{\text{R}} [p(n)]$ .

---

<sup>2</sup>The message  $\beta_1$  and the set  $I$  are independent, so there is no consistency constraint to ensure between  $\beta_1$  and  $I$ . This is why we can handle 2 rounds and not just non-interactive commitments as a naive application of [12] might suggest.

2.  $T_{com}$  receives the first message  $\beta_1$  from the receiver.
3.  $T_{com}$  internally runs  $\text{Sim}_k$ , answering its queries as follows:
  - For the simulator's  $u_1, u_2$ 'th queries, if the  $u_1$ 'th query is a first sender message  $\alpha_1$  and the  $u_2$ 'th query is a second sender message  $\alpha_{[2]}$  that extends  $\alpha_1$ , then  $T_{com}$  forwards them to the real receiver and forwards the receiver's responses to the simulator. Otherwise,  $T_{com}$  aborts.
  - For all other queries: if the query is  $\alpha_1$  then  $T_{com}$  samples a random  $\omega' \leftarrow_{\mathcal{R}} \{\omega \mid \text{Rec}(\perp; \omega) = \beta_1\}$  and returns  $\beta_2 = \text{Rec}(\beta_1, \alpha_1; \omega')$  to the simulator. If the query is  $\alpha_{[2]}$  then the simulator picks a random  $I \leftarrow_{\mathcal{R}} \mathcal{I}$  and returns it to the simulator.
4. When  $\text{Sim}_k$  requests that a subset  $I$  of bits be revealed,  $T_{com}$  checks to see if  $I$  equals the set that the real receiver asked to be opened. If not,  $T_{com}$  aborts.
5. In the opening phase,  $T_{decom}$  receives  $\underline{b}$  and feeds  $(b_i)_{i \in I}$  to the simulator and obtains  $(\tau^k, I, (b_i, \text{open}_i)_{i \in I})$ .  $T_{decom}$  checks that  $\tau^k$  and  $I$  consists of queries to/from the real receiver, and if not aborts. Otherwise it outputs the openings.

**Analyzing equivocal sender  $T$ .**  $T$  may not run in polynomial time because sampling  $\omega' \leftarrow_{\mathcal{R}} \{\omega \mid \beta_1 = \text{Rec}(\perp; \omega)\}$  may be inefficient. This implies the sender breaking binding given by [Theorem 4.2](#) may be inefficient, which is why we can only handle PAR-SB commitments.

Applying [Lemma 4.6](#),  $T$  does not abort with probability  $\geq 1/p(n)^2$ . [Claim 4.9](#) applies here for the same reason as in the proof of [Theorem 4.7](#), therefore it holds with probability  $1/p(n)^2 - n^{-\omega(1)} \geq 1/\text{poly}(n)$  that  $T$ 's messages to/from the receiver are exactly those in the output of its emulation of  $\text{Sim}_k$ .

We claim that [Claim 4.10](#) holds in this case as well, which would imply that  $T$  satisfies [Definition 4.1](#).

We prove [Claim 4.10](#) in this setting by comparing the output of  $T$  to  $(\text{Sim}_k^{\text{Rec}_h^{\omega_1, \dots, \omega_s}} \mid \underline{b})$ , where we use the cheating receiver strategy  $\text{Rec}_h^{\omega_1, \dots, \omega_s}$  defined by Katz [16]:  $s$  will be set below, and the  $\omega_i$  are random coins for the honest receiver algorithm such that  $\text{Rec}(\perp; \omega_i) = \text{Rec}(\perp; \omega_j)$  for all  $i, j \in [s]$ , and  $h$  is a  $p(n)$ -wise independent hash function with output range  $[s]$ . The first message of  $\text{Rec}_h^{\omega_1, \dots, \omega_s}$  is  $\beta_1 = \text{Rec}(\perp; \omega_1)$  and given sender message  $\alpha_1$ , the second message is  $\beta_2 = \text{Rec}(\beta_1, \alpha_1; \omega_{h(\beta_1, \alpha_1)})$ . Given sender messages  $\alpha_{[2]}$ , the set  $I$  to be opened is sampled using  $\omega_{h(\beta_{[2]}, \alpha_{[2]})}$  as random coins.

