

# A note on Agrawal conjecture

Roman Popovych

**Abstract.** We prove that Lenstra proposition suggesting existence of many counterexamples to Agrawal conjecture is true in a more general case. At the same time we obtain a strictly ascending chain of subgroups of the group  $(\mathbb{Z}_p[X]/(C_r(X)))^*$  and state the modified conjecture that the set  $\{X-1, X+2\}$  generate big enough subgroup of this group.

## 1 Introduction

Prime numbers are of fundamental importance in mathematics in general: there are few better known or more easily understood problems in pure mathematics than the question of rapidly determining whether a given number is prime or composite. Efficient primality tests are also useful in practice: a number of cryptographic protocols need big prime numbers.

In 2002 M.Agrawal, N.Kayal and N.Saxena [1] presented a deterministic polynomial-time algorithm AKS that determines whether an input number is prime or composite. It was proved [4] that AKS algorithm runs in  $O^\sim((\log n)^{7.5})$  time. H.Lenstra and C.Pomerance [4] gave a significantly modified version of AKS with  $O^\sim((\log n)^6)$  running time.

In the paper we do not consider randomized primality proving algorithm which was introduced by P.Berrizbeitia and investigated by Q.Cheng, D.Bernstein, P.Mihailescu-R.Avanzi [2].

The note concerns Agrawal conjecture. The conjecture was given in [2] and verified for  $r < 100$  and  $n < 10^{10}$  in [3].

**Conjecture.** *If  $r$  is a prime number that does not divide  $n$  and if  $(X-1)^n \equiv X^n - 1 \pmod{n, X^r - 1}$ , then either  $n$  is prime or  $n^2 \equiv 1 \pmod{r}$ .*

If Agrawal conjecture were true, this would improve the polynomial time complexity of the AKS primality testing algorithm from  $O^\sim((\log n)^6)$  to  $O^\sim((\log n)^3)$ .

H.Lenstra and C.Pomerance [4] gave a heuristic argument which suggests that the above conjecture is false. However, M.Agrawal, N. Kayal and N. Saxena [1] pointed out that some variant of the conjecture may still be true (for example, if we force  $r > \log n$ ).

In this paper we prove that proposition (H.Lenstra) from [4] suggesting existence of many counterexamples to the Agrawal conjecture is true in a more general case. We also give some modified conjecture and arguments that this conjecture may be true.

## 2 Preliminaries

$Z_n$  denotes a ring of numbers modulo  $n$ . Recall that if  $p$  is prime and  $h(X)$  is a polynomial of degree  $d$  and irreducible in  $Z_p$  then  $Z_p[X]/(h(X))$  is a finite field of order  $p^d$ . We will use the notation  $f(X)=g(X) \pmod{n, h(X)}$  to represent the equation  $f(X)=g(X)$  in the ring  $Z_n[X]/(h(X))$ .

We use the symbol  $O^\sim(t(n))$  for  $O(t(n) \cdot \text{poly}(\log t(n)))$  where  $t(n)$  is any function of  $n$ . We use  $\log$  for base 2 logarithm.

$N$  and  $Z$  denote the set of natural numbers and integers respectively.  $(a,b)$  denotes the greatest common divisor of integers  $a$  and  $b$ . Given  $r \in N$ ,  $a \in Z$  with  $(a,r)=1$  the order of  $a$  modulo  $r$  is the smallest number  $k$  such that  $a^k=1 \pmod{r}$ . It is denoted  $O_r(a)$ . For  $r \in N$ ,  $\varphi(r)$  is Euler's totient function giving the number of numbers less than  $r$  that are relatively prime to  $r$ . It is easy to see that  $O_r(a) \mid \varphi(r)$  for any  $a$ ,  $(a,r)=1$ .

$(u_1, \dots, u_k)$  denotes the group generated by elements  $u_1, \dots, u_k$ .  $A^*$  denotes the group of units of the ring  $A$ .

AKS algorithm basis consists in the following reasoning [1]. Let  $n$  is arbitrary integer for which it is necessary to determine whether it is prime or composite. For this purpose we verify the equalities  $(X+a)^n \equiv X^n + a$  in the ring  $Z_n[X]/(X^r-1)$  for numbers  $l=1, \dots, a$ . We choose as power  $r$  of the polynomial  $X^r-1$  the smallest  $r$ , that satisfies the condition  $O_r(n) > \log^2 n$ . The number of equalities is equal to  $l = \lfloor \sqrt{\varphi(r)} \log n \rfloor$ .