As observed in [16], for  $s = 50p(n)^2/\delta$  it holds that the statistical distance between  $(\langle T, \text{Rec}^k \rangle \mid \underline{b}, \text{NoAbort}_T)$  and  $(\text{Sim}_k^{\text{Rec}_h^{\omega_1, \dots, \omega_s}} \mid \underline{b})$  is at most  $\delta$ , where the randomness is over uniform  $p(n)$ -wise independent  $h$ , uniform  $\omega_1$  and uniform  $\omega_2, \dots, \omega_s$  conditioned on  $\text{Rec}(\perp; \omega_j) = \text{Rec}(\perp; \omega_1)$  for all  $j \in [s]$ . By the commitment's hiding property this is indistinguishable from  $(\text{Send}^k(\underline{b}), \text{Rec}_h^{\omega_1, \dots, \omega_s})$ , which in turn is equal to  $(\text{Send}^k(\underline{b}), \text{Rec}^k)$  by the definition of  $\text{Rec}_h^{\omega_1, \dots, \omega_s}$ . Finally, since  $\text{Rec}^k$  always accepts a real interaction, therefore it accepts  $(\langle T, \text{Rec}^k \rangle \mid \underline{b}, \text{NoAbort}_T)$  with probability  $1 - \delta - n^{-\omega(1)}$ .

We can apply the above argument for any  $\delta \geq 1/\text{poly}(n)$  to conclude that  $\text{Rec}^k$  accepts  $(\langle T, \text{Rec}^k \rangle \mid \underline{b}, \text{NoAbort}_T)$  with probability  $1 - \delta - n^{-\omega(1)}$  for all  $\delta \geq 1/\text{poly}(n)$ .

Therefore  $\text{Rec}^k$  must accept  $(\langle T, \text{Rec}^k \rangle \mid \underline{b}, \text{NoAbort}_T)$  with probability  $1 - n^{-\omega(1)}$  and so  $T$  satisfies [Definition 4.1](#). ■

#### 4.1.4 Perfectly binding commitments

**Theorem 4.13.** *For all non-trivial  $(\mathcal{I}, \underline{\mathcal{B}})$  and relative to any oracle, there exists no PAR-PB commitment protocol secure for  $(\mathcal{I}, \underline{\mathcal{B}})$ .*

*Proof.* Let  $(\text{Send}, \text{Rec})$  be the scheme and let  $m$  denote the number of random bits used by  $\text{Rec}$ . We construct a  $(k, 2^{-mkt}, 1)$ -equivocal sender for  $(\text{Send}, \text{Rec}, \text{Sim}_k)$ . This suffices to prove the theorem: although [Theorem 4.2](#) is for the case of statistically binding, looking at its proof the reduction employed in fact shows that one can use a  $(k, 2^{-mkt}, 1)$ -equivocal sender to build a sender strategy that breaks binding with non-zero probability, contradicting perfect binding. Suppose without loss of generality that  $\text{Rec}$  sends its random coins as the very last message in the commit phase.

**Building equivocal sender  $T$ :** Let  $p(n)$  denote the maximum number of queries made by  $\text{Sim}_k$ . Let  $t$  be the number of rounds in the commitment.

1.  $T_{com}$  guesses random coins  $\omega$  of the real receiver, and also picks a random subset  $U \subseteq [p(n)]$  of size  $t$ , let  $u_1 < u_2 < \dots < u_t$  be its elements.
2.  $T_{com}$  internally executes  $\text{Sim}_k$ , answering its queries as follows:
  - For the  $u_j$ 'th query,  $T_{com}$  forwards the query to the real receiver and forwards the response back to  $\text{Sim}_k$ .
  - For other queries,  $T_{com}$  computes responses using the coins  $\omega$  that the sender guessed.
3. At the end of the commit-phase  $\text{Rec}^k$  sends all its random coins.  $T_{com}$  checks whether it guessed the random coins correctly, and if not it aborts.
4.  $\text{Sim}_k$  outputs a set  $I$  of bits to be opened.  $T_{com}$  checks that  $I$  was the real receiver's response to a query in  $U$ , and that the query consists only of simulator queries in  $U$  and the corresponding real receiver responses. If not,  $T_{com}$  aborts.
5. In the opening phase,  $T_{decom}$  receives  $\underline{b}$  and feeds  $(b_i)_{i \in I}$  to the simulator and obtains  $(\tau^k, I, (b_i, \text{open}_i)_{i \in I})$ .  $T_{decom}$  checks that  $\tau^k$  and  $I$  consists of queries to/from the real receiver, and if not aborts. Otherwise it outputs the openings.

**Analyzing equivocal sender  $T$ :** with probability  $2^{-mk}$ ,  $T_{com}$  correctly guesses the receiver's random coins. By [Lemma 4.6](#), with probability  $1/p(n)^t$ , all messages in the transcript that the simulator outputs correspond to queries in  $U$ , and so  $T_{com}$  does not abort. Therefore the probability that  $T$  does not abort is at least  $2^{-mk}/p(n)^t \gg 2^{-mkt}$ , and from the definition of  $T$  it is clear that  $(\langle T, \text{Rec}^k \rangle \mid \underline{b}, \text{NoAbort}_T)$  is identical to  $(\text{Sim}_k^{\text{Rec}^k} \mid \underline{b})$ , so  $T$  satisfies [Definition 4.1](#). ■

#### 4.1.5 Public-coin commitments

**Theorem 4.14.** *For all strong non-trivial  $(\mathcal{I}, \underline{\mathcal{B}})$  and relative to any oracle, there exists no public-coin PAR-CBCH commitment protocol secure for  $(\mathcal{I}, \underline{\mathcal{B}})$ .*

*Proof.* Given any public-coin commitment protocol  $(\text{Send}, \text{Rec}, \text{Sim}_k)$  for a strong non-trivial  $\mathcal{I}$ , we construct a  $(\omega(\log n), 1/\text{poly}(n), 1/\text{poly}(n))$ -equivocal sender, which is implicit in [\[19\]](#). Combined with [Theorem 4.5](#) this implies that  $(\text{Send}, \text{Rec}, \text{Sim}_k)$  is not PAR-CBCH secure.



**Building the equivocal sender  $T$ :** following [19], our equivocal sender will require  $k = \text{poly}(t)$  parallel sessions. Look at the partition of  $[k]$  into subsets  $\Pi = (\Pi_1, \dots, \Pi_{\sqrt{k}})$ . Because  $\mathcal{I}_i \subseteq 2^{\Pi_i}$  and  $|\mathcal{I}_i| = n^{\omega(1)}$ , therefore it holds that  $|\Pi_i| = \omega(\log n)$ .

We consider the coordinates in a single subset of the partition to belong to one session.  $T_{com}$  internally execute  $\text{Sim}_k$  by randomly choosing one  $j \in [\sqrt{k}]$  of the sessions to forward to the real receiver, while the rest are internally simulated. [19] describe a strategy for  $T_{com}$  to *rewind the simulator* such that, with high probability,  $\text{Sim}_k$  outputs with non-negligible probability exactly the session that was forwarded to the real receiver. Roughly, for each of the  $t$  rounds of the protocol,  $T_{com}$  forwards the next message from session  $k$  to the receiver and returns the response to the simulator. It then repeatedly runs many continuations of the simulator until it finds a continuation where the real receiver's response is likely to be included in the final output (and if no such continuation exists,  $T_{com}$  aborts). We refer the reader to [19] for details.

$T_{com}$  also checks that the subset  $I$  that  $\text{Sim}_k$  asks to be opened is in response to a query that consists of simulator queries and real receiver responses, and if not  $T_{com}$  aborts. Otherwise,  $T_{decom}$  outputs an opening using the simulator.

**Analyzing the equivocal sender  $T$  for computational binding:** [19] prove that the equivocal sender causes the receiver to accept with non-negligible probability, say  $\geq \varepsilon$ . Then by a standard averaging argument, with probability  $\geq \varepsilon/2$ , the  $\langle T_{com}, \text{Rec} \rangle$  produces an  $(\varepsilon/2)$ -openable commit-phase transcript. Therefore  $T$  is a  $(\omega(\log n), 1/\text{poly}(n), 1/\text{poly}(n))$ -equivocal server. ■

## 4.2 PAR-SB commitments imply (stand-alone) SH commitments

To prove Item 2 of [Theorem 1.2](#), we show that PAR-SB commitments can be used to generate a gap between real and accessible entropy [15]. Then we apply the transformation of [15] that converts an entropy gap into a statistically hiding commitment.