Then we consider the subgroup  $A$  of the group  $Z_r^*$ , generated by elements  $n$  and  $p$ . Assume that  $|A|=t$ .

We also consider the subgroup  $G$  of the group  $U=(Z_p[X]/(h(X)))^*$  ( $p$  is prime divisor of  $n$ ,  $h(X)$  is irreducible over  $Z_p$  divisor of  $X^r-1$ ), generated by the set of elements  $X+a$ ,  $a=0, \dots, l$ .

As  $t < \varphi(r)$ ,  $l < t$ , then creating products of at most  $l+1$  polynomials of the form  $X+a$  and proving that they are different in  $U$ , we obtain the lower bound  $|G| \geq 2^{l+1}$  (note that it is possible to obtain more accurate bound).

If  $p$  is not a power of  $n$ , then one can also obtain an upper bound for  $|G|$ . For this goal we consider the set  $I = \{(n/p)^i p^j \mid 0 \leq i, j \leq \lfloor \sqrt{t} \rfloor\}$ .  $I$  consists of  $\left(\lfloor \sqrt{t} \rfloor + 1\right)^2 > t$  different numbers. As  $|G|=t$  then at least two numbers in  $I$  coincide modulo  $r$ :  $\alpha = \beta \pmod{r}$ . Then  $(X+a)^\alpha = X^\alpha + a = X^\beta + a = (X+a)^\beta$ . Hence,  $(X+a)^{\alpha-\beta} = 1$  and  $|G|$  divides  $\alpha-\beta$ . So  $|G| < \alpha < \left(\frac{n}{p} \cdot p\right)^{\lfloor \sqrt{t} \rfloor} \leq n^{\lfloor \sqrt{t} \rfloor}$

As  $t > \log^2 n$  then  $|G| \geq 2^{t+1} \geq 2^{\lfloor \sqrt{t} \log n \rfloor + 1} > n^{\lfloor \sqrt{t} \rfloor}$  and we come to contradiction.

So the idea of AKS algorithm proof consists in the following: to show that the set of elements  $X+a$  generates "big enough" subgroup in the group  $(Z_p[X]/(h(X)))^*$ .

From this point of view it is possible to interpret the Agrawal conjecture in the following way. If the identity  $(X-1)^n \equiv X^n - 1 \pmod{n, X^r - 1}$  holds then the set that consists of unique element  $X-1$  generates big enough subgroup.

In this paper we generalize H.Lenstra proposition which indicates that the set  $\{X-1\}$  very likely does not generate big enough subgroup. At the same time we obtain a chain of subgroups  $(X) \subset (X+1) \subset (X-1) \subset (X-1, X+2)$  and state the conjecture that the set  $\{X-1, X+2\}$  generate big subgroup. The goal of future work is to clear up this question: what minimal set of elements one have to take to generate big enough subgroup. Primality proving algorithm running time depends on a number of elements of the set.

We will need the following simple fact.

**Lemma 2.1.** (1)  $n-p^i$  for any integer  $i$  is divided by  $p-1$  if and only if  $p-1 \mid n-1$ .

(2)  $n-p^i$  for any integer  $i$  is divided by  $p+1$  if and only if  $p+1 \mid n+1$ .

*Proof.* (1) The equality  $n-p^i = (n-1) - (p^i-1)$  holds. Since  $p-1 \mid p^i-1$ ,  $n-p^i$  is divided by  $p-1$  if and only if  $p-1 \mid n-1$ .

(2) The equality  $n-p^i = (n+1) - (p^i+1)$  holds. Since  $p+1 \mid p^i+1$ ,  $n-p^i$  is divided by  $p+1$  if and only if  $p+1 \mid n+1$ .  $\square$

### 3 Suggesting existence of counterexamples

**Proposition 3.1.** Let  $p_1, \dots, p_k$  be  $k$  pairwise distinct prime integers, and let  $n = p_1 \dots p_k$ ,  $r$  is prime number,  $p_i$  is primitive modulo  $r$  for all  $i$ . If for all  $i$  exist such integers  $a_i$  that  $n \equiv p_i^{a_i} \pmod{2r(p_i^{(r-1)/2} - 1)}$ , then

$$(X-1)^n \equiv X^n - 1 \pmod{n, X^r - 1}.$$

*Proof.* Polynomials  $X-1$  and  $C_r(X) = X^{r-1} + X^{r-2} + \dots + X + 1$  are coprime in the polynomial ring  $Z_n[X]$ .