**Theorem 4.15.** *For strong non-trivial  $(\mathcal{I}, \mathcal{B})$ , if there exists  $O(1)$ -round  $(\text{Send}, \text{Rec})$  that is PAR-SB secure for  $(\mathcal{I}, \mathcal{B})$ , then there exists  $O(1)$ -round statistically hiding commitments.*

*Proof.* Assume without loss of generality that  $\text{Rec}^k$  sends all his random coins at the end of the opening phase, and that  $\text{Rec}$  uses  $m$  random coins in a single stand-alone instance.

**Lemma 4.16.**  *$\text{Rec}^k$  has real min-entropy at least  $km(1 - 1/k^{1/3})$  and has context-independent accessible max-entropy  $\leq km - k/4$ .*

Let  $\Pi$  be the partition such that  $\mathcal{I} = \mathcal{I}_1 \times \dots \times \mathcal{I}_{\sqrt{k}}$  and  $\mathcal{I}_i \subseteq 2^{\Pi_i}$ . For sufficiently large  $k$ , [Lemma 4.16](#) implies there is an entropy gap for the coordinates in  $\Pi_i$ , and by the entropy gap amplification lemma (Lemma 3.8) of [15] implies that the entropy gap sums over all of the coordinates. Therefore for large enough  $k$  the gap is sufficient to apply the black-box construction of statistically hiding commitments from entropy gaps given by Lemmas 6.7, 4.7, and 4.18 of [15]. ■

*Proof of [Lemma 4.16](#).* The real min-entropy part of the claim follows from the definitions and amplification by parallel repetition (Proposition 3.8 in [15]). For the accessible entropy part, we use the following:

**Lemma 4.17.** *If there exists efficient  $A^*$  (and efficient predicate success, see [Definition 2.8](#)) sampling high context-independent max-entropy for  $\text{Rec}^k$ , then there exists a  $(k, 1/\text{poly}(n), 1/\text{poly}(n))$ -equivocal sender.*

By [Theorem 4.5](#) this contradicts the binding property of the commitment and so  $A^*$  cannot exist. ■

*Proof of [Lemma 4.17](#).* This lemma holds intuitively because we can use  $A^*$  to perform the same role as  $\text{Rec}_h$  and  $\text{Rec}_h^{\omega_1, \dots, \omega_s}$  in the analysis of the equivocal senders in [Theorem 4.7](#) and [Theorem 4.11](#). The fact that  $A^*$  can access high accessible entropy essentially means that it can sample the  $i$ 'th message conditioned on a partial transcript of first  $i - 1$  messages. Applying [Theorem 4.2](#) implies that such an equivocal sender  $T$  would break binding property of the commitment, and therefore such  $A^*$  cannot exist.

We now proceed formally.

**Algorithm 4.18.**

Equivocal sender  $T = (T_{\text{com}}, T_{\text{decom}})$  for PAR-SB commitments.

1.  $T_{\text{com}}$  picks a random subset  $U \subseteq [p(n)]$  of size  $t$ , let  $u_1 < u_2 < \dots < u_t$  be its elements.  $T_{\text{com}}$  stores a table (initially empty) that associates strings with every simulator query.
2.  $T_{\text{com}}$  internally executes the simulator  $\text{Sim}_k$ . Let  $\text{Sim}_k$ 's  $j$ 'th query be denoted  $\alpha_{[j]}$ . First  $T_{\text{com}}$  looks up  $s_{[i-1]}$  corresponding to  $\alpha_{[i-1]}$  in its table (or aborts if no such entry exists).
  - For  $j = u_l$ 'th,  $T_{\text{com}}$  checks the query  $\alpha_{[j]}$  satisfies  $i = l$  and  $\alpha_{[l-1]}$  was the  $u_{l-1}$ 'th query. If not,  $T_{\text{com}}$  aborts. Otherwise, it forwards the query  $\alpha_{[j]}$  to the real receiver and gets as response  $\beta_i$ .  $T_{\text{com}}$  samples  $s_i$  uniformly conditioned on the last output of  $A^*(\alpha_{[j]}; s_0, \dots, s_i)$  being  $(\beta_i, \omega_i)$  for some  $\omega_i$ . (Note this sampling may be inefficient, and therefore  $T_{\text{com}}$  may be inefficient.)
  - For  $j \notin U$ ,  $T_{\text{com}}$  samples uniform  $s_i$ , computes  $A^*(\alpha_{[j]}; s_{[j]})$ , letting  $(\beta_i, \omega_i)$  denote its last output.