Hence, in order to prove the identity  $(X-1)^n \equiv X^n - 1 \pmod{n, X^r - 1}$  it suffices to prove that

$$(X-1)^n \equiv X^n - 1 \pmod{n, C_r(X)}$$

The Chinese remainder theorem gives the following isomorphism:

$$Z_n[X]/(C_r(X)) \cong \prod_{i=1}^k Z_{p_i}[X]/(C_r(X))$$

Each factor ring  $R_i = Z_{p_i}[X]/(C_r(X))$  is a field since each prime  $p_i$  is primitive modulo  $r$  ( $O_r(p_i) = p_i - 1$ ) and thus the polynomial  $C_r(X)$  is irreducible in  $Z_{p_i}[X]$ .

It therefore suffices to prove the identity

$$(X-1)^n \equiv X^n - 1 \pmod{p_i, C_r(X)} \tag{3.1}$$

for each  $p_i$ .

By assumption  $n \equiv p_i^{a_i} \pmod{r}$  for some integer  $a_i$ . Therefore  $X^n \equiv X^{p_i^{a_i}}$  modulo  $X^r-1$  and so modulo  $C_r(X)$ .

Since  $R_i$  is a field  $\{p_i \text{ is prime}\}$ , the identity

$$(X-1)^{p_i^{a_i}} \equiv X^{p_i^{a_i}} - 1 \pmod{p_i, C_r(X)} \quad (3.2)$$

holds for the integer  $a_i$ .

$p_i$  is primitive modulo  $r$ ,  $p_i^{r-1} \equiv 1 \pmod{r}$  and  $p_i^{(r-1)/2} \equiv -1 \pmod{r}$  (since  $r$  is prime number).

Thus  $(X-1)^{p_i^{(r-1)/2}} \equiv X^{-1} - 1$  and  $(X-1)^{p_i^{(r-1)/2}} \equiv -X^{-1}(X-1)$  in the field  $R_i$ . Hence the order of  $X-1$  in  $R_i$  divides  $2r(p_i^{(r-1)/2} - 1)$ . By assumption  $n \equiv p_i^{a_i} \pmod{2r(p_i^{(r-1)/2} - 1)}$  and thus  $(X-1)^n \equiv (X-1)^{p_i^{a_i}}$ .

Since left and right parts of identities (3.1), (3.2) coincides and identity (3.2) holds, then identity (3.1) also holds.  $\square$

In the case  $r=5$  we obtain the following proposition.

**Proposition 3.2.** *Let  $p_1, \dots, p_k$  be  $k$  pairwise distinct prime integers and let  $n = p_1 \dots p_k$ . Suppose that*

1)  $k$  is odd

2)  $p_i \pmod{5} \in \{2, 3\}$  for  $i=1, \dots, k$ ;

3)  $p_1 \pmod{16} \in \{3, 5, 11, 13\}$ ;

for  $i=2, \dots, k$ : if  $p_i \equiv p_1 \pmod{5}$  then  $p_i \equiv p_1 \pmod{16}$ , otherwise  $p_i \equiv p_1^3 \pmod{16}$ ;

4)  $p_i - 1 \mid n - 1$  for  $i=1, \dots, k$ ;

5)  $p_i + 1 \mid n + 1$  for  $i=1, \dots, k$ .

Then  $(X-1)^n \equiv X^n - 1 \pmod{n, X^5 - 1}$  and  $n^2 \not\equiv 1 \pmod{5}$ .

*Proof.* Even number of factors  $p_i$  that equal to 2 or 3 modulo 5 gives 1 or -1 modulo 5. Indeed, if  $p_i \pmod{5} \equiv 2$  and  $p_j \pmod{5} \equiv 2$  then  $p_i p_j \pmod{5} \equiv -1$ . If  $p_i \pmod{5} \equiv 2$  and  $p_j \pmod{5} \equiv 3$  then  $p_i p_j \pmod{5} \equiv 1$ . If  $p_i \pmod{5} \equiv 3$  and  $p_j \pmod{5} \equiv 3$  then  $p_i p_j \pmod{5} \equiv -1$ .

Odd number ( $\geq 3$ ) of factors  $p_i$  that equal to 2 or 3 modulo 5 gives 2 or 3 modulo 5. Hence  $n^2 \not\equiv 1 \pmod{5}$ .

According to proposition 3.1 it suffices to show that for each  $i$  exists such integer  $a_i$  that the identity  $n \equiv p_i^{a_i} \pmod{10(p_i^2 - 1)}$  is true.

There are two different variants of  $10(p_i^2 - 1)$  factoring into 4 pairwise coprime factors depending on the value  $p_i \pmod{16}$ :

- if  $p_i \pmod{16} \in \{3, 11\}$  then  $10(p_i^2 - 1) = 5(16) \left( \frac{p_i - 1}{2} \right) \left( \frac{p_i + 1}{4} \right)$

- if  $p_i \bmod 16 \in \{5,13\}$  then  $10(p_i^2 - 1) = 5(16) \left( \frac{p_i - 1}{4} \right) \left( \frac{p_i + 1}{2} \right)$

In both cases it suffices to show that exists such integer  $a_i$  that the identity  $n \equiv p_i^{a_i} \bmod 10(p_i^2 - 1)$  is true modulo each factor.

Let us consider the first case.

If  $n \equiv p_i \bmod 5$ , then  $a_i=1$ ,  $n \equiv p_i \bmod 16$  by assumption 3,  $n \equiv p_i \bmod (p_i-1)/2$  by lemma (2.1) and assumption 4,  $n \equiv p_i \bmod (p_i+1)/4$  by lemma (2.1) and assumption 5.

If  $n \not\equiv p_i \bmod 5$ , then  $a_i=3$  (since  $2 \equiv 3^3 \bmod 5$  and  $3 \equiv 2^3 \bmod 5$ ),  $n \equiv p_i^3 \bmod 5$ ,  $n \equiv p_i^3 \bmod 16$  by assumption 3 ( $11 \equiv 3^3 \bmod 16$ ,  $3 \equiv 11^3 \bmod 16$ ,  $13 \equiv 5^3 \bmod 16$ ,  $5 \equiv 13^3 \bmod 16$ ),  $n \equiv p_i^3 \bmod (p_i-1)/2$  by lemma (2.1) and assumption 4,  $n \equiv p_i^3 \bmod (p_i+1)/4$  by lemma (2.1) and assumption 5.

In the second case the proof is analogous. □

Note that in the proof of proposition 3.2 an order of element  $X-1$  in the ring  $Z_{p_i}[X]/(C_r(X))$  divides  $10(p_i^2 - 1)$  for any prime divisor  $p_i$  of  $n$ .

**Remark.** Proposition 3.2 is also true in the case  $p_1 \bmod 32 \in \{7,9,23,25\}$ ; for  $i=2, \dots, k$ : if  $p_i \equiv p_1 \bmod 5$  then  $p_i \equiv p_1 \bmod 32$ , otherwise  $p_i \equiv p_1^3 \bmod 32$ .

Proposition (H.Lenstra) from [4] is a partial case of proposition 3.2.

By proposition 3.2, we have a heuristic which suggests the existence of many counterexamples [4] to the Agrawal conjecture. But no counterexample is yet known.

#### 4 Chain of subgroups

Since, very likely, the Agrawal conjecture is not true it is natural to modify it slightly to obtain a version that may still be true.

Number  $n$  is assumed to be primitive mod  $r$ . Note that element  $X-1$  is a unit in the ring  $Z_p[X]/(C_r(X))$ .

**Proposition 4.1** *If  $(X-1)^n \equiv X^n - 1 \pmod{n, X^r - 1}$ , then  $(X) \subset (X+1) \subset (X-1)$  is a strictly ascending chain of subgroups of the group  $(Z_p[X]/(C_r(X)))^*$  for any prime divisor  $p$  of  $n$ .*

*Proof.* As  $(X-1)^n \equiv X^n - 1 \pmod{n, X^r - 1}$ , then  $(X-1)^n \equiv X^n - 1 \pmod{p, C_r(X)}$ . Since  $n$  is primitive mod  $r$  there exist such integer  $a$  that  $n^a \equiv 2 \pmod{r}$ . Then  $(X-1)^{n^a} = X^2 - 1 = (X-1)(X+1)$ . So  $X+1 = (X-1)^{n^a} \in (X-1)$  and  $(X+1) \subset (X-1)$ .

As  $X+1 \in (X-1)$  and  $(X-1)^n \equiv X^n - 1 \pmod{p, C_r(X)}$ , then  $(X+1)^n \equiv X^n + 1 \pmod{p, C_r(X)}$ .

Since  $n$  is primitive mod  $r$  there exist such integer  $c$  that  $n^c \equiv r-1 \pmod{r}$ . Then

$(X+1)^{n^c} = X^{n^c} + 1 = X^{r-1} + 1 = X^{-1} + 1 = X^{-1}(X+1)$ . Recall that  $X^r=1$ . Hence,  $(X+1)^{n^c-1} = X^{-1} \pmod{p, C_r(X)}$ . So  $(X^{-1}) \subseteq (X+1)$ . As groups  $(X^{-1})$  and  $(X)$  coincide then  $(X) \subseteq (X+1)$ .

Since  $(X) = \{1, X, \dots, X^{r-1}\}$  it is clear that element  $X+1 \notin (X)$  and  $(X) \subset (X+1)$ .

To prove that  $(X+1) \subset (X-1)$  let us consider an automorphism  $\sigma$  of the ring  $Z_p[X]/(C_r(X))$  sending  $X$  to  $X^{-1}$ . Assume  $(X+1)^V = X-1 \pmod{p, C_r(X)}$  for some integer  $V$ .

Recall that  $X+1$  and  $X-1$  are units and so  $[\sigma(X+1)]^{-1}$  and  $[\sigma(X-1)]^{-1}$  exist. Consider  $(X+1)[\sigma(X+1)]^{-1} = (X+1)[X^{-1}(1+X)]^{-1} = X$  and  $(X-1)[\sigma(X-1)]^{-1} = (X-1)[-X^{-1}(X-1)]^{-1} = -X$ . Then  $X^V = -X$  – a contradiction.

So, the chain of groups  $(X) \subset (X+1) \subset (X-1)$  is strictly ascending.  $\square$

Hence, if  $(X-1)^n \equiv X^n - 1 \pmod{n, X^r-1}$  then an order of element  $X-1$  in the group  $(Z_p[X]/(C_r(X)))^*$  is a product of three numbers: an order of group  $(X)$  that equals to  $r$ , an index of subgroup  $(X)$  in group  $(X+1)$  and an index of subgroup  $(X+1)$  in group  $(X-1)$ .

**Proposition 4.2.** *If  $p$  is prime and  $a \neq 0, -1, 1 \pmod{p}$ , then element  $X+a \notin (X-1)$  in the group  $(Z_p[X]/(C_r(X)))^*$ .*

*Proof.* Assume that  $(X-1)^V = X+a \pmod{p, X^r-1}$ . Again let us consider an automorphism  $\sigma$  of the ring  $Z_p[X]/(C_r(X))$  sending  $X$  to  $X^{-1}$ . Then we have  $(X+a)[\sigma(X+a)]^{-1} = (X-1)^V [\sigma((X-1)^V)]^{-1}$ ,  $(X+a)[X^{-1}+a]^{-1} = (-X)^V$ ,  $X+a = (-1)^V X^{V-1} + (-1)^V aX^V$ . Since  $(-1)^V \neq a$  then  $X = (-1)^V X^{V-1}$ ,  $V-1 \equiv 1 \pmod{r}$ ,  $V \equiv 2 \pmod{r}$ . From the other hand  $a = (-1)^V aX^V$ ,  $V \equiv 0 \pmod{r}$  – a contradiction.  $\square$

Hence, we have the following strictly ascending chain of groups  $(X) \subset (X+1) \subset (X-1) \subset (X-1, X+2)$ .

Moreover, for  $r=5$  we have the following proposition.

**Proposition 4.3.** *If prime number  $p$  is not equal to 2,3,5,11,19 and  $p^2 \neq 1 \pmod{5}$ , then an order of element  $X+2$  in the field  $Z_p[X]/(C_5(X))$  does not divide  $10(p^2-1)$ .*

*Proof.* It is easy to verify that  $(X+2)(X^3-X^2+3X-5) = -11 \pmod{p, C_5(X)}$ , so element  $-11^{-1}(X^3-X^2+3X-5)$  is a multiplicative inverse of  $X+2$  in the field  $Z_p[X]/(C_5(X)) = Z_p[X]/(X^4+X^3+X^2+X+1)$ . We have

$$(X+2)^{p^2} \equiv X^{-1} + 2 = X^{-1}(2X+1) \text{ (as } p \text{ is prime) and}$$

$$(X+2)^{p^2-1} \equiv -11^{-1} X^{-1}(2X+1)(X^3-X^2+3X-5) = -11^{-1} X^{-1}(-3X^3+3X^2-9X-7)$$

$$\text{Therefore } (X+2)^{10(p^2-1)} \equiv 11^{-10}(-3X^3+3X^2-9X-7)^{10} \equiv$$

$$\equiv -11^{-10}(19486165920X^3 + 26683280040X^2 + 22802637960X + 29275201379)$$

Factorization of polynomial coefficients of non-zero powers of  $X$  is as follows:

$$19486165920 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 3 \cdot 5 \cdot 13 \cdot 19 \cdot 164357; \quad 26683280040 = 2 \cdot 2 \cdot 2 \cdot 3 \cdot 5 \cdot 19 \cdot 167 \cdot 70079;$$

$$22802637960 = 2 \cdot 2 \cdot 2 \cdot 3 \cdot 3 \cdot 5 \cdot 19 \cdot 67 \cdot 49757.$$

Since  $p$  does not divide the greatest common divisor of the coefficients (equals to  $2 \cdot 2 \cdot 2 \cdot 3 \cdot 5 \cdot 19$ ) then the coefficients are not simultaneously equal to 0 modulo  $p$ . Hence, the polynomial  $(X+2)^{10(p^2-1)}$  is not equal to 1.  $\square$

## 5 Conclusion

In this paper we generalize H.Lenstra proposition which indicates that the set  $\{X-1\}$  very likely does not generate big enough subgroup in the group  $(\mathbb{Z}_p[X]/(C_r(X)))^*$ .

At the same time we obtain a strictly ascending chain of subgroups  $(X) \subset (X+1) \subset (X-1) \subset (X-1, X+2)$  of this group and state the modified conjecture that the set  $\{X-1, X+2\}$  generate big subgroup.

These arguments suggest that the following variant of the Agrawal conjecture may be true:

**Modified conjecture.** *If  $r$  is a prime number that does not divide  $n$ , if  $(X-1)^n \equiv X^n - 1 \pmod{X^r - 1, n}$  and if  $(X+2)^n \equiv X^n + 2 \pmod{X^r - 1, n}$ , then either  $n$  is prime or  $n^2 \equiv 1 \pmod{r}$ .*

**Acknowledgements.** I would like to thank Hendrik W.Lenstra for reading a draft version of this paper and providing valuable comments.

## References

- [1] M. Agrawal, N. Kayal and N. Saxena, *PRIMES is in P*, Annals of Mathematics, 160 (2004), pp. 781–793.
- [2] D.J.Bernstein, *Proving primality in essentially quartic random time*, Math. of Computations, v.76, No. 257, 2007, pp. 389-403.
- [3] R. Bhattacharjee and P. Pandey, *Primality testing*, IIT Kanpur, 2001. Available at <http://www.cse.iitk.ac.in/research/btp2001/primality.html>.
- [4] A.Granville, *It is easy to determine whether a given integer is prime*, Bulletin of the American Math. Society, v.42, No. 1, 2005, pp. 3-38.
- [5] N. Kayal and N. Saxena, *Towards a deterministic polynomial-time test*, IIT Kanpur, 2002. Available at <http://www.cse.iitk.ac.in/research/btp2002/primality.html>.
- [6] H.W.Lenstra, Jr. and C.Pomerance, *Remarks on Agrawal's conjecture*, 2003. Available at <http://www.aimath.org/WWN/primesinp/articles/html/50a>.

Roman Popovych, Department of Computer Science and Engineering,  
National University Lviv Politechnika, Bandery Str.,12, 79013, Lviv, Ukraine  
E-mail: popovych@polynet.lviv.ua