Then,  $T_{\text{com}}$  returns  $\beta_i$  to  $\text{Sim}_k$  and adds an entry into its table associating  $s_{[j]}$  with  $\alpha_{[j]}$ .

3. When  $\text{Sim}_k$  requests that a subset  $I$  of bits be revealed,  $T_{\text{com}}$  checks to see if  $I$  was the set that the real receiver asked to be opened. If not,  $T_{\text{com}}$  aborts.
4. In the opening phase,  $T_{\text{decom}}$  receives  $\underline{b}$  and feeds  $(b_i)_{i \in I}$  to the simulator and obtains  $(\tau^k, I, (\text{open}_i)_{i \in I})$ .  $T_{\text{decom}}$  checks that  $\tau^k$  and  $I$  consists of queries to/from the real receiver, and if not aborts. Otherwise it outputs these openings.

**Analyzing  $T$ :** we require the following lemmas:

**Lemma 4.19** ([\[15\]](#), Lemma 6.10).

$$\Pr_{v = \langle \text{Send}^k(\underline{b}), A^* \rangle} [\text{AccH}_{\text{Rec}^k, A^*}(v) > km - k/4 \text{ and } v \text{ is rejecting}] \leq n^{-\omega(1)}$$

By the definition of  $\text{success}(v)$ , this lemma implies

$$\Pr_{v = \langle \text{Send}^k(\underline{b}), A^* \rangle} [\text{success}(v) \text{ and } v \text{ is accepting}] \geq 1/\text{poly}(n) - n^{-\omega(1)} \geq 1/\text{poly}(n) \quad (4.1)$$

Also, as observed in [\[15\]](#),  $T$  is essentially answering queries  $j \notin U$  according to the following cheating receiver strategy  $\text{Rec}_h$ , where  $h$  is a uniformly chosen  $p(n)$ -wise independent hash function:

**Algorithm 4.20.**Cheating receiver  $\text{Rec}_h$ :

1. Generate a first receiver message  $\beta_1$  by computing  $s_0 = h(0)$  and  $A^*(\perp; s_0) = (\beta_1, \omega_1)$ .
2. On sender message  $\alpha_{[i]}$ , generate a response  $\beta_i$  by computing  $s_i = h(\alpha_{[i]})$  and  $A^*(\alpha_{[i]}; s_0, \dots, s_i) = (\beta_i, \omega_i)$ .

It is clear from the definitions that

$$(\langle T, A^* \rangle \mid \underline{b}, \text{NoAbort}_T) = (\text{Sim}_k^{\text{Rec}_h} \mid \underline{b}) \quad (4.2)$$

From Equation 4.1 and the the commitment's hiding property which says that  $(\text{Sim}_k^{\text{Rec}_h} \mid \underline{b}) \approx_c \langle \text{Send}^k(\underline{b}), A^* \rangle$ , we deduce

$$\Pr_{v=(\text{Sim}_k^{\text{Rec}_h} \mid \underline{b})} [\text{success}(v) \text{ and } v \text{ is accepting}] \geq 1/\text{poly}(n)$$

By Equation 4.2 it follows that

$$\Pr_{v=(\langle T, A^* \rangle \mid \underline{b}, \text{NoAbort}_T)} [\text{success}(v) \text{ and } v \text{ is accepting}] \geq 1/\text{poly}(n) \stackrel{\text{def}}{=} \delta$$

But  $\text{success}(v)$  and  $v$  is accepting means precisely that  $\text{Rec}^k$  accepts  $v$  as a valid transcript. Also, Lemma 4.6 implies that  $\Pr[\text{NoAbort}_T] \geq 1/p(n)^t$ . Therefore,  $T$  is a  $(k, 1/p(n)^t, \delta)$ -equivocal sender. ■

